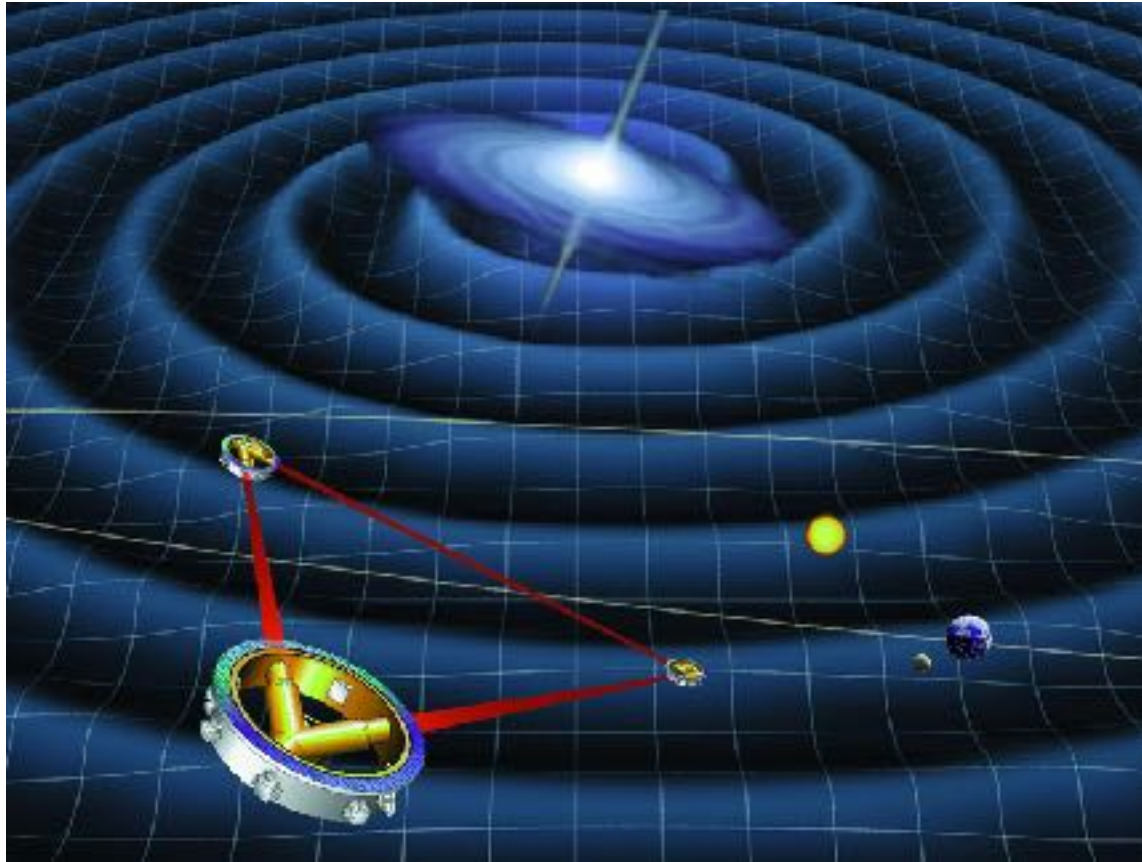
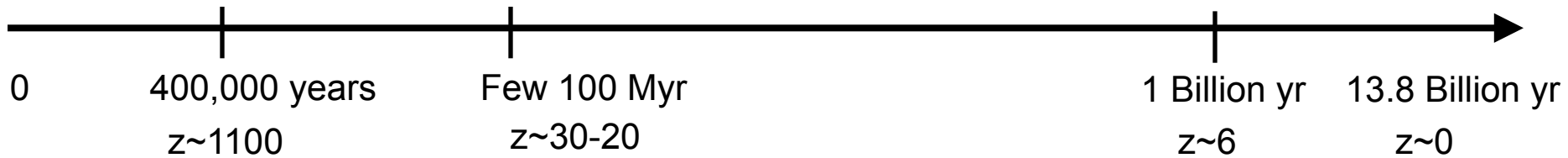
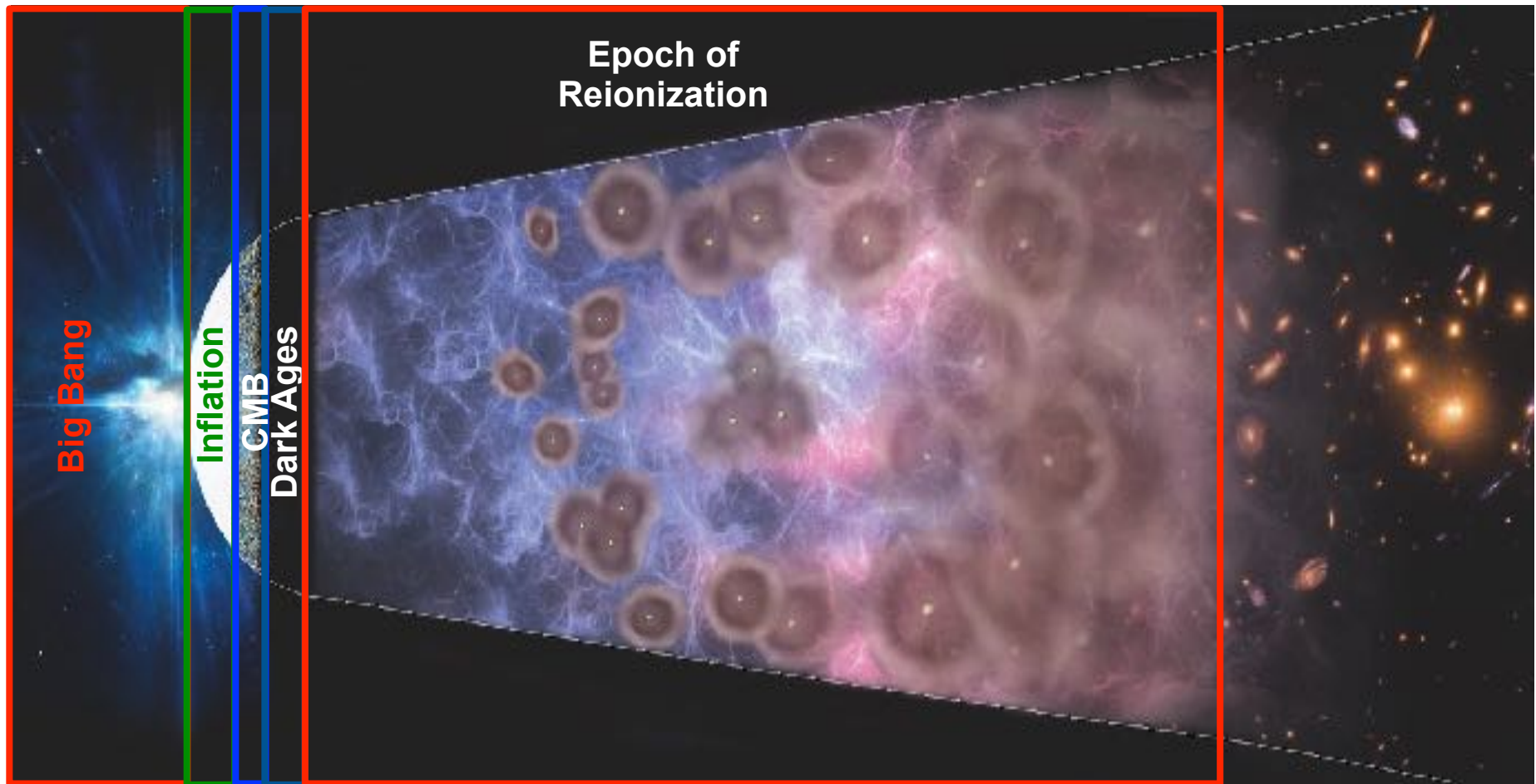


The hierarchical assembly of galaxies and black holes: predictions for LISA

Pratika Dayal



The cosmic timeline



Some open questions

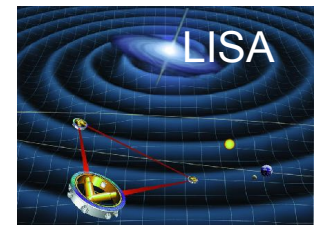
- **How many black holes exist and merge through cosmic time?**
- **Which sort of mergers (in terms of mass and redshift) will LISA see?**
- **How should we interpret the gravitational wave background seen by LISA?**
- **What about the electromagnetic counterparts for black hole mergers?**

Some open questions

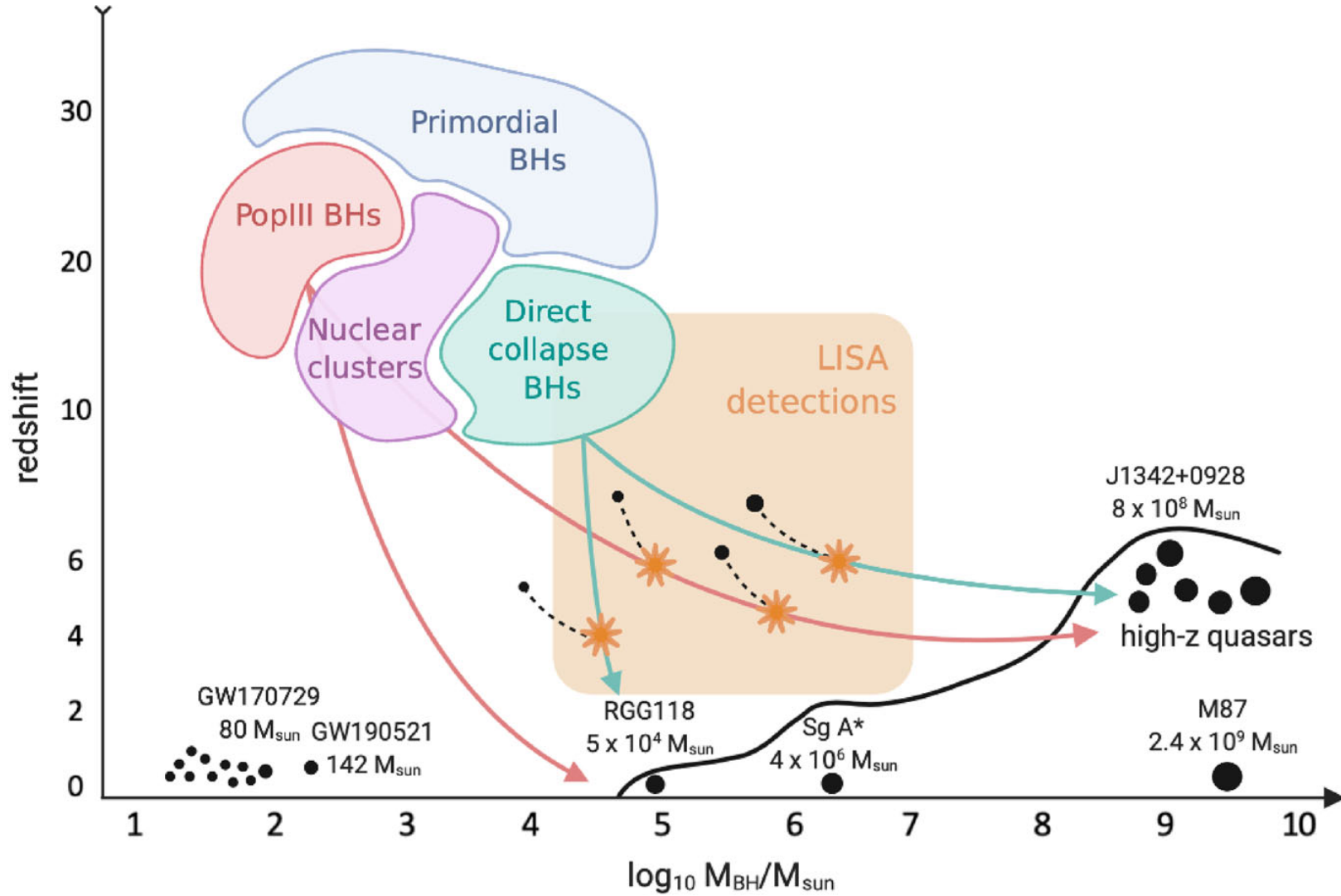
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Some open questions

