



UNIVERSITY OF  
BIRMINGHAM

GRAVITATIONAL  
WAVE ASTRONOMY

# LECTURE 1: INTRODUCTION TO GRAVITATIONAL WAVES

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ISCRA 2024



## WHO AM I?

- ▶ **Patricia Schmidt:** Associate Professor in Gravitational Waves, University of Birmingham, UK
  - ▶ Member of LIGO since 2010
  - ▶ Co-chair of the **parameter estimation** technical working group in LIGO since 2020
  - ▶ Co-chair of the **waveforms** division of the Observational Science Board of the Einstein Telescope Collaboration since 2021
- ▶ Selected research interests:
  - ▶ Modelling gravitational waves from black holes & neutron stars incl. numerical relativity
  - ▶ GW source characterisation (parameter estimation)
  - ▶ Measuring black hole spins
  - ▶ Constraining the neutron equation of state with GWs

“Old Joe” - Tolkien’s inspiration for the Tower of Sauron



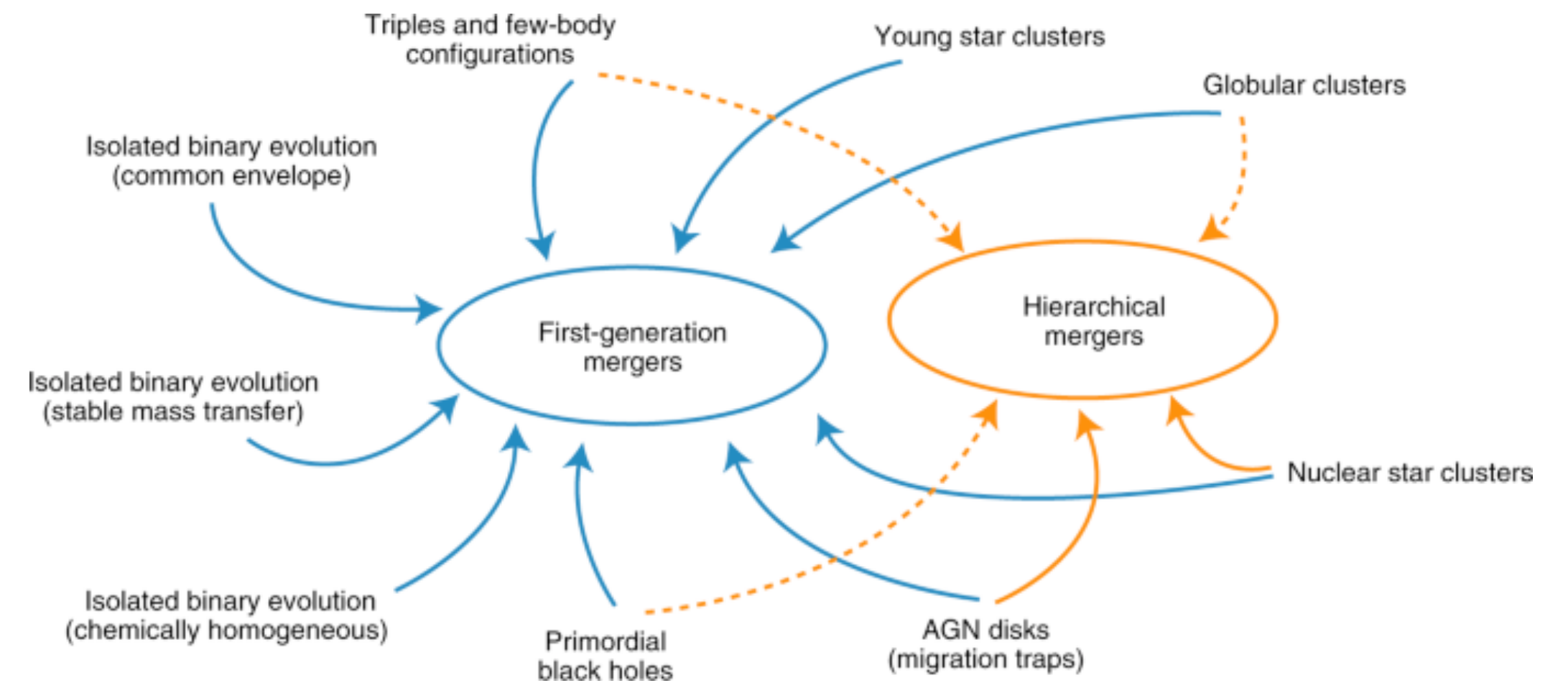
Get in touch: [P.Schmidt@bham.ac.uk](mailto:P.Schmidt@bham.ac.uk)