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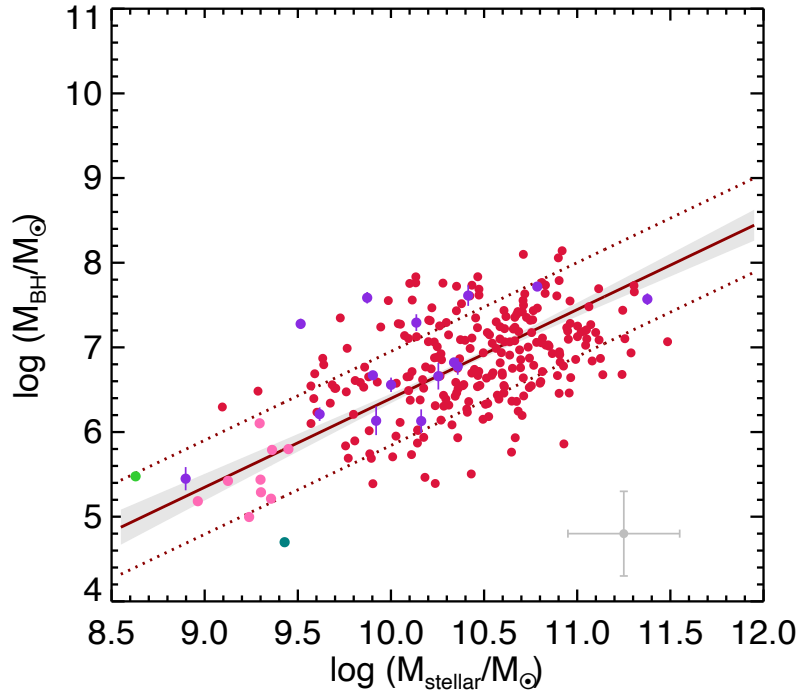


Numerous pathways for black hole seed formation and growth



“Astrophysics with LISA” white paper, 2023, LRR, 26, 2
arXiv:2203.06016

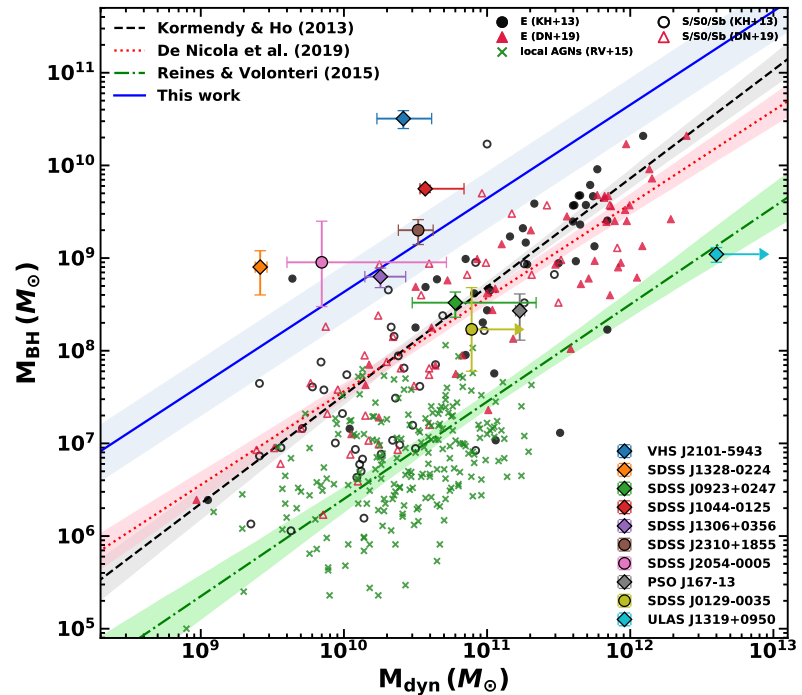
Black hole masses correlate with galaxy mass at all z



$z \sim 2-7$

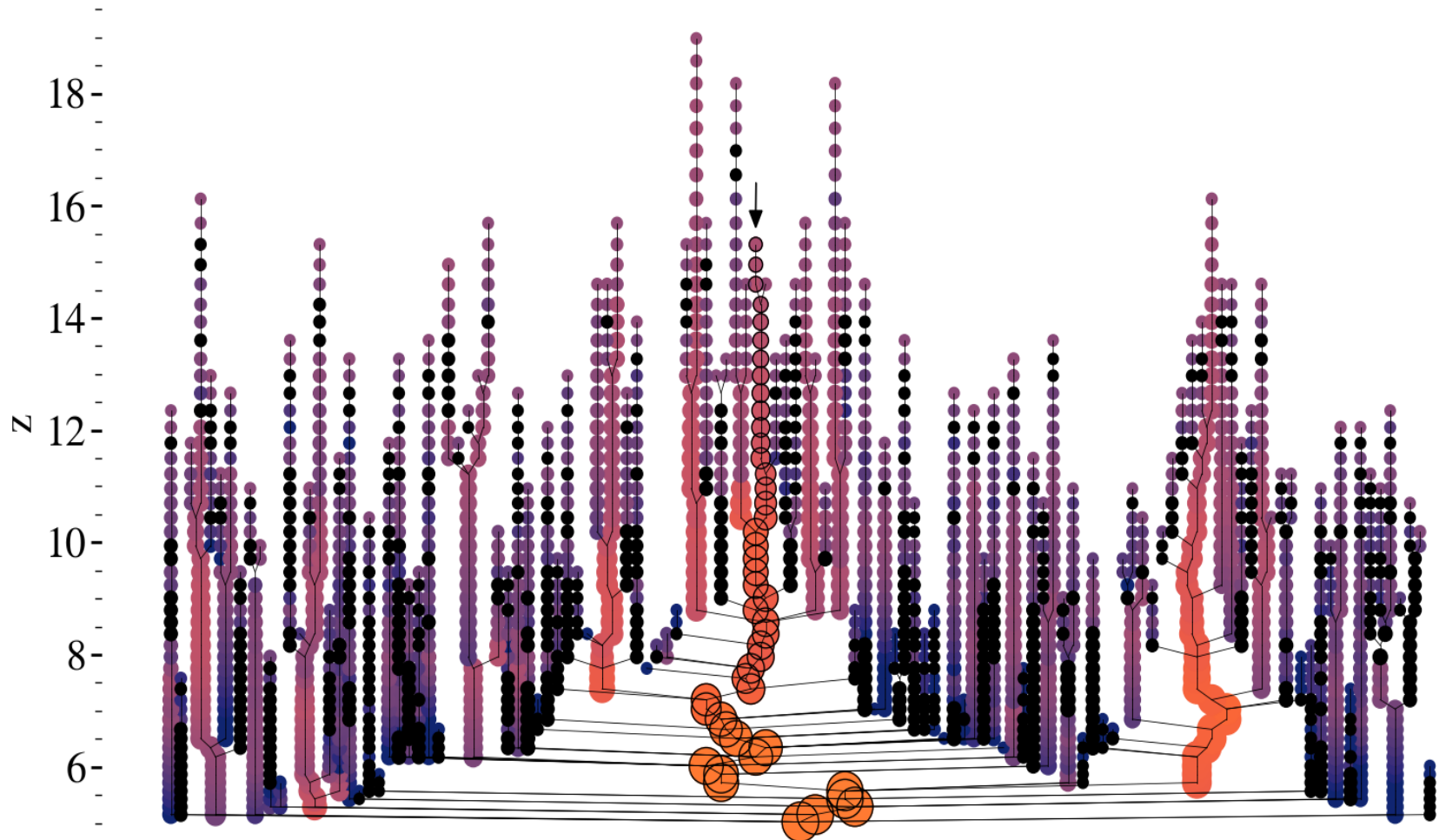
Pensabene et al., 2020, A&A, 637, 84

$z \sim 0$
Reines & Volonteri, 2015, ApJ, 813, 82



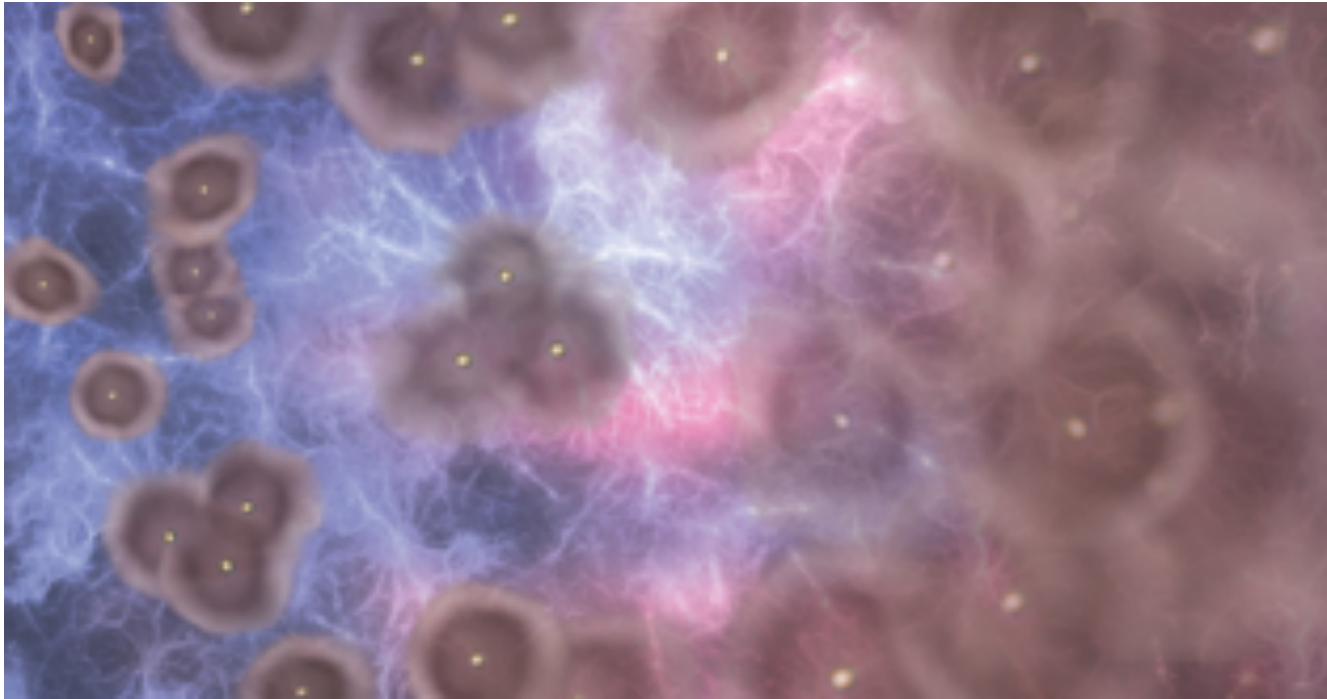
Growth and mergers of black holes are intricately tied to the hierarchical assembly of their host galaxies

- DM assembly
- Gas/stellar mass from accretion & mergers
- Star formation
- Impact of supernovae in ejecting gas
- BH seeding
- BH growth
- Impact of BH feedback in ejecting gas
- Impact of reionization feedback



A complication: impact of reionization feedback on galaxy formation

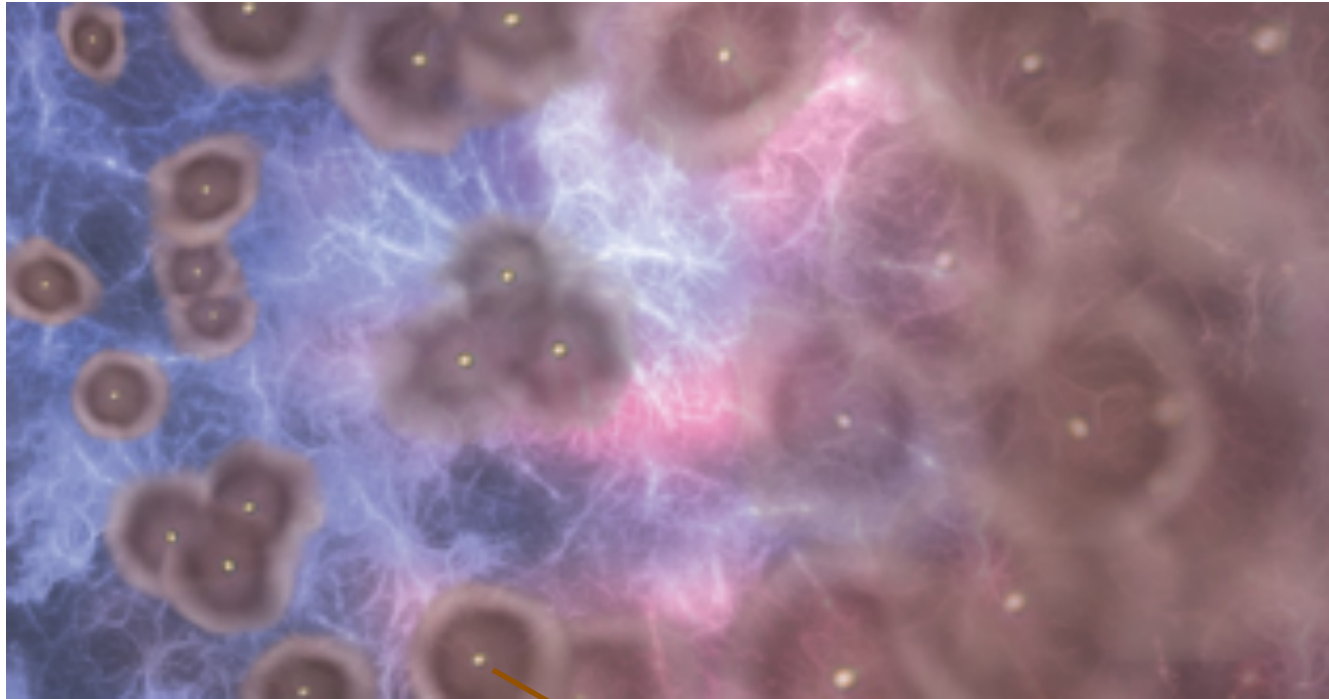
Neutral
hydrogen :
 $T = T(\text{CMB})$



Ionized
hydrogen :
 $T \sim 20,000\text{K}$

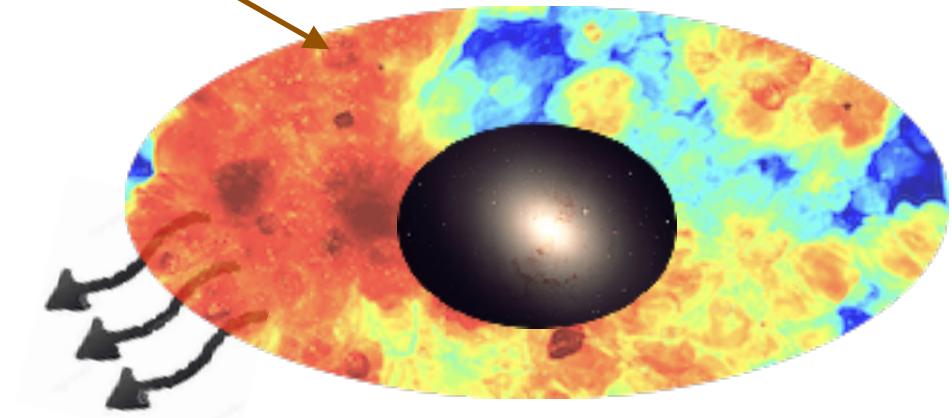
A complication: impact of reionization feedback on galaxy formation

Neutral
hydrogen :
 $T = T(\text{CMB})$



Ionized
hydrogen :
 $T \sim 20,000\text{K}$

Low-mass halos in ionized (hot) regions can lose some/all of their gas mass limiting both star formation and black hole growth.



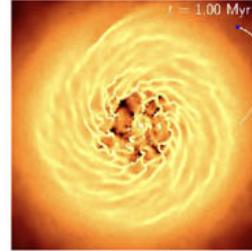
The multi-scale processes determining the formation of a BH binary



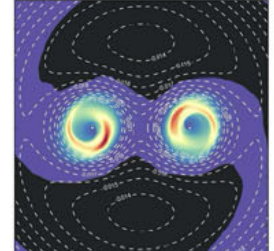
Credit: Lupi et al. (2019)



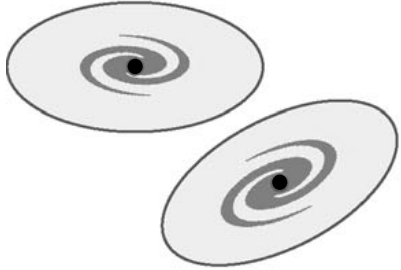
Credit: Capelo et al. (2015)



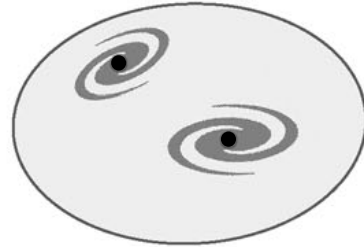
Credit: Souza Lima et al. (2017)



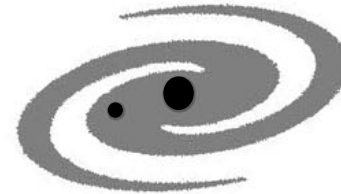
Credit: Bowen et al. 2017



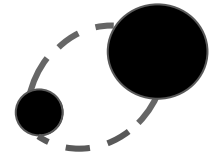
Mpcs:
The large scale structure



1-100s kpcs:
Galaxy
interactions/merger



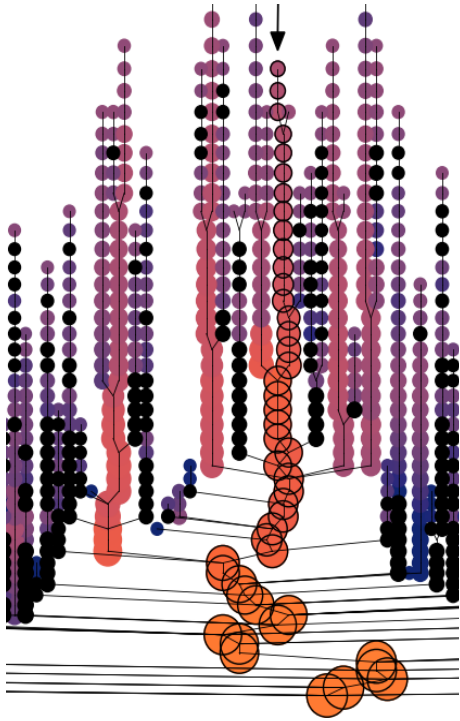
1-10s pc:
Formation of a bound
binary



<1 pc:
Hardening of the binary

Complexity necessitates multitude of modelling techniques

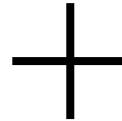
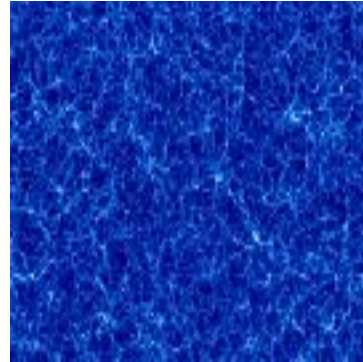
Semi-analytics



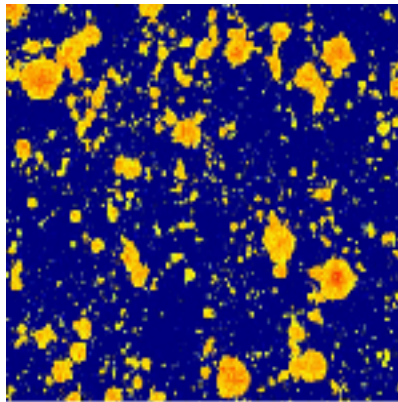
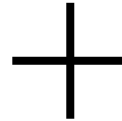
+

Key physical processes of galaxy formation

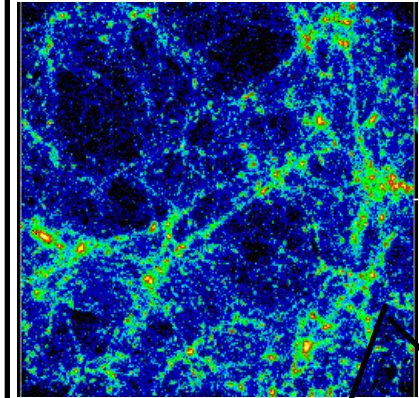
Semi-numerics



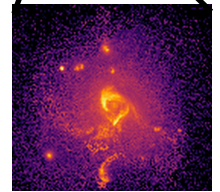
Key physical processes of galaxy formation