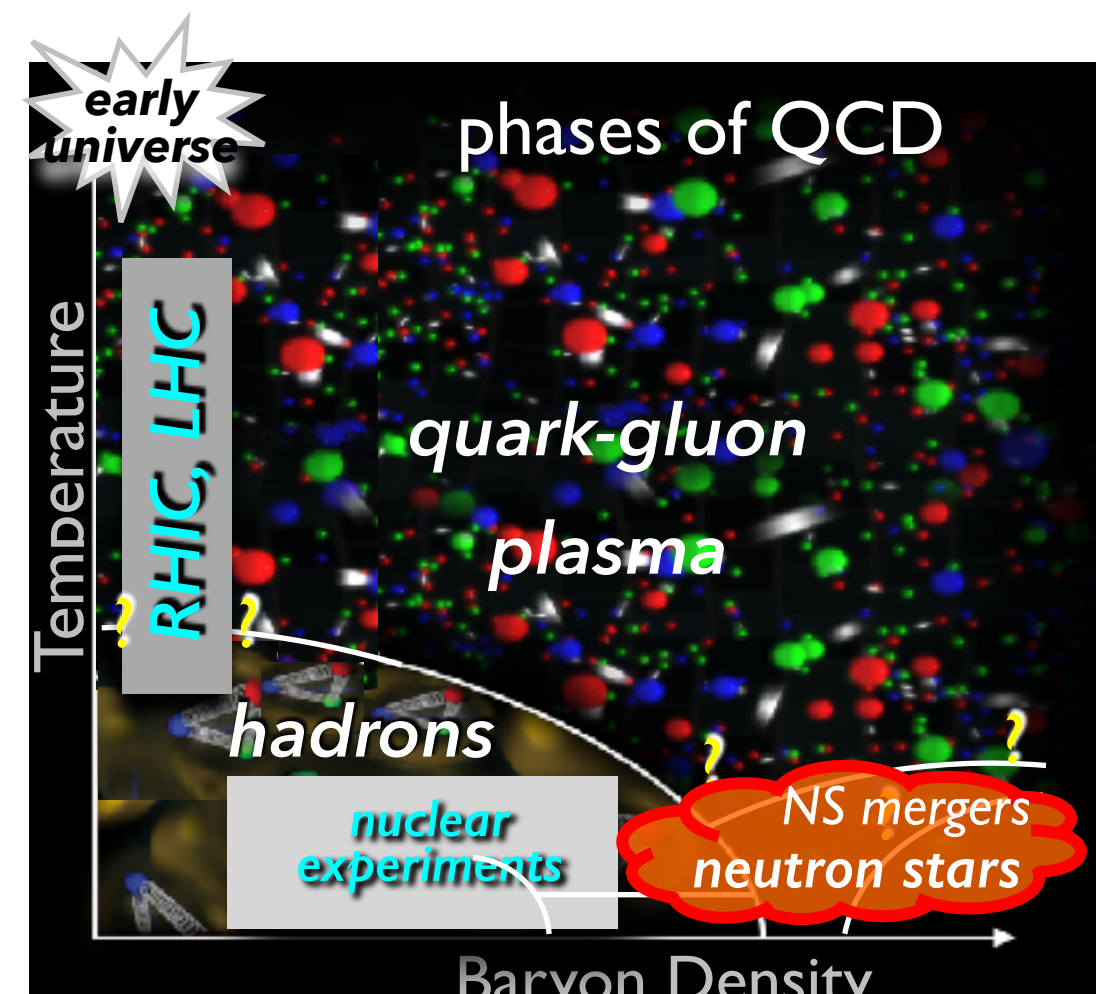
# GRAVITATIONAL-WAVE SCIENCE

- ▶ Discover the **dark side** of the Universe
  - ▶ Detect and determine **properties** of astrophysical (and primordial?) black holes
  - ▶ Measure **merger rates** of compact binaries
  - ▶ Inform **binary formation** models

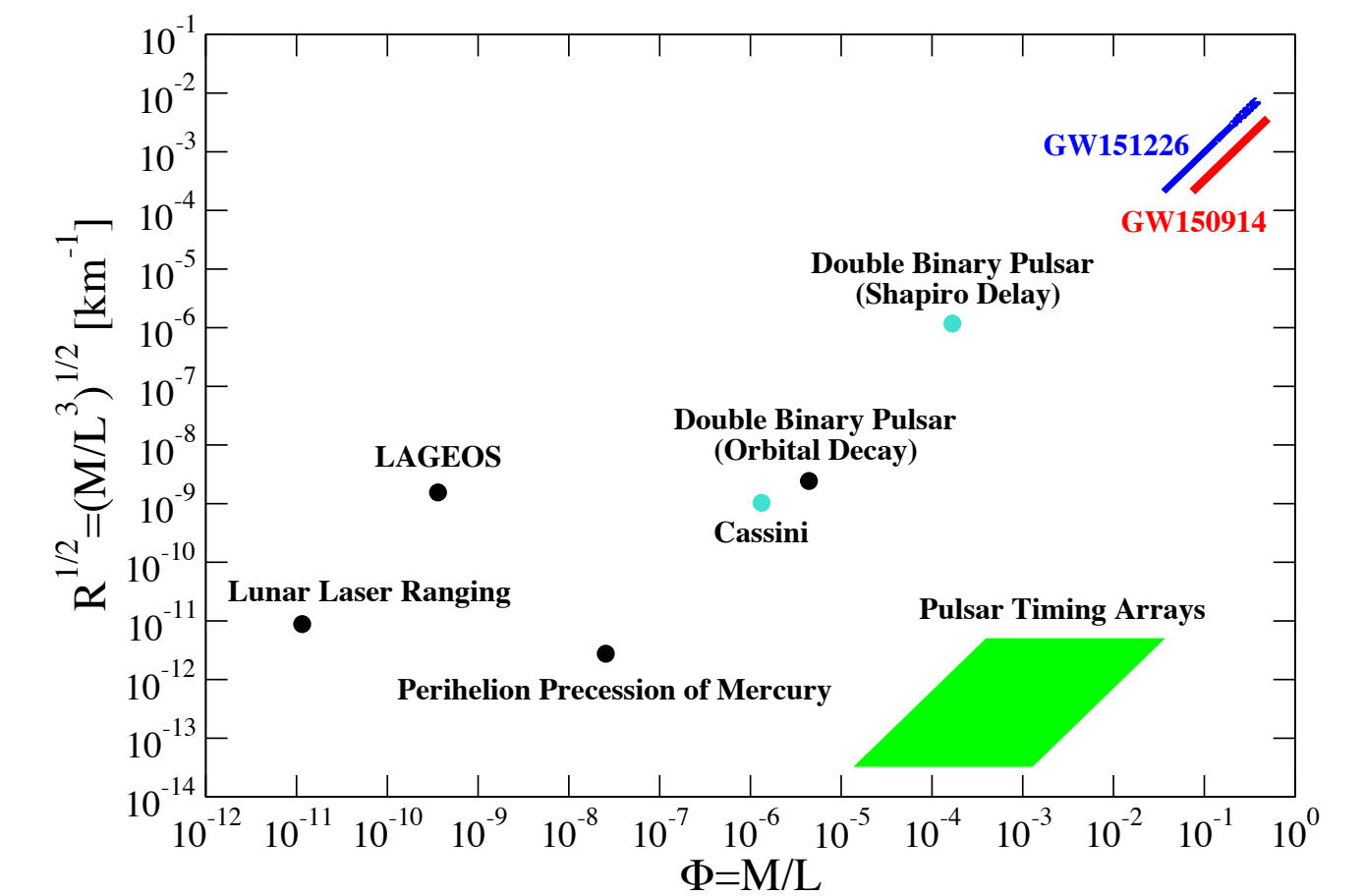


[Gerosa&Fishbach]

- ▶ Infer the **EOS of matter** at supra-nuclear densities, e.g. in neutron stars
- ▶ **Test GR** in the strong-field, high-curvature regime
- ▶ Independently measure the **expansion rate** of the Universe
- ▶ **Multimessenger astrophysics**
- ▶ Dark energy, dark matter
- ▶ ...



Credit: F. Linde



[Yunes, 2016]

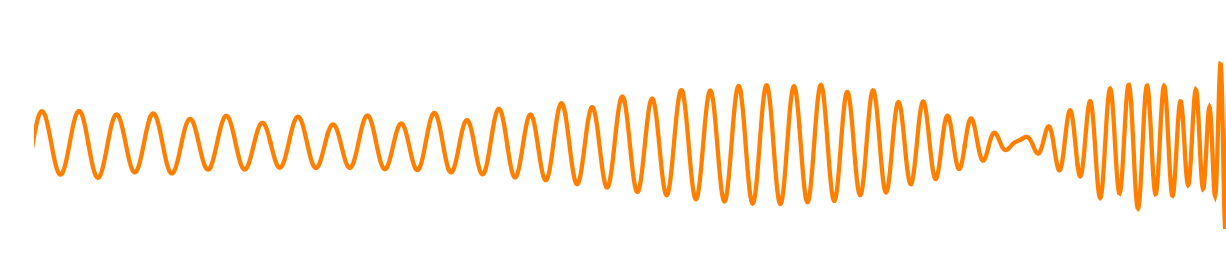
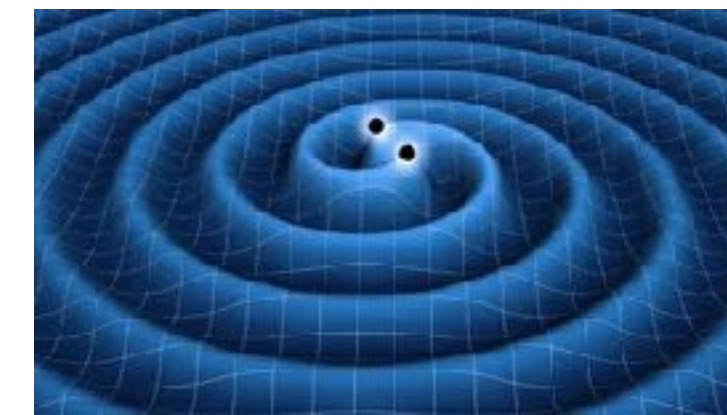




# OUTLINE

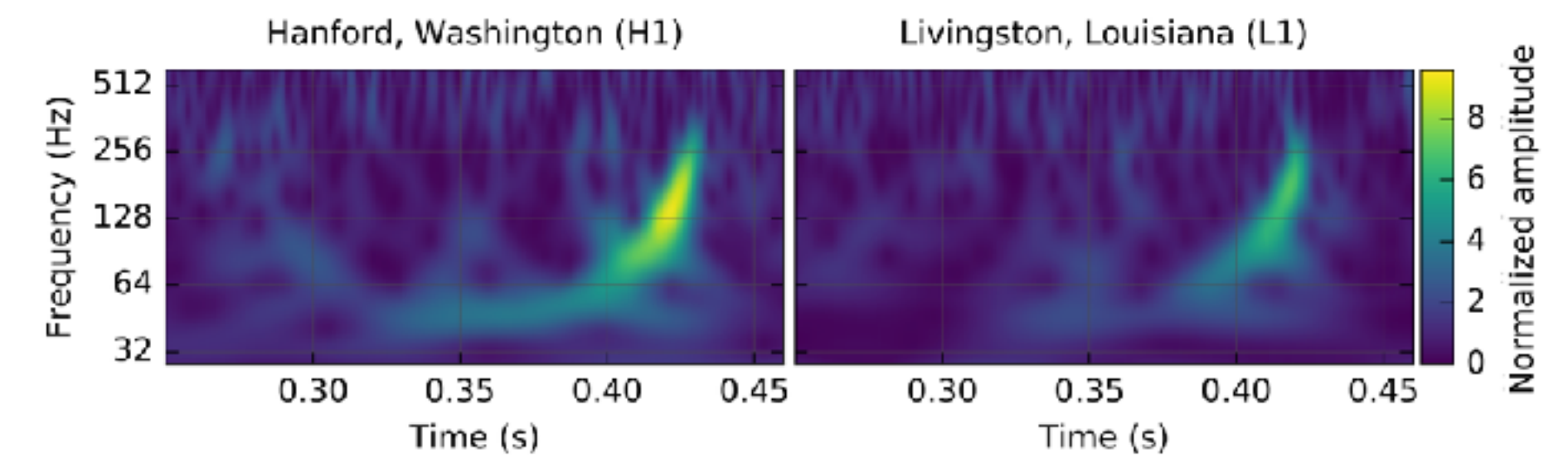
▶ **Lecture 1: Introduction; 22.7. @ 10:05**

- ▶ What are gravitational waves?
- ▶ Sources of gravitational waves
- ▶ Gravitational-wave detectors



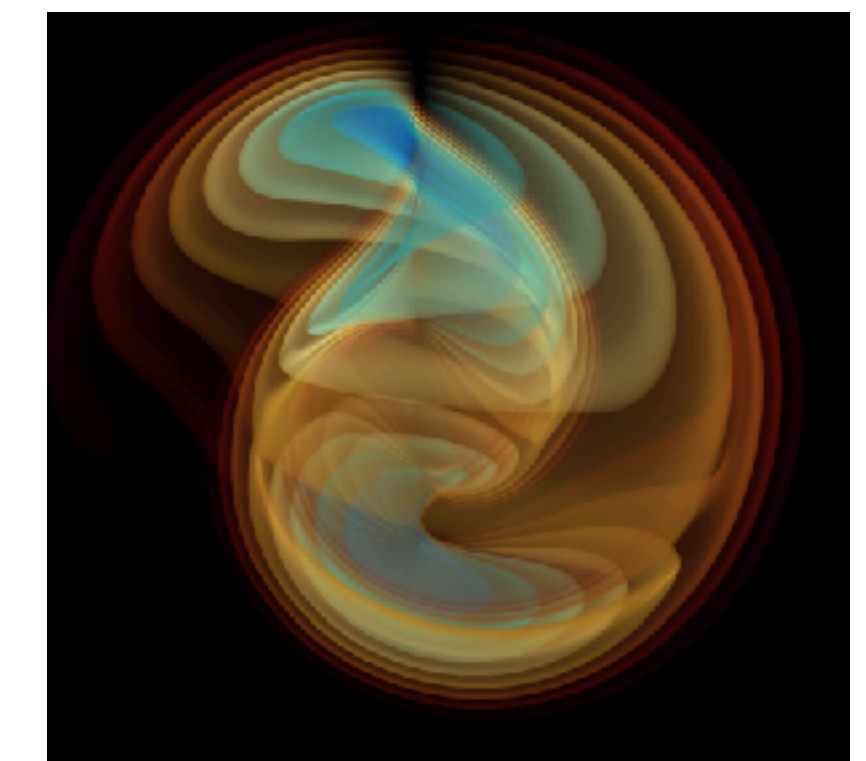
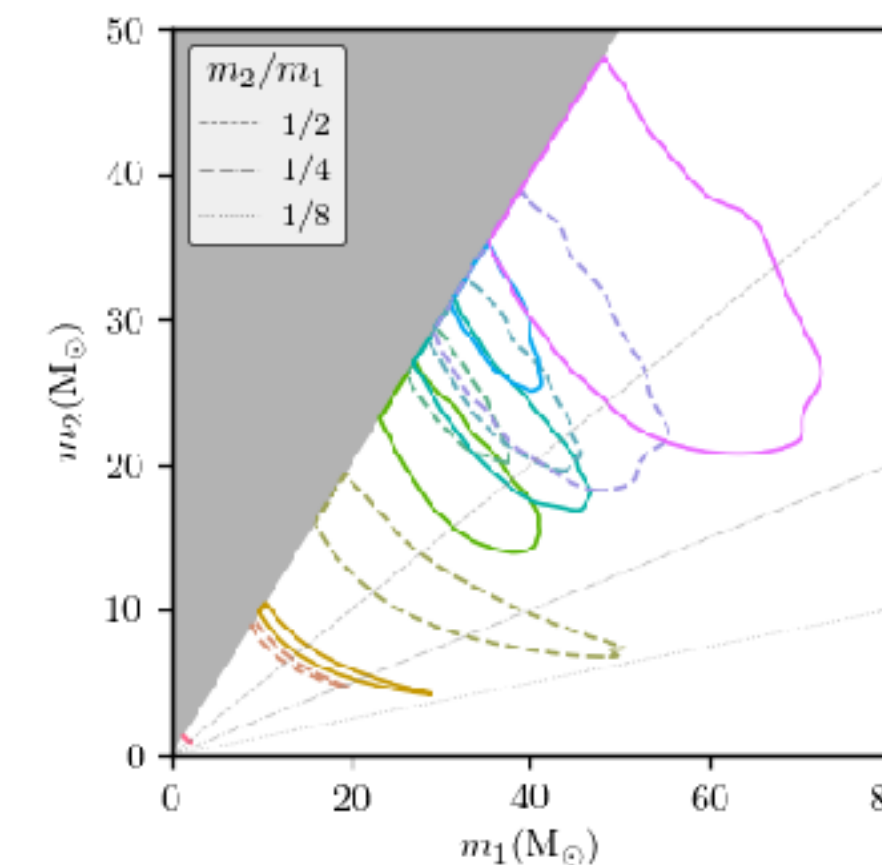
▶ **Lecture 2: Data Analysis for compact binaries; 23.7. @ 16:05**