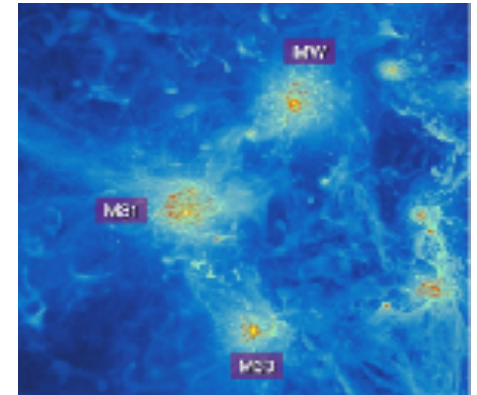
Hydrodynamics



Large-scale



Small-scale



Enormous ongoing theoretical effort to model galaxy formation

No.	Main aim	Technique	box size [cMpc]	M_{DM} [M_{\odot}]	Key Physics	Code [reference]
Small-scale models						
1	SF in GMC	Resimulated	1–10 R_{vir}	$\sim 10^2 - 10^6$	AIKO	FIRE [136]
2	SF, GF, EoR	AMR	1	1840	DIKO	[137]
3	GF, EoR	AMR+RT	$4 h^{-1}$	4×10^6	EO	EMMA [138]
4	UV fb	SPH+RT	5	2.5×10^5	EIO	[139]
5	UV fb, GF	SPH+RT	$3-6 h^{-1}$	$0.18-1.4 \times 10^6$	GIKO	[140]
6	ISM,CGM	AMR	$9.7 h^{-1}$ kpc	9.5×10^4	AIKL	[141]
7	UV fb, GF	SPH+RT	$10 h^{-1}$	4.3×10^7	GIO	[142]
8	GF, EoR	Eulerian+RT	20	4.8×10^5	EIKO	[143]
9	GF, EoR	AMR	40	3×10^4	diko	Renaissance [144,145]
10	GF, EoR	AMR+RT	$20-40 h^{-1}$	7×10^6	AIO	CROC [146]
Intermediate-scale models						
1	GF, EoR	N-body+semi-numerical RT	100	3.9×10^6	DIKO	DRAGONS [147]
2	GF	SAM	–	$M_{min} = 10^8$	CIP	DELPHI [148]
3	EoR (LG)	Eulerian+RT	91	3.5×10^5	EIO	CoDA [149]
4	GF, EoR	SPH+ RT	$12.5-100 h^{-1}$	$10^6-8 \times 10^7$	EIKO	Aurora [150]
5	f_{esc}	SPH	$10-100 h^{-1}$	$6 \times 10^6-9 \times 10^8$	GIKLM	[151]
6	GF	SPH	$25-100 h^{-1}$	$1.2-9.7 \times 10^6$	FIJKM	EAGLE [133]
7	GF	Unstructured mesh	106	6.2×10^6	GIJK	Illustris [152]
Large-scale models						
1	EoR	N-body+RT	$114-425 h^{-1}$	$0.55-5 \times 10^7$	HO	[134]
2	GF	SPH	$400 h^{-1}$	1.7×10^7	GIJKM	BlueTides [153]
3	GF	SAM	$500 h^{-1}$	1.3×10^9	BIJKP	GALFORM [154]

+Atraeus, Thesan, Obelisk, Sphinx, Astrid, Romulus, Horizon-AGN..

Unprecedented datasets from cutting-edge instruments instruments

Subaru



Number of galaxies/BH of a given luminosity per unit volume as a function of cosmic time (*Luminosity function*)

VLT



Total rate of star formation per unit volume (*Star formation rate density*)

Spitzer



Total mass bound in stars and BH per unit volume (*Stellar mass and BH mass density*)