- ▶ Detection: Matched filtering
- ▶ Parameter Estimation
- ▶ Modelling gravitational waves from compact binaries



▶ **Lecture 3: Observations; 27.7. @ 15:00**

- ▶ Gravitational-wave observations to date
- ▶ Future missions and prospects





# GRAVITATIONAL WAVES IN A NUTSHELL

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- ▶ Recommended literature:
  - ▶ Michele Maggiore, "Gravitational Waves", Volume 1
  - ▶ Bernard Schutz, "A first course in General Relativity"

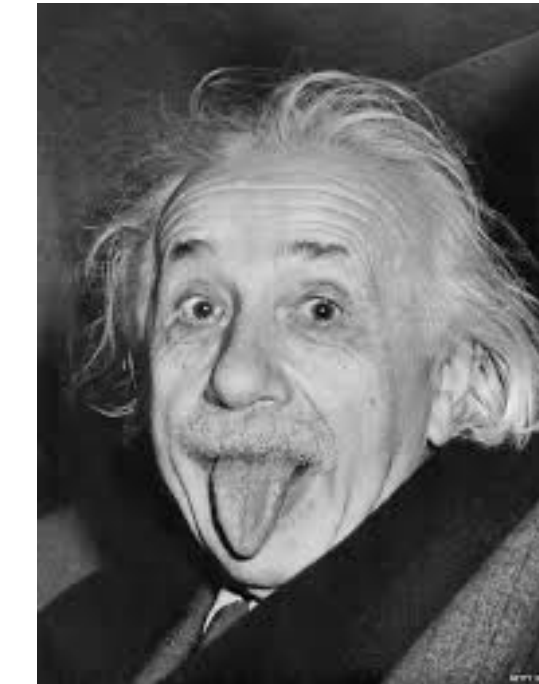
## GR REMINDER

- ▶ The gravitational field is a geometric property of 4D spacetime: **curvature**
  - ▶ **Metric tensor**  $g_{\mu\nu}$ : how to measure distances and angles in a curved manifold
  - ▶ Mass/energy curve spacetime

$$G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi T_{\mu\nu}$$

**Einstein field equations**

- ▶ Locally, for freely-falling observers the laws of special relativity hold (equivalence principle)
  - ▶ Freely-falling observers move along **geodesics** (shortest paths in general manifolds)
  - ▶ **Tidal effects** determine the relative acceleration between 2 freely-falling observers



Conventions:

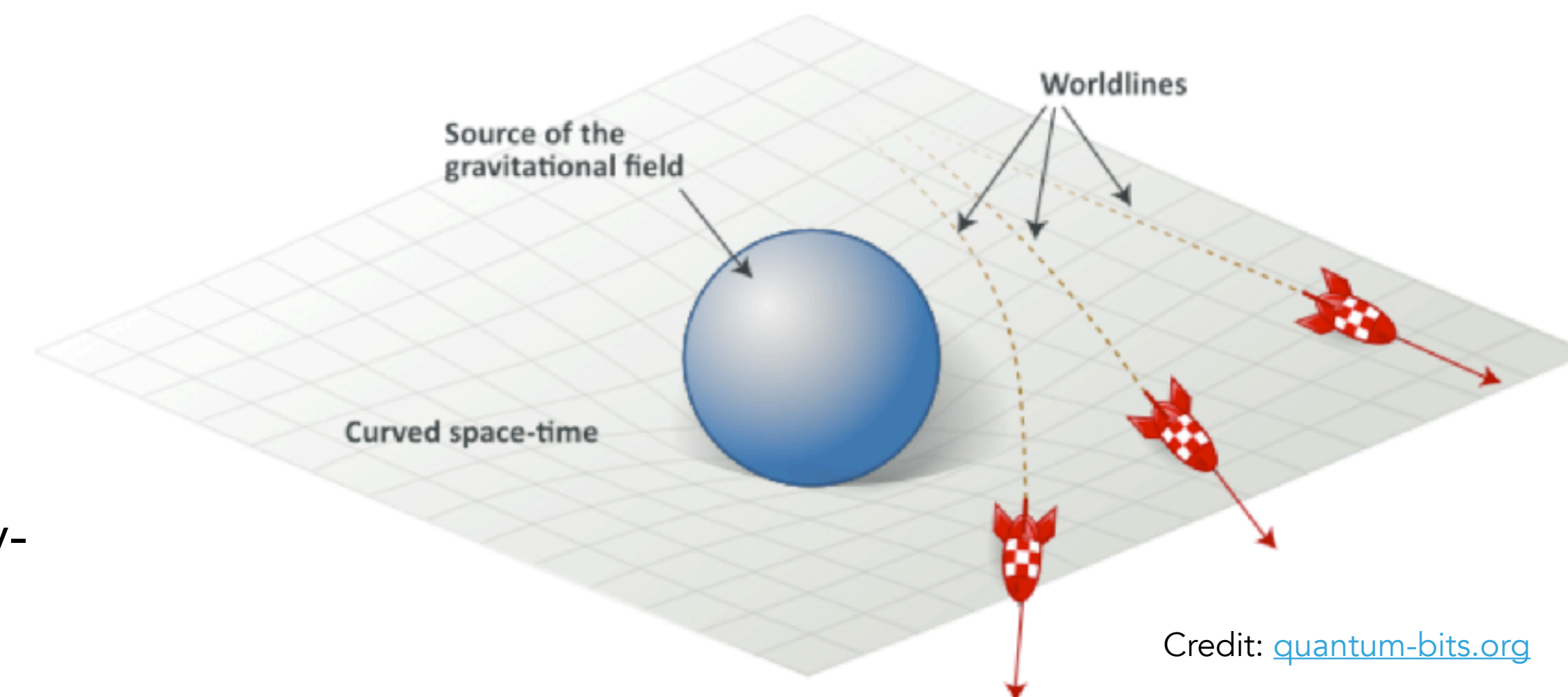
$$\text{sign}(\eta_{\mu\nu}) = (-1, 1, 1, 1)$$

$$u^\mu v_\mu = \sum_{\mu} u^\mu v_\mu$$

$$\mu \in \{0, 1, 2, 3\}$$

$$i \in \{1, 2, 3\}$$

$$G = c = 1$$



Credit: [quantum-bits.org](http://quantum-bits.org)



# LINEARISED GRAVITY

- ▶ GWs are a fundamental prediction of General Relativity (GR): propagating oscillations of the gravitation field generated by **accelerating masses**

- ▶ Transverse waves travelling at the speed of light  $c$

- ▶ Let us consider the vacuum **Einstein field equations** (far away from the source of the gravitational field):

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 0$$

- ▶ **Linearised gravity:** Far away from the source of the gravitational field, the metric  $g_{\mu\nu}$  is that of flat Minkowski space with a small metric perturbation  $h_{\mu\nu}$ , i.e.