HST



The dust masses and metallicities of early galaxies

ALMA

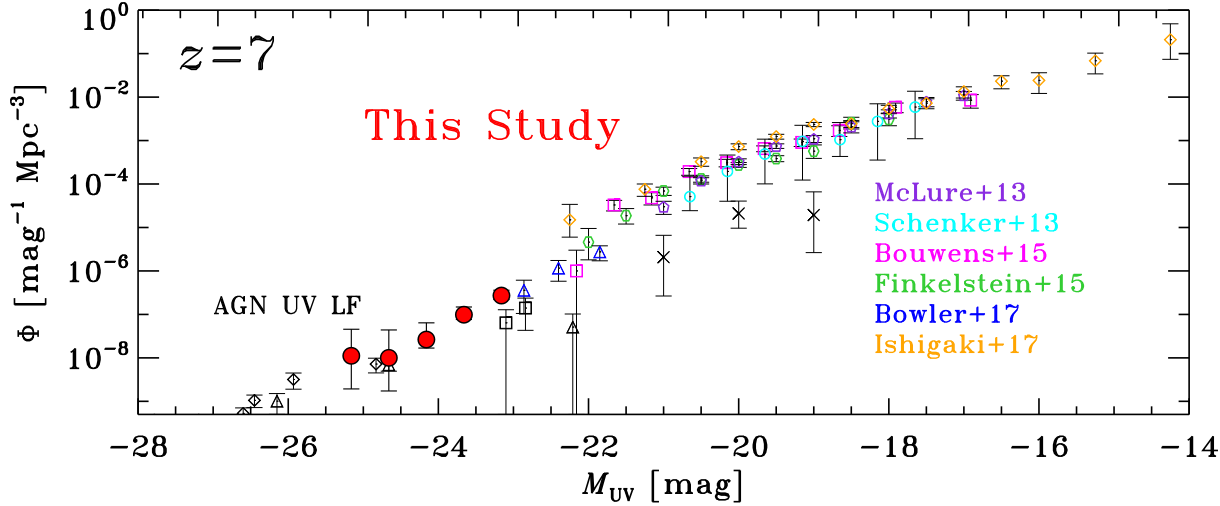


Sizes and mergers of early galaxies; early BH candidates

JWST

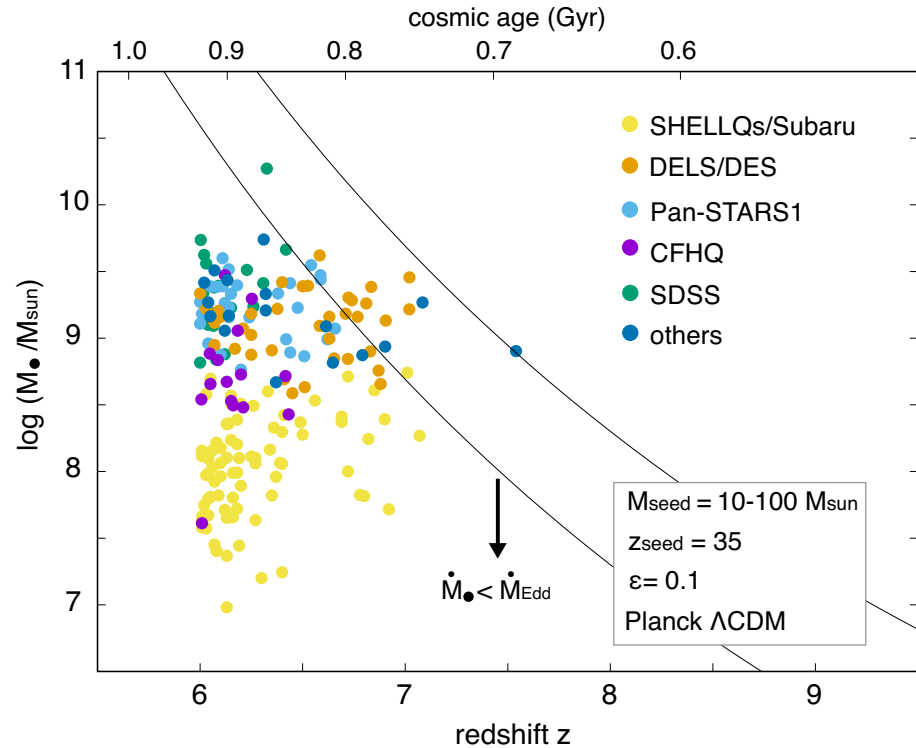


A continually expanding frontier for black hole detection

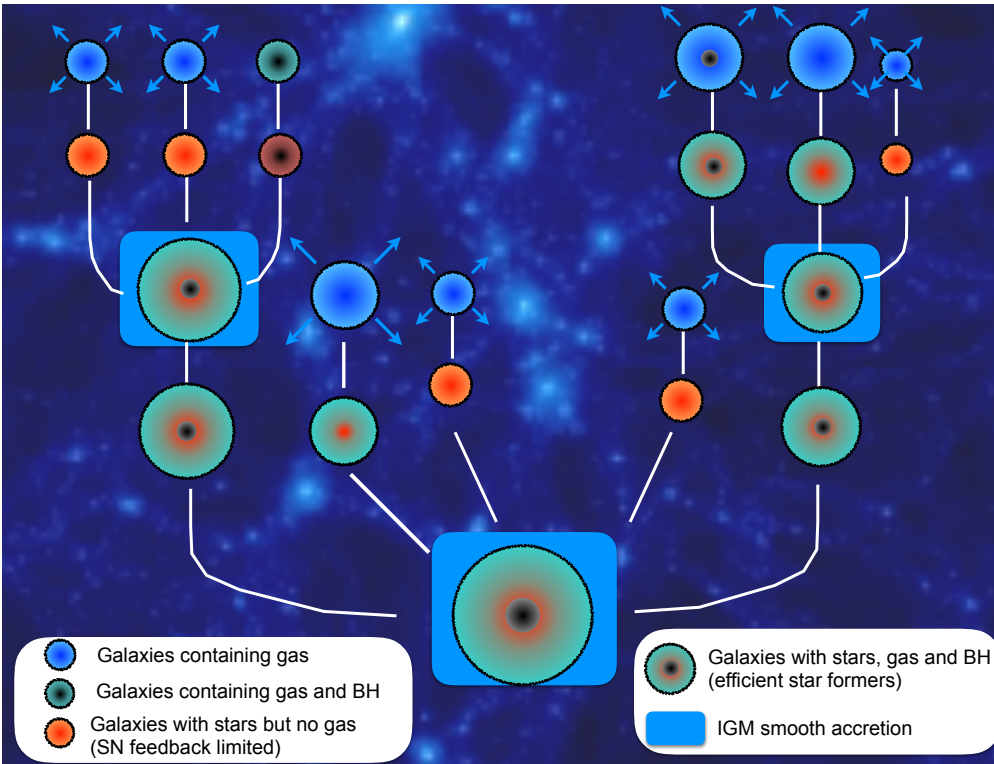


Ono et al., 2018, PASJ, 70, 10

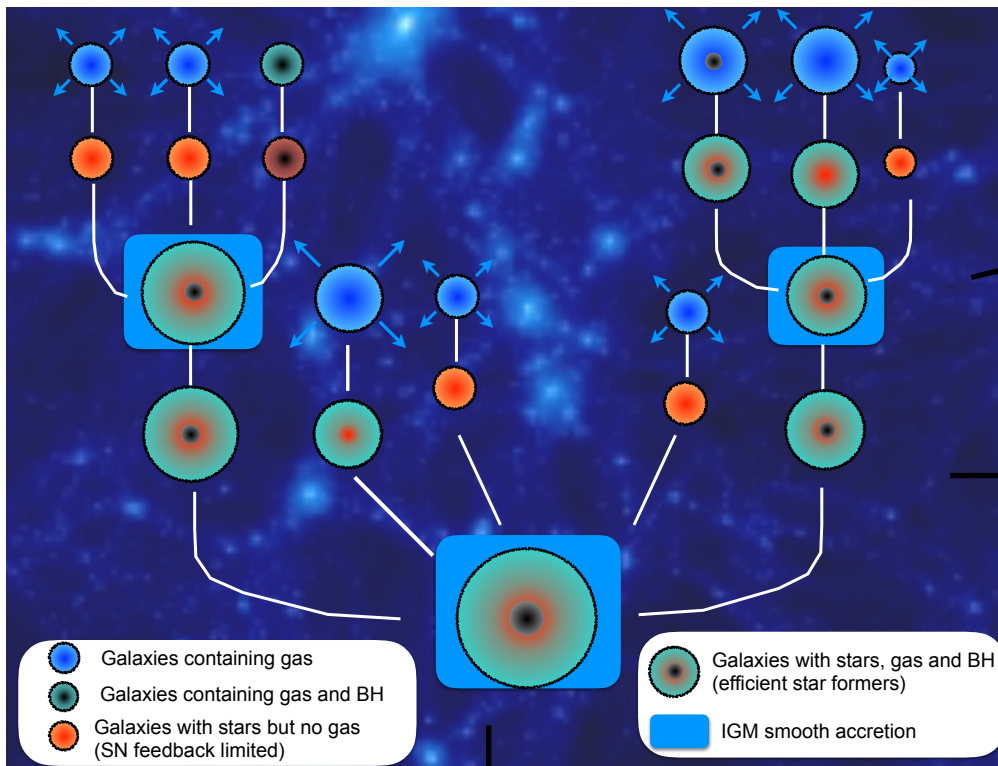
Inayoshi & Haiman, 2020, ARAA, 58, 27



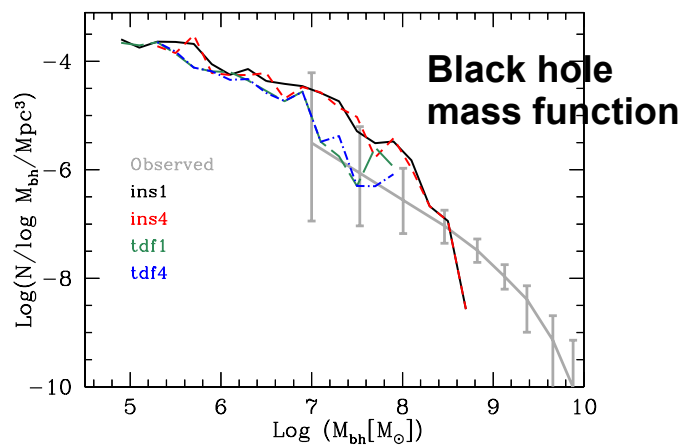
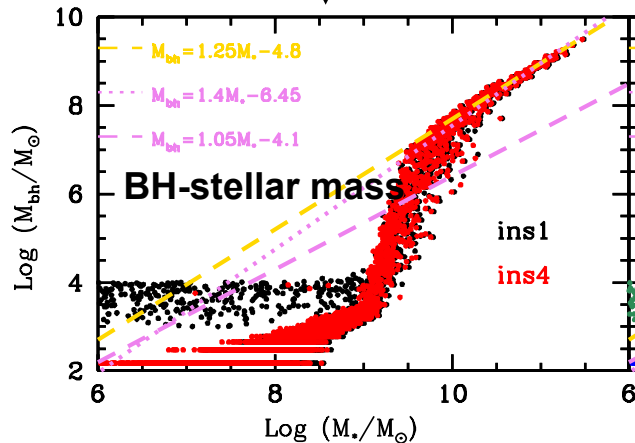
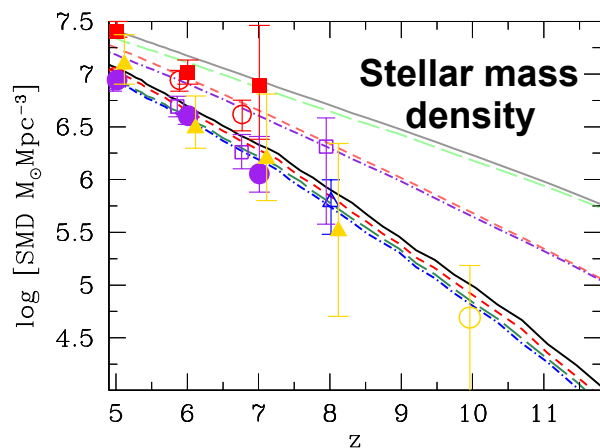
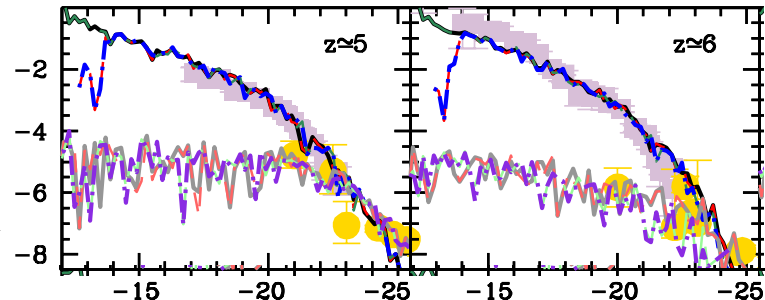
Datasets allowing unprecedented opportunity to baseline models



Datasets allowing unprecedented opportunity to baseline models



Ultra Violet Luminosity Function



The key physics required

The key physics required

Different types of BH seeds

- stellar (Population III) and direct collapse black holes (based on strength of Lyman alpha background)

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Impact of reionization feedback in photo-evaporating gas from low-mass halos and slowing black hole growth

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Impact of reionization feedback in photo-evaporating gas from low-mass halos and slowing black hole growth

Black hole growth regulated by both halo mass and gas availability (due to the impact of Supernova and reionization feedback)

The key physics required

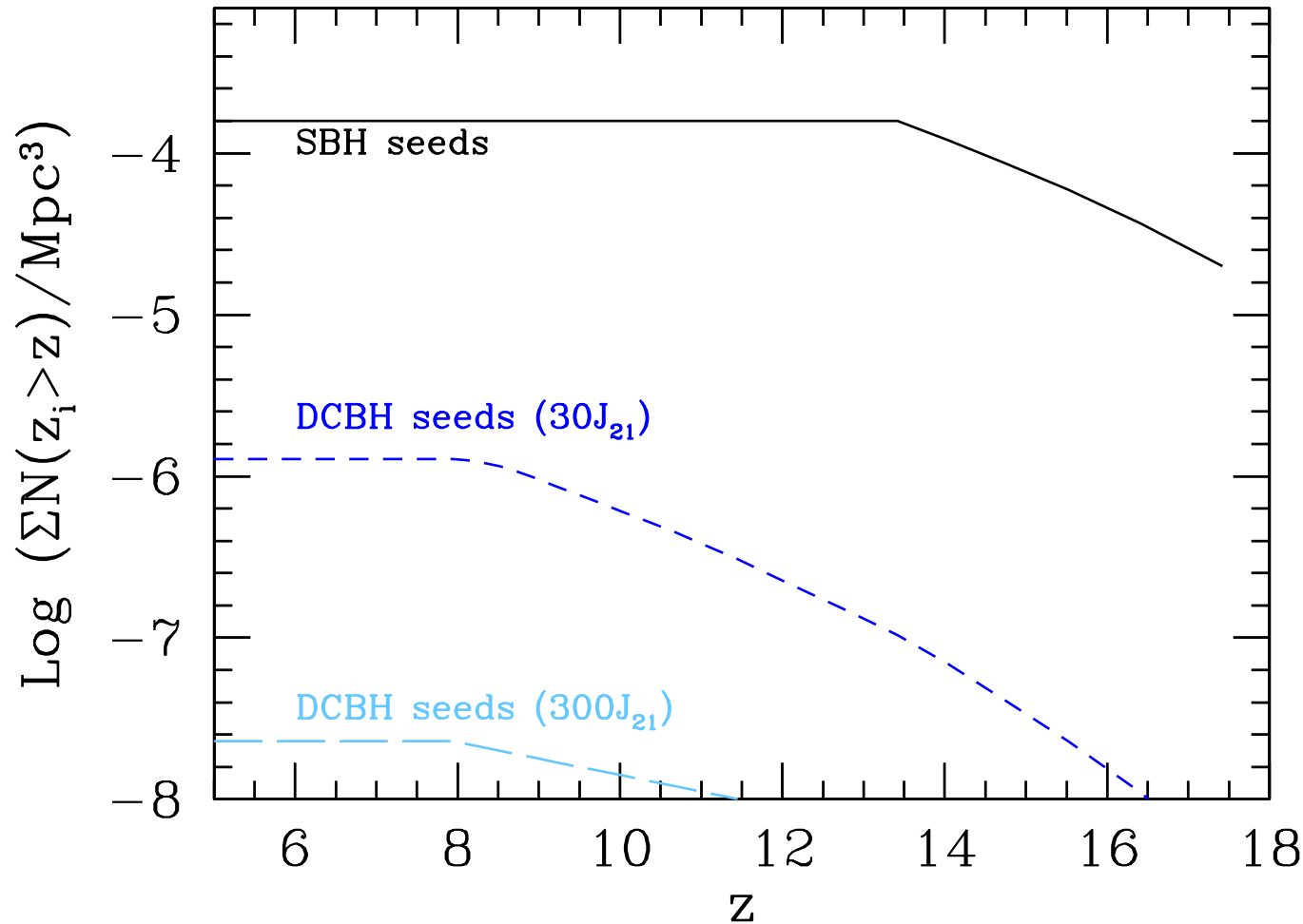
Different types of BH seeds
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Black hole growth regulated by both halo mass and gas availability (due to the impact of Supernova and reionization feedback)