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad \|h_{\mu\nu}\| \ll 1$$

- ▶ Compute all relevant quantities keeping only the terms linear in  $h_{\mu\nu}$  (higher order terms are discarded)

- ▶ Work with the trace-reversed metric perturbation to simplify expressions:  $\bar{h}_{\mu\nu} = h_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}h$



# LINEARISED GRAVITY

- ▶ Make use of the gauge freedom in GR!
- ▶ Using the **Lorenz (harmonic) gauge**,  $\partial^\nu \bar{h}_{\mu\nu} = 0$ , the Einstein field equations reduce to a wave equation for the trace-reversed metric perturbation tensor:

$$\square \bar{h}_{\mu\nu} \equiv \eta_{\mu\nu} \partial^\mu \partial^\nu \bar{h}_{\mu\nu} = \left( -\frac{1}{c^2} \partial_t^2 + \nabla^2 \right) \bar{h}_{\mu\nu} = 0$$

flat-space d'Alembertian

- ▶ Solutions to the wave equation are (superpositions of) plane waves:

$$\bar{h}_{\mu\nu}(t; \vec{x}) = \text{Re} \int d^3k A_{\mu\nu}(\vec{k}) e^{i(\vec{k} \cdot \vec{x} - \omega t)}$$

- ▶ Note:  $k^\mu = (\omega, \vec{k})$  and  $k^\mu A_{\mu\nu} = 0$  because of the Lorenz gauge

**These are gravitational waves!**





# TRANSVERSE-TRACELESS GAUGE

- ▶ The Lorenz gauge condition does not fix the GR gauge freedom completely for globally vacuum, asymptotically flat spacetimes
  - ▶ Impose **4 additional gauge conditions**:  $h = 0$  (traceless) &  $h_{00} = h_{0i} = 0$  (purely spatial)
  - ▶ From the Lorenz gauge condition it follows that  $\partial^i h_{ij} = 0$ , i.e. the metric perturbation is transverse
  - ▶ This is the transverse-traceless (TT) gauge, which is not necessary but very convenient.
  - ▶ The remaining DOF contain only physical information, non-gauge information about GWs!
- ▶ For a plane-wave travelling along the z-axis, the metric perturbation tensor in the TT gauge becomes:

$$h_{ij}^{\text{TT}}(t, z) = \begin{pmatrix} h_+ & h_\times & 0 \\ h_\times & -h_+ & 0 \\ 0 & 0 & 0 \end{pmatrix}_{ij} \cos(\omega(t - z/c))$$

- ▶ 2 DOF:  $h_+, h_\times$  are the two independent gravitational-wave polarisations
- ▶ Note: One can show that the radiative DOF are always contained in the TT-part of the metric perturbation in any gauge!



# INTERACTION OF GWS WITH TEST MASSES

- ▶ In curved space, test masses move along **geodesics** parameterised by the **proper time**  $\tau$ :

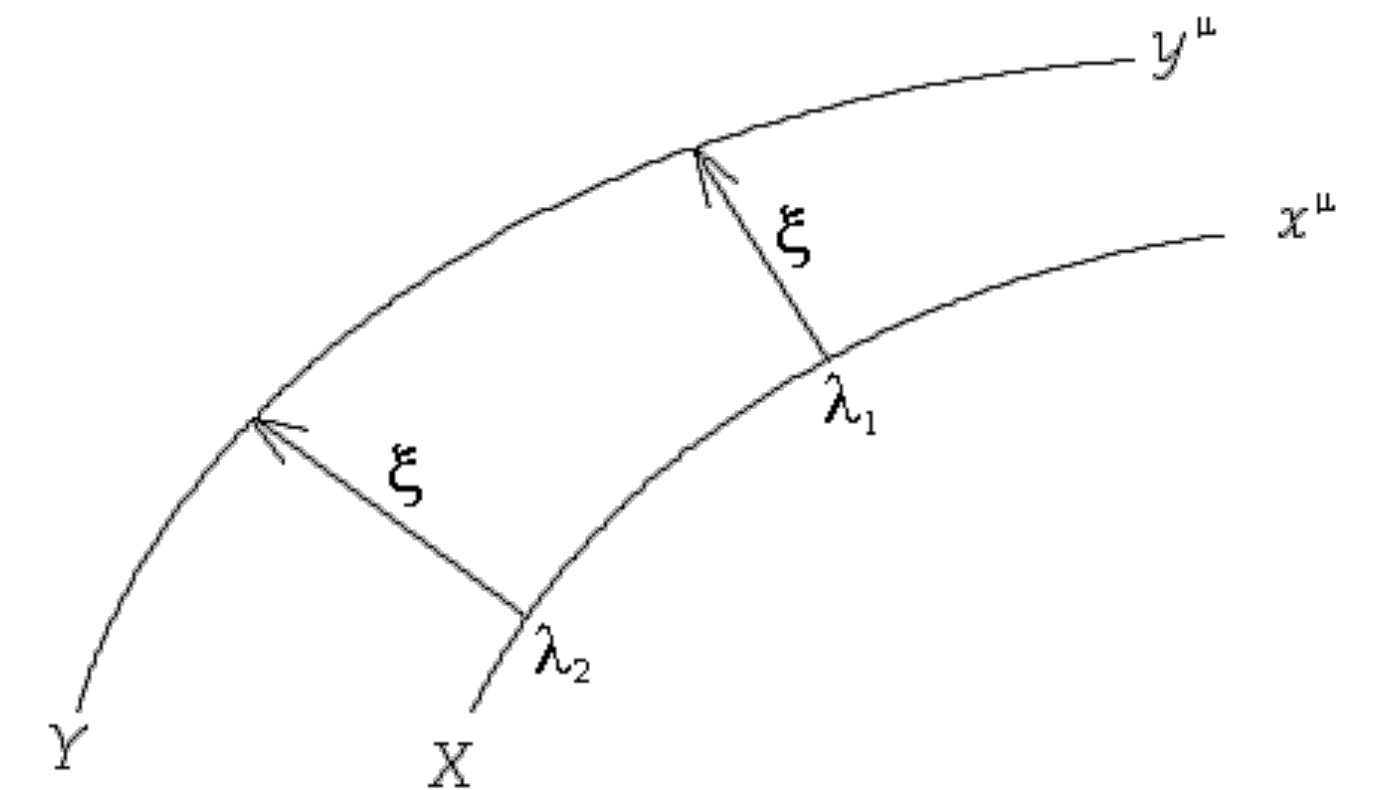
$$\frac{d^2 x^\mu}{d\tau^2} + \Gamma^\mu_{\nu\sigma} \frac{dx^\nu}{d\tau} \frac{dx^\sigma}{d\tau} = 0 \quad \text{geodesic equation}$$