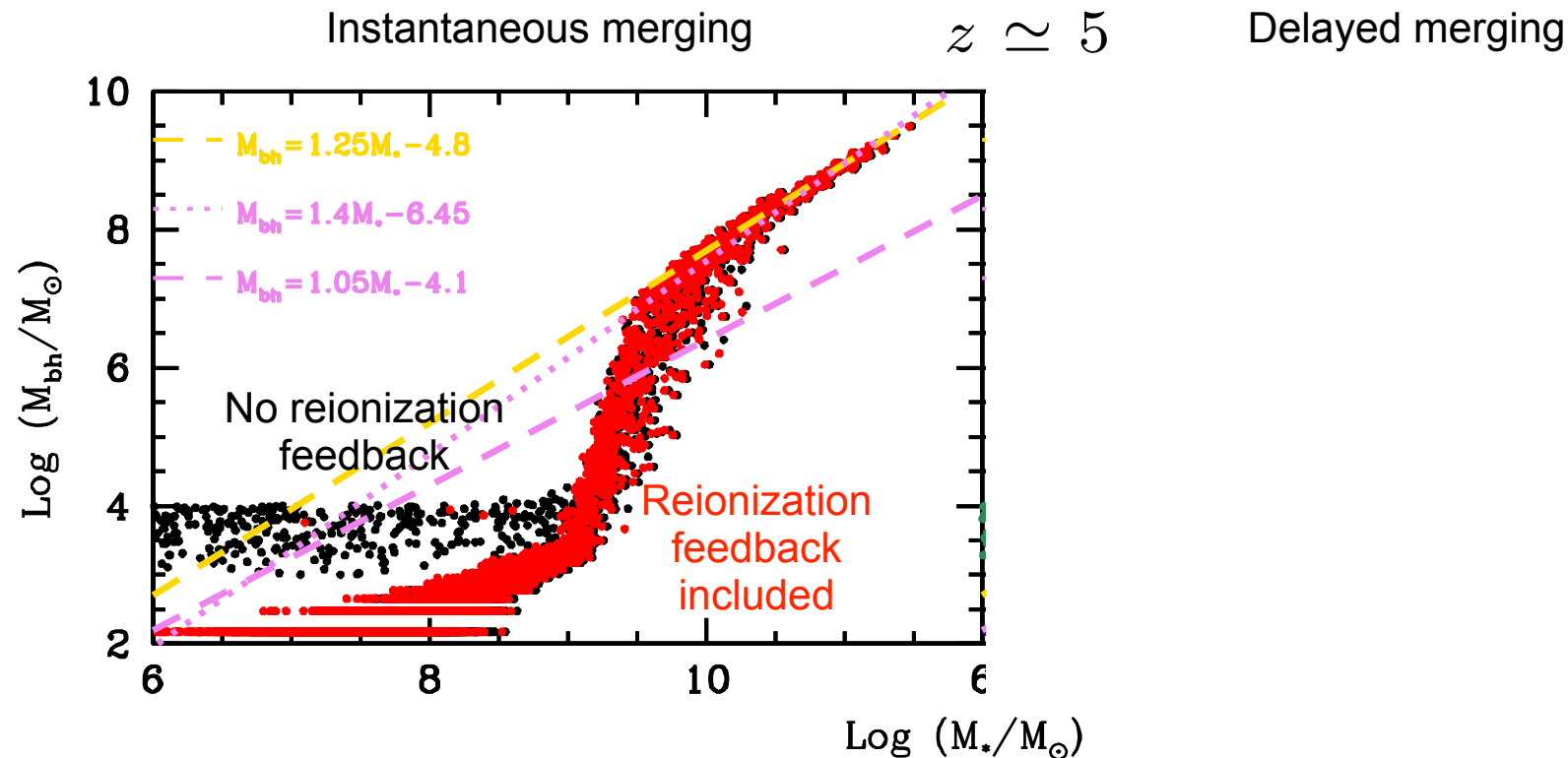
Impact of instantaneous versus (dynamically) delayed galaxy mergers on the black hole merger rate

Number densities of seed black holes



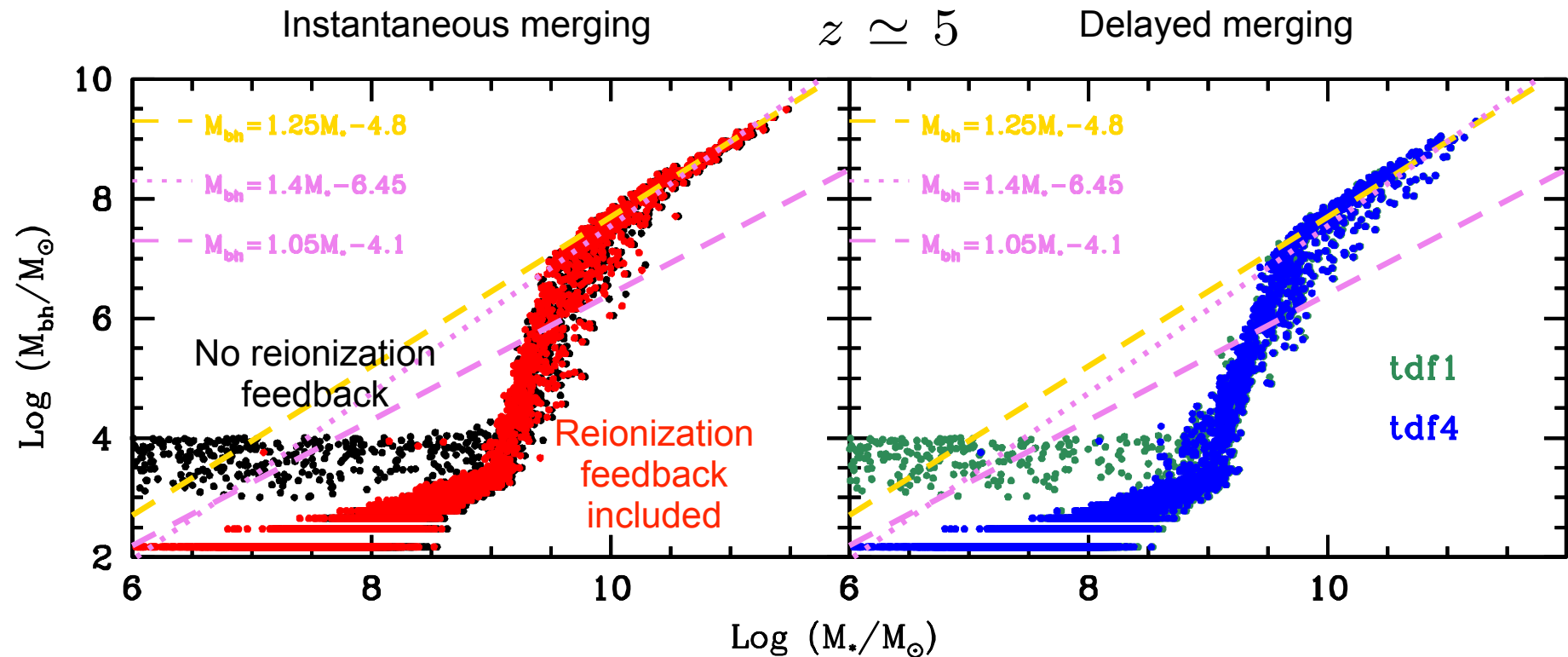
- Stellar BH seeds dominate DCBH seeds by about two-4 orders of magnitude.
- DCBH seeds can grow into QSOs at $z \sim 6$ but *only if* they are seeded into the progenitors of the most massive halos by construction.

What shapes the black hole mass- stellar mass relation?



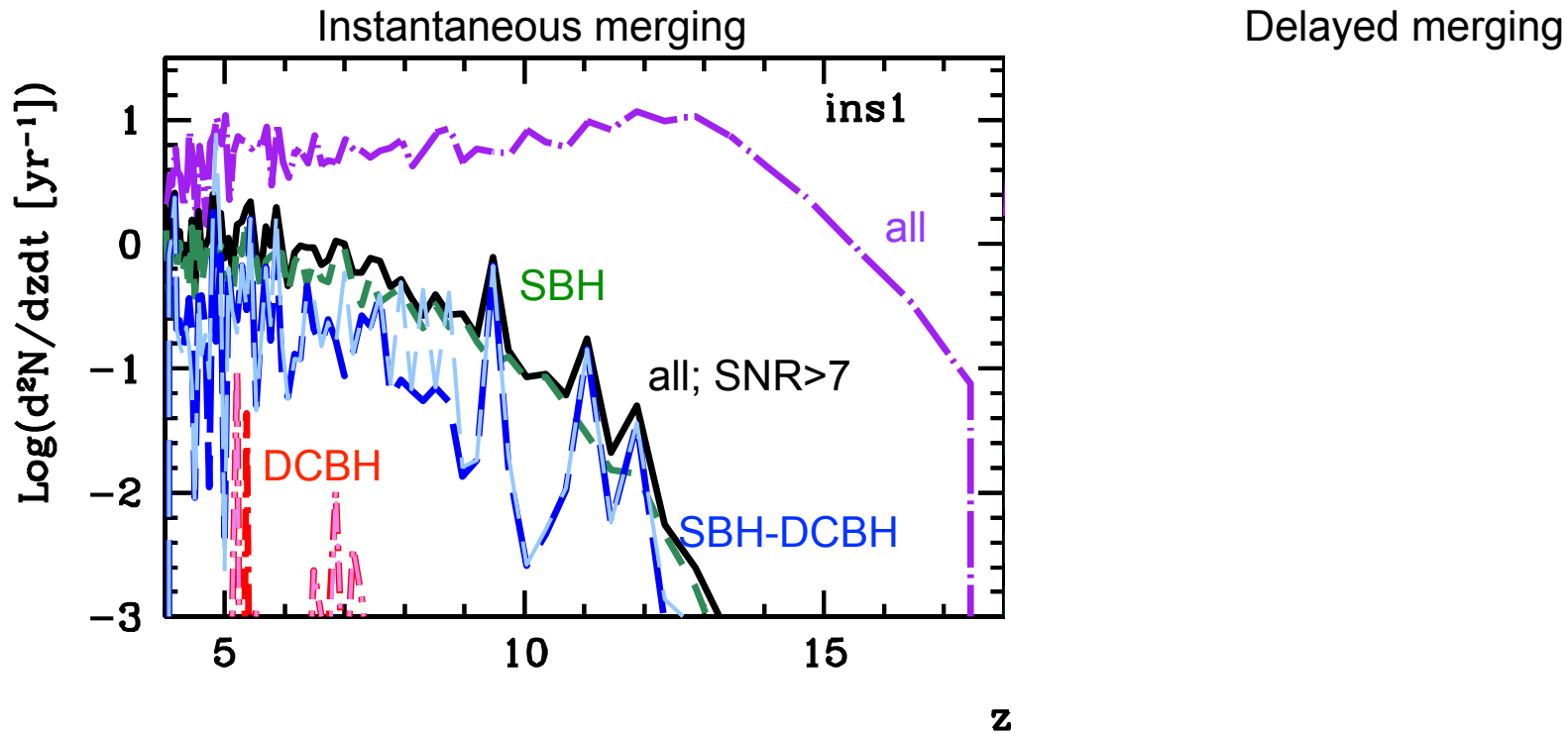
- In instantaneous merging (*black*), BH mass-stellar mass correlated for $M_{*} \geq 10^{9.5}M_{\odot}$.
- Adding the effects of reionization (*red*) result in a “stalling” of BH growth for $M_{*} \leq 10^9M_{\odot}$ galaxies.
- Delayed BH mergers introduce larger scatter in relation although still consistent with average *fiducial* trend.