- ▶ Let us consider two nearby geodesics, separated by an infinitesimal vector  $\xi^\mu(\tau)$ . If the separation is much smaller than the typical scale of the variation of the gravitational field, the first-order expansion leads to the **geodesic deviation equation**:

$$\frac{d^2 \xi^\mu}{d\tau^2} + 2\Gamma^\mu_{\nu\sigma} \frac{dx^\nu}{d\tau} \frac{d\xi^\sigma}{d\tau} + \xi^\sigma \partial_\sigma \Gamma^\mu_{\nu\rho} \frac{dx^\nu}{d\tau} \frac{d\xi^\rho}{d\tau} = 0$$

covariant derivative  $\rightarrow$

$$\frac{D^2 \xi^\mu}{D\tau^2} = -R^\mu_{\nu\rho\sigma} \xi^\rho \frac{dx^\nu}{d\tau} \frac{dx^\sigma}{d\tau}$$



- ▶ The **separation between the two geodesics** changes with time in the presence of a gravitational field
  - ▶ Two nearby time-like geodesics experience a tidal force, which is determined by the Riemann tensor.





# INTERACTION OF GWS WITH TEST MASSES

- ▶ Consider a local rest frame at a point P; i.e.

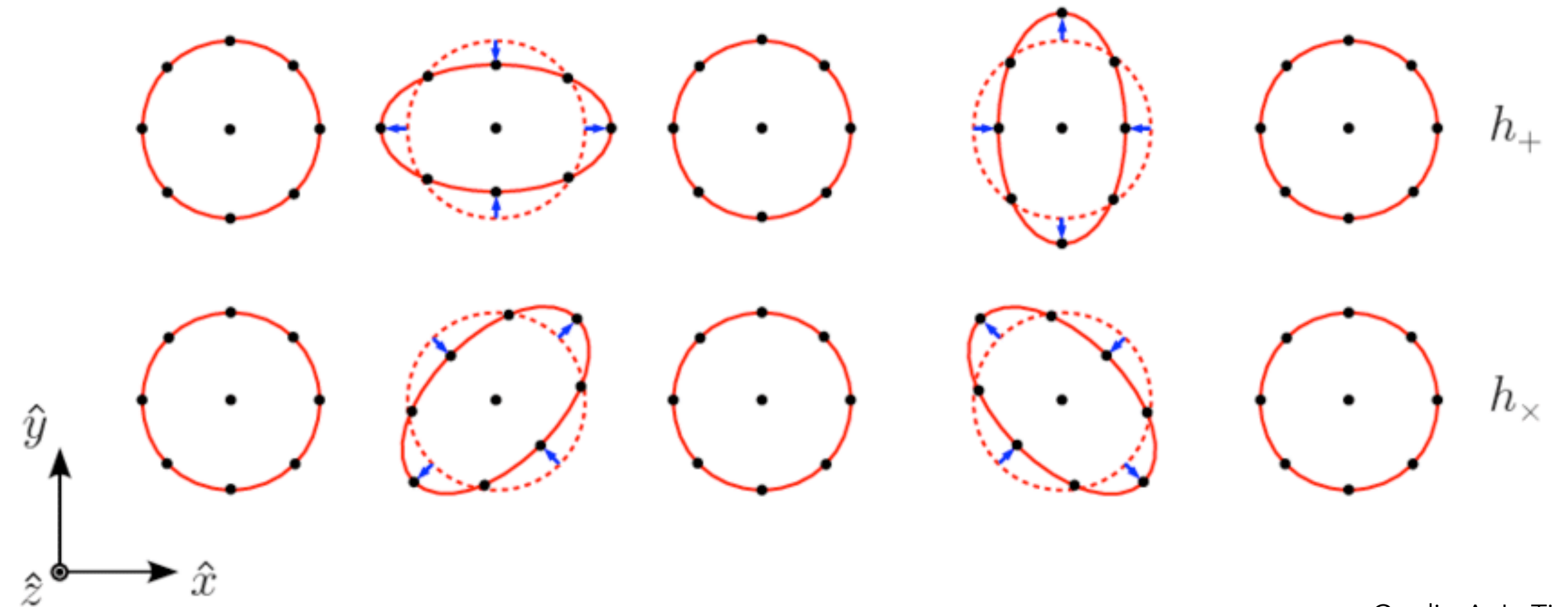
$$g_{\mu\nu}(P) = \eta_{\mu\nu} \quad \rightarrow \quad \Gamma^{\mu}_{\nu\sigma}(P) = 0$$

- ▶ Consider a non-relativistic observer (e.g. a GW detector), then  $dx^i/d\tau \ll dx^0/d\tau$

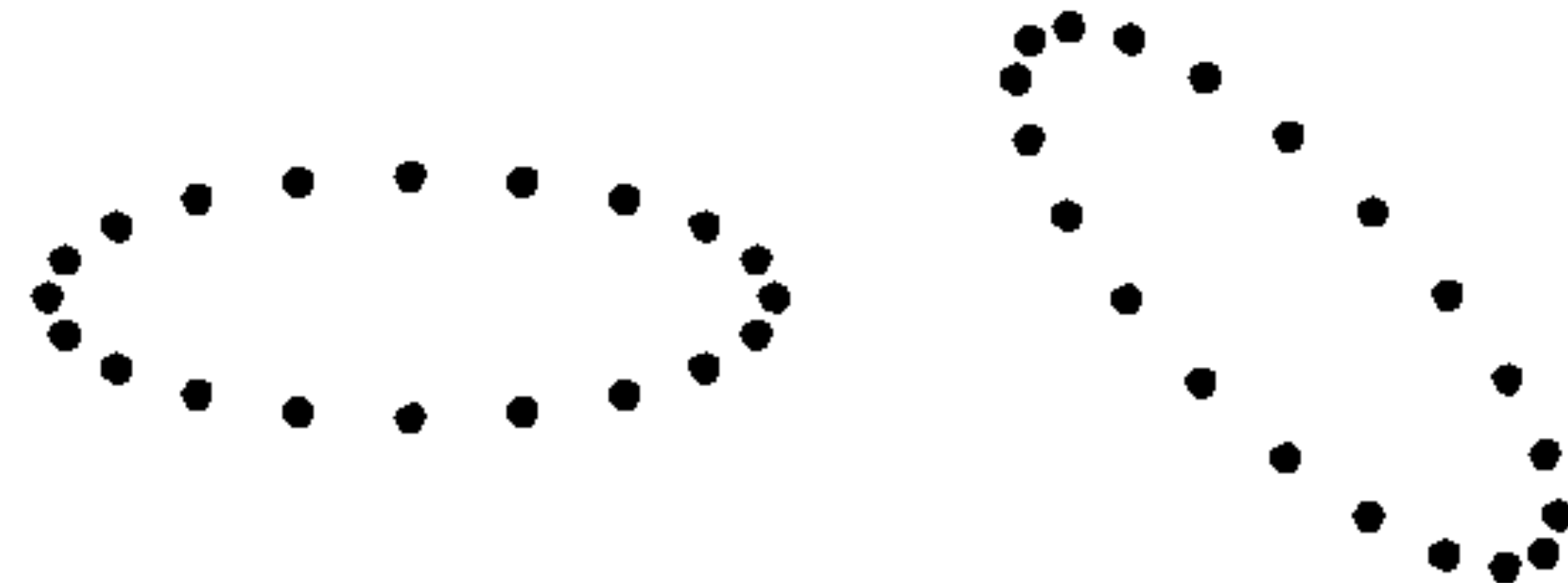
- ▶ Under these assumptions, the geodesic deviation equation reduces to:

$$\ddot{\xi}^i = \frac{1}{2} \ddot{h}^{\text{TT}}_{ij} \xi^j$$

- ▶ Gravitational waves have the effect of tidal waves, i.e. they change the **proper separation** between two freely-falling test masses periodically: "stretching" and "squeezing" of spacetime

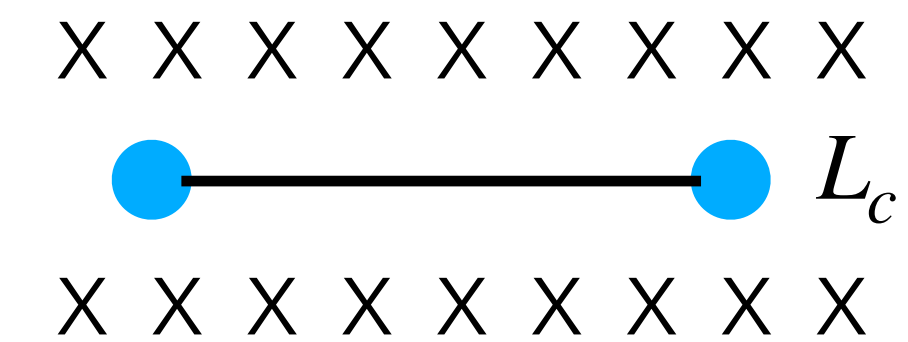


Credit: A. LeTiec



# INTERACTION OF GWS WITH TEST MASSES

- ▶ Let us consider two freely falling test masses located at  $z = 0$  and separated by a **coordinate distance**  $L_c$  along the x-axis.
- ▶ Consider a GW travelling down the z-axis in the TT gauge:  $h_{\mu\nu}^{\text{TT}}(t; z)$ .
- ▶ Then the **proper distance**  $L$  between the two test masses is given by:



$$L = \int_0^{L_c} dx \sqrt{g_{xx}} = \int_0^{L_c} dx \sqrt{1 + h_{xx}^{\text{TT}}(t; z = 0)}$$

$$\simeq \int_0^{L_c} dx \left[ 1 + \frac{1}{2} h_{xx}^{\text{TT}}(t; z = 0) \right] = L_c \left[ 1 + \frac{1}{2} h_{xx}^{\text{TT}}(t; z = 0) \right]$$

- ▶ Note: We used the fact that the coordinate separation remains fixed in the TT gauge.
- ▶ When a GW passes, the proper separation changes by a fractional length change (**strain**)  $\delta L/L$  given by

$$\frac{\delta L}{L} \simeq \frac{1}{2} h_{xx}^{\text{TT}}(t; z = 0)$$

**This fractional length change = strain is what we measure in GW detectors!**

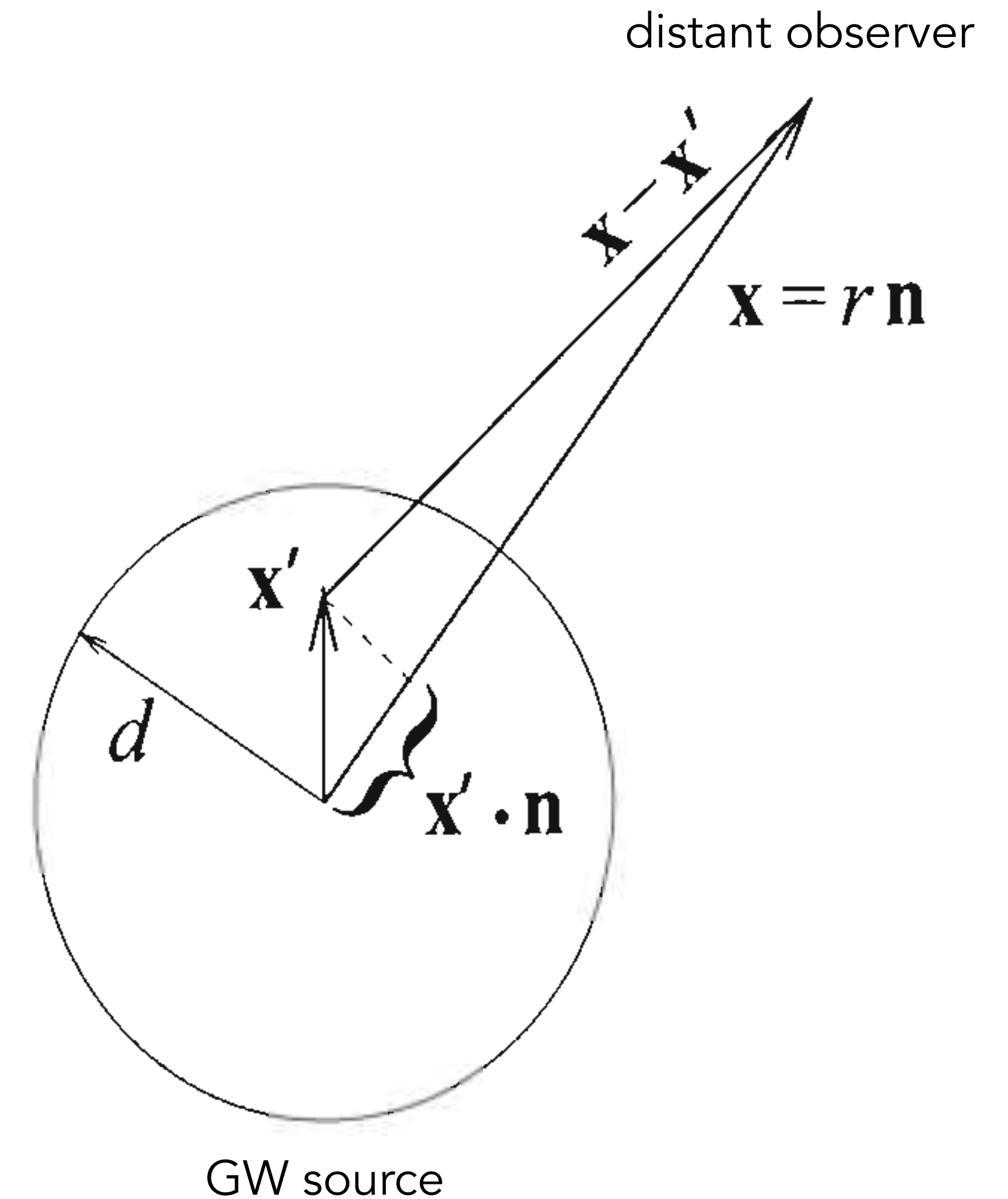


# GENERATION OF GRAVITATIONAL WAVES: QUADRUPOLE FORMULA

- ▶ Let us assume a **slowly moving source** in linearised gravity:  $v \ll c$
- ▶ The solutions to the **inhomogeneous wave equation** are plane waves (in the Lorenz gauge):

$$\bar{h}_{\mu\nu}(t; \vec{x}) = 4 \int d^3x' \frac{T_{\mu\nu}(t - |\vec{x} - \vec{x}'|; \vec{x}')}{|\vec{x} - \vec{x}'|}$$