What shapes the black hole mass- stellar mass relation?



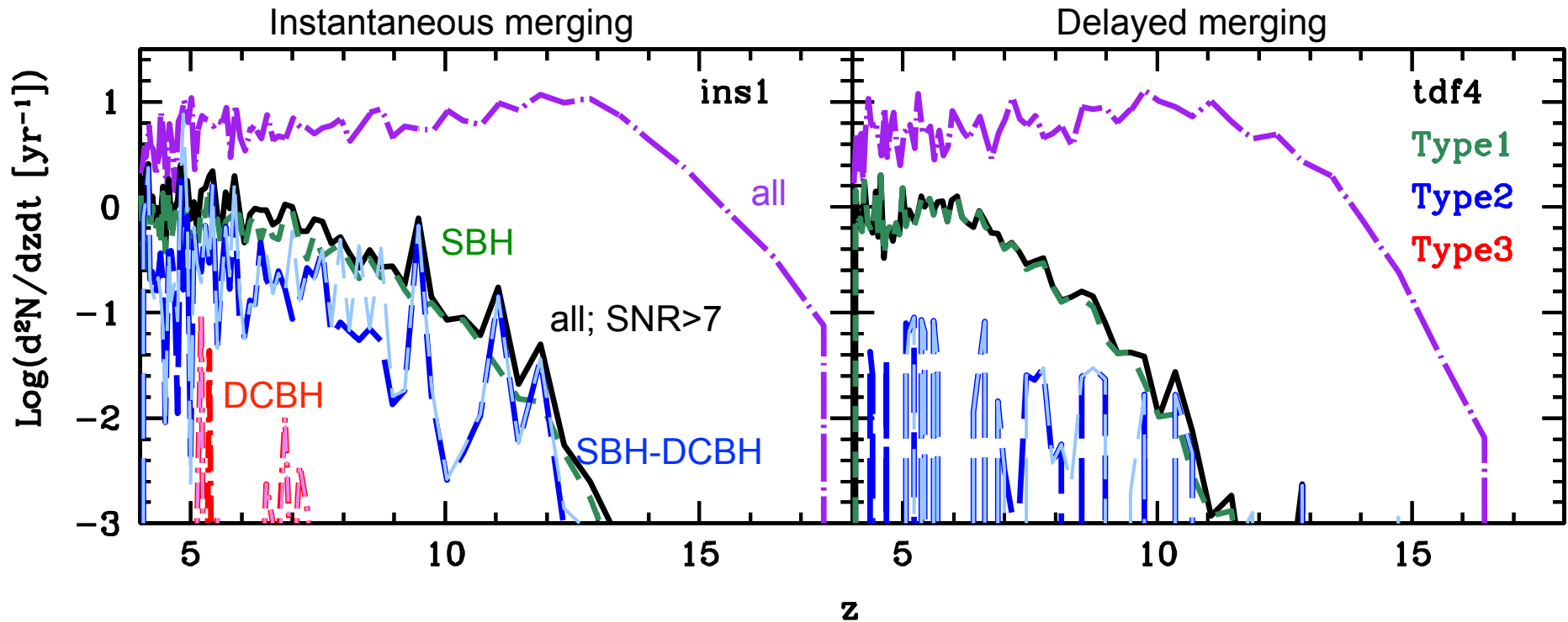
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The LISA-detectable GW event rate as function of redshift



- In *fiducial case* (*ins1*) Most detectable mergers ($\sim 67\%$) are those from SBH-SBH, followed by SBH-DCBH mergers (32%). DCBH-DCBH mergers negligible.
- Due to delayed mergers + reionization feedback, importance of DCBH-SBH mergers decreases. No detectable DCBH-DCBH mergers (with $\text{SNR} > 7$).

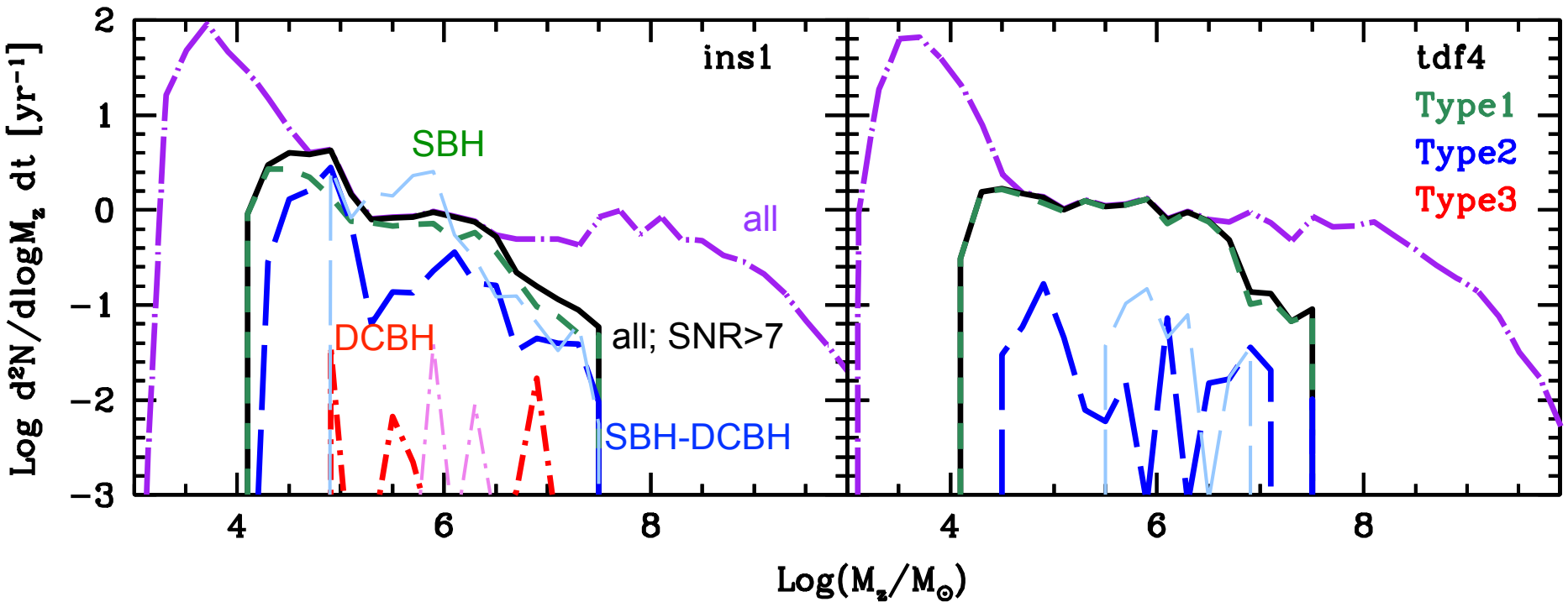
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The LISA-detectable GW event rate as function of mass



- LISA will preferentially detect BHs with mass $M_{BH} \sim 10^{4-7} M_{\odot}$. In *fiducial case (ins1)* most detectable mergers are those from SBH-SBH, followed by SBH-DCBH mergers. DCBH-DCBH mergers negligible.
- Although same mass range true for the *tdf4 model*, due to delayed mergers + reionization feedback, importance of DCBH-SBH mergers decreases. No detectable DCBH-DCBH mergers (with $SNR > 7$).

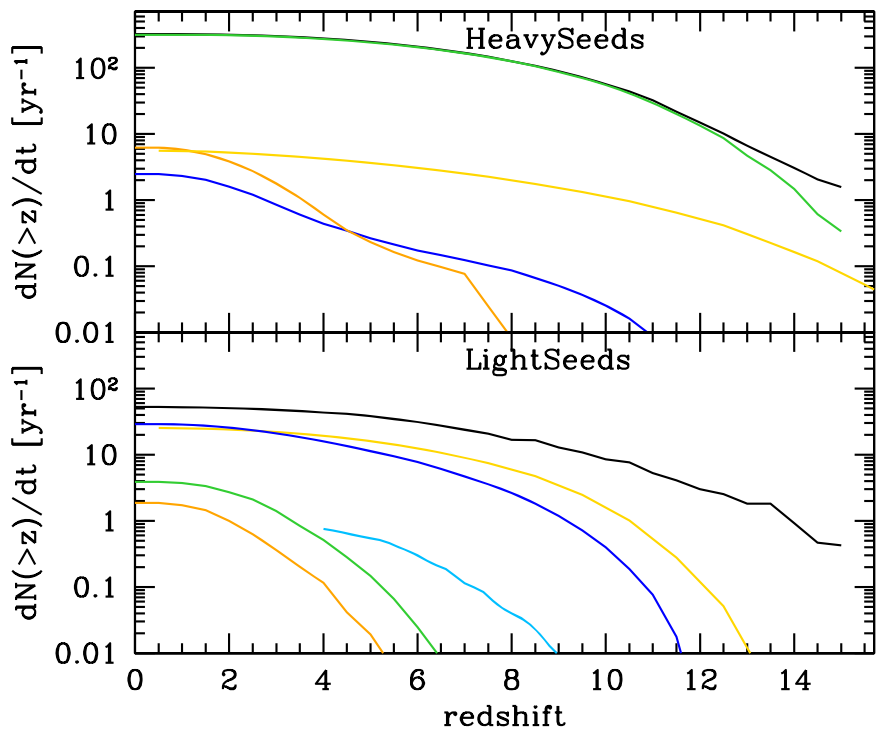
LISA detectability of early BH mergers

LISA detectability of high-z BH mergers over a 4-year duration using a SNR>7.

Model	All ₇	Type 1 ₇	Type 2 ₇	Type 3 ₇
ins1	19.8	13	6.8	0.05
tdf4	12.5	12.1	0.4	0
ins1 (heavy)	23.3	13	10.3	0.04
tdf4 (heavy)	12.5	12.1	0.4	0

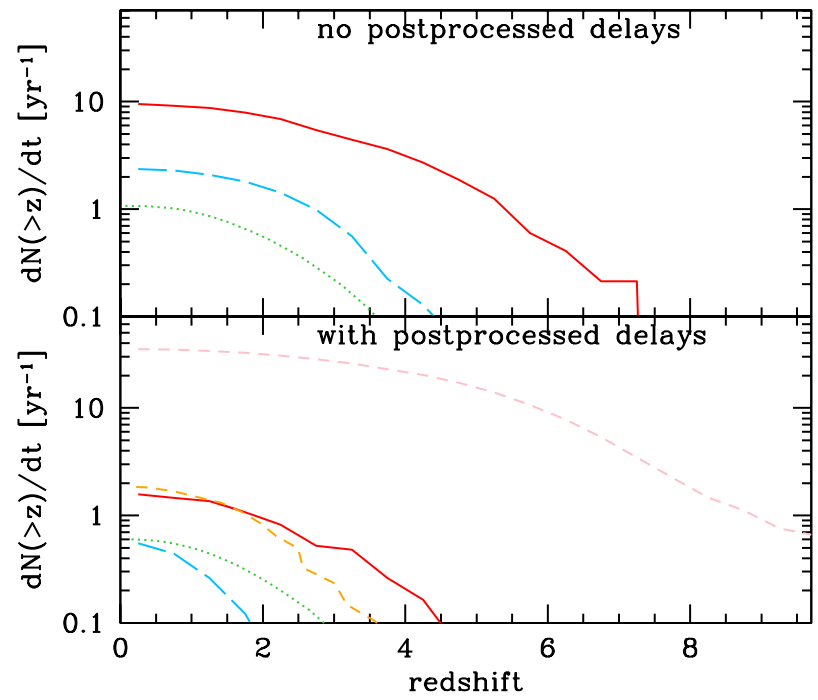
- SBH-SBH mergers dominate the detectable event rate followed by SBH-DCBH mergers.
- DCBH-DCBH mergers extremely rare.
- Changing seed mass of DCBH changes numbers slightly for detectable SBH-DCBH mergers.

Assumptions on BH seeds masses, feedback and merger timescales crucially determine event rates

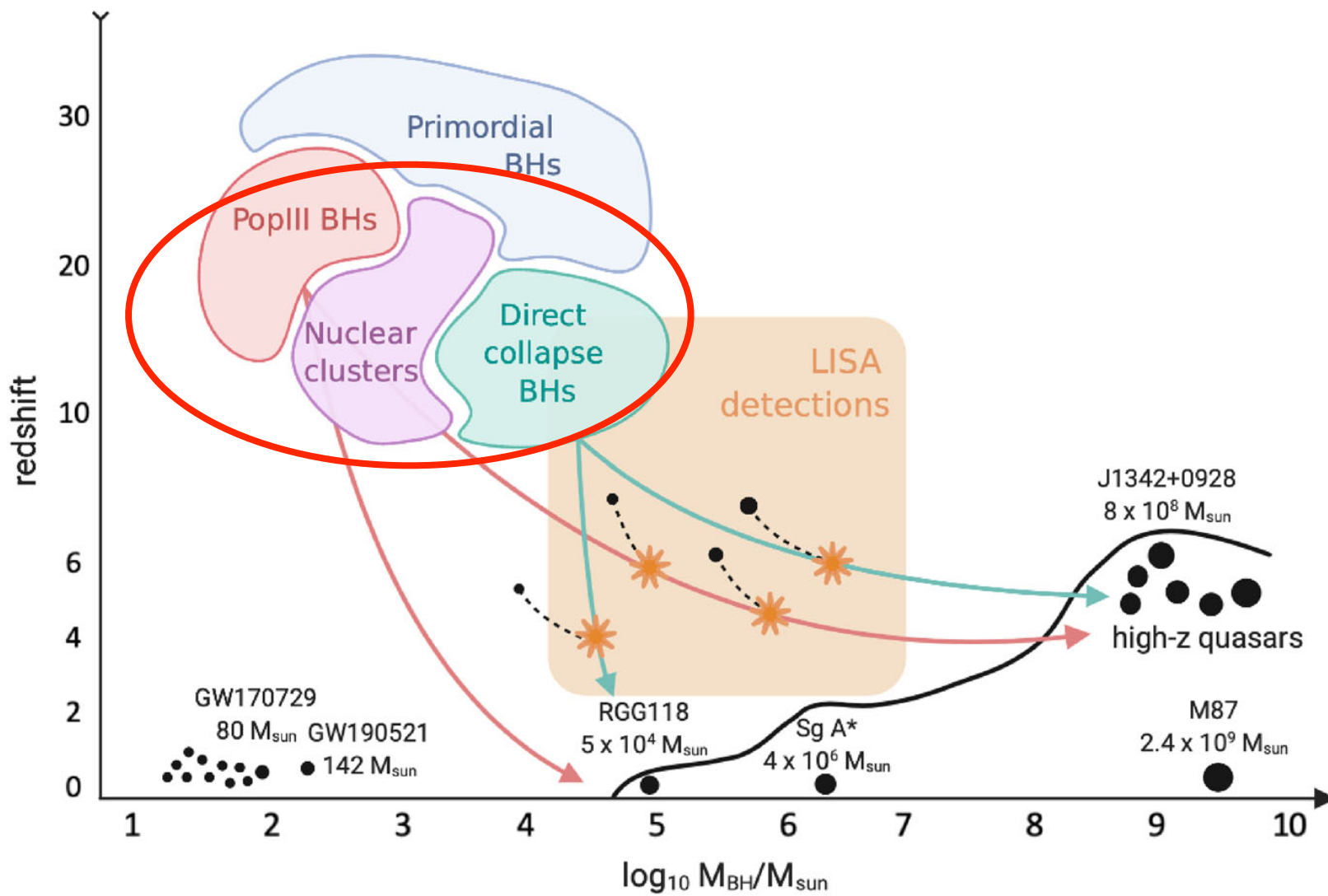


Numerical simulations

Semi-analytic models

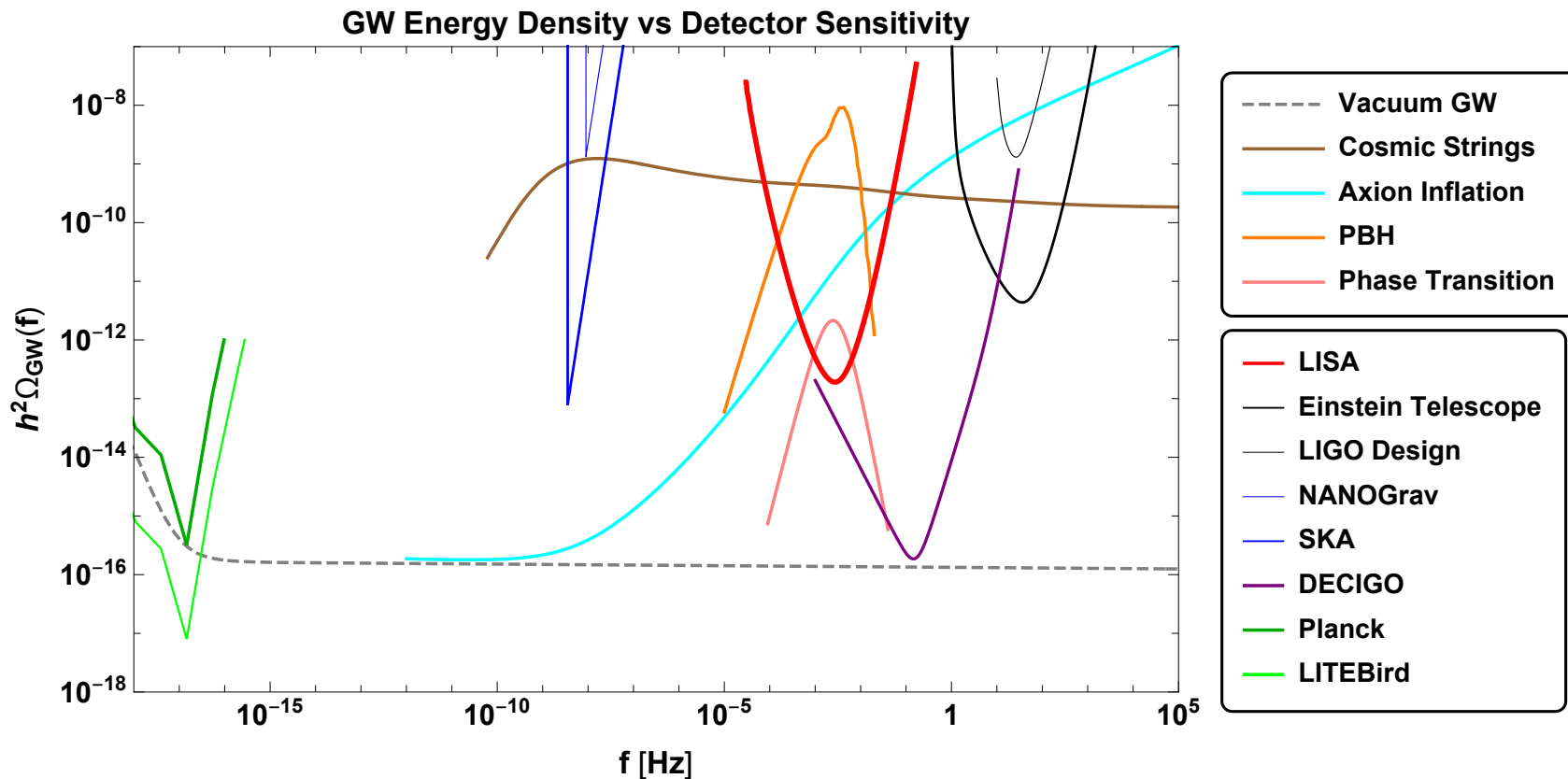


Outstanding issues 1: BH seeding mechanisms



“Astrophysics with LISA” white paper, 2023, LRR, 26, 2
arXiv:2203.06016

Outstanding issues 2: sources of the stochastic background



“Cosmology with LISA” white paper
arXiv:2204.05434

GWs: interface of cosmology, astrophysics and BH physics

Cosmology

- Cosmological model
- Large-scale structure
- Reionization..

Galaxy formation

- Galaxy populations and their time evolution
- Impact of galaxy formation on BH growth
- BH feedback impact on galaxy formation..

GWs

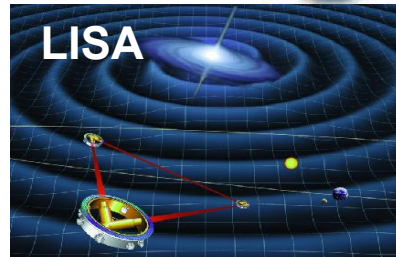
BH physics

- BH seeding
- BH growth
- BH binary formation, hardening and merger timescales..

Instrumental effects

- S/N limits
- Instrumental noise
- Deconvolving backgrounds..

Towards a holistic picture of BHs in the first billion years



Global properties of galaxy populations

link between halos and their baryons,
constraints on efficiency of star
formation and feedback

Individual galaxy properties

constraints on assembly
histories, dust formation
mechanisms, gas masses

21cm cosmology

constraints on source
population and its redshift
evolution, constraints on
topology and history of
reionization

Gravitational wave astronomy

constraints on black hole masses,
abundances; constraints on black
hole seeding and growth channels