- ▶ Recall that the radiative degrees of freedom are contained in the spatial TT-part of the metric:  $\mu\nu \rightarrow ij$
- ▶ At large distance from the source, we can perform a **multipole expansion** of the denominator analogous the EM to find  $\bar{h}_{ij}(t; \vec{x}) = \frac{4}{r} \int d^3x' T_{ij}(t - r; \vec{x}')$  (at linear order), where  $r := |\vec{x}|$ .





# GENERATION OF GRAVITATIONAL WAVES: QUADRUPOLE FORMULA

- ▶ Using the **continuity equation** in linearised gravity, i.e.  $\partial_\mu T^{\mu\nu} = 0$ , we can further simplify this integral:

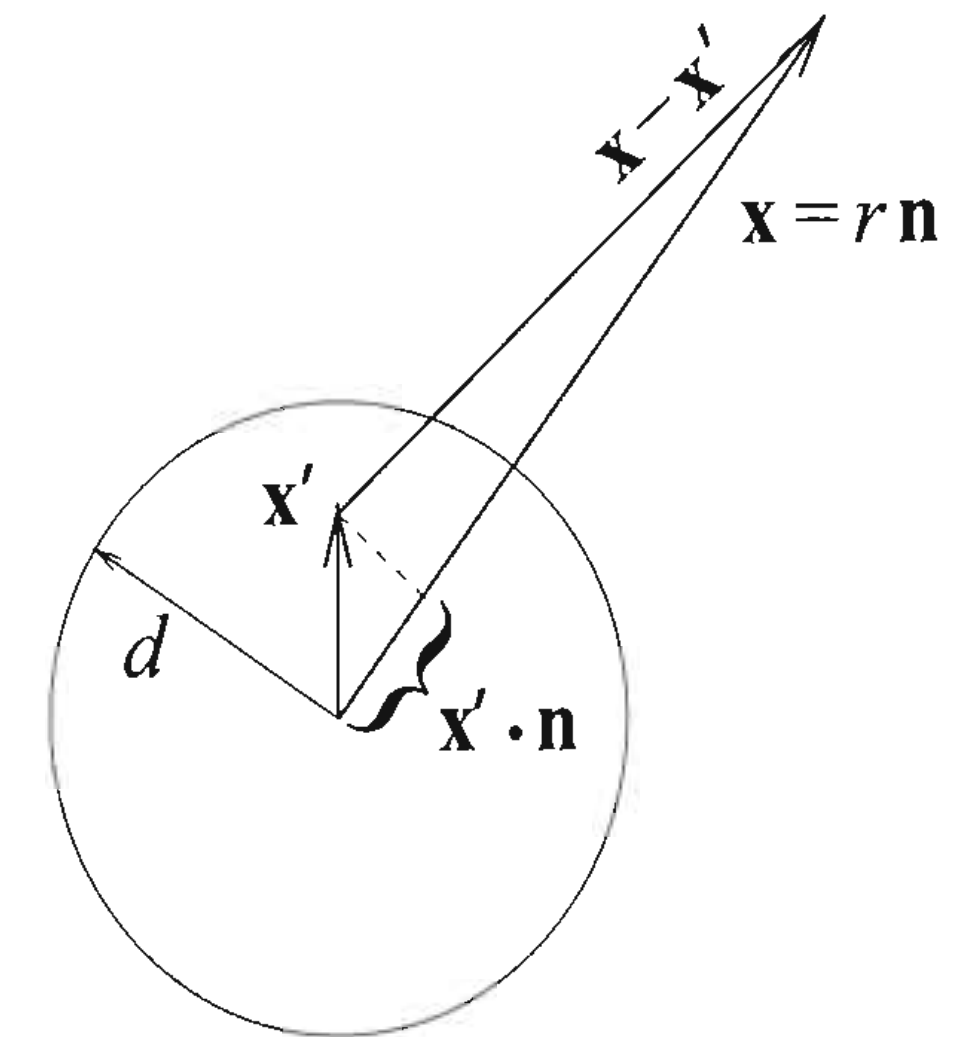
$$\frac{4}{r} \int d^3x' T_{ij}(t - r; \vec{x}') = \frac{2}{r} \frac{\partial^2}{\partial t^2} \int d^3x' \rho x^i x^j$$

- ▶ Using the definition of the moment of inertia tensor, we arrive at:

$$\bar{h}_{ij}(t; \vec{x}) = \frac{2}{r} \frac{d^2 I_{ij}(t - r)}{dt^2}$$

- ▶ By projecting out the TT part, we arrive at the final answer - the **quadrupole formula**:

$$h_{ij}^{\text{TT}}(t; \vec{x}) = \frac{2}{r} \frac{d^2 \mathcal{I}_{kl}(t - r)}{dt^2} P_{ik}(\hat{n}) P_{jl}(\hat{n}) \quad \text{mass quadrupole}$$



**A time-varying quadrupole moment sources GWs!**

# GENERATION OF GRAVITATIONAL WAVES: LUMINOSITY

- ▶ Gravitational waves are some of the most luminous events in the universe
  - ▶ GW150914 emitted about 3 solar masses in GWs in 0.2s! ( $\approx 3.6 \times 10^{49} \text{W}$ )
- ▶ GW waves **carry energy** and **momentum** away from the source
- ▶ The **stress-energy tensor of a propagating gravitational field** is given by the Isaacson expression

$$T_{\mu\nu} = \frac{1}{32\pi} \langle h_{jk,\mu}^{\text{TT}} h_{,\nu}^{\text{TT}jk} \rangle$$

- ▶ Brackets denote an average of regions of the size of the wavelength and times of the length of the period.
- ▶ The **GW luminosity** is obtained by integrating the flux over a distant sphere:

$$L_{\text{GW}} = \frac{1}{5} \left( \sum_{j,k} \ddot{I}_{jk} \ddot{I}_{jk} - \frac{1}{3} \ddot{I}^2 \right)$$

- ▶ Note:  $L_{\text{GW}}$  is dimensionless in geometric units but can be converted via the scale factor  $L_0 = c^5/G = 3.6 \times 10^{52} \text{W}$ .
  - ▶ For comparison: GRB 221009A  $\approx 10^{47} \text{W}$



# GRAVITATIONAL-WAVE SOURCES

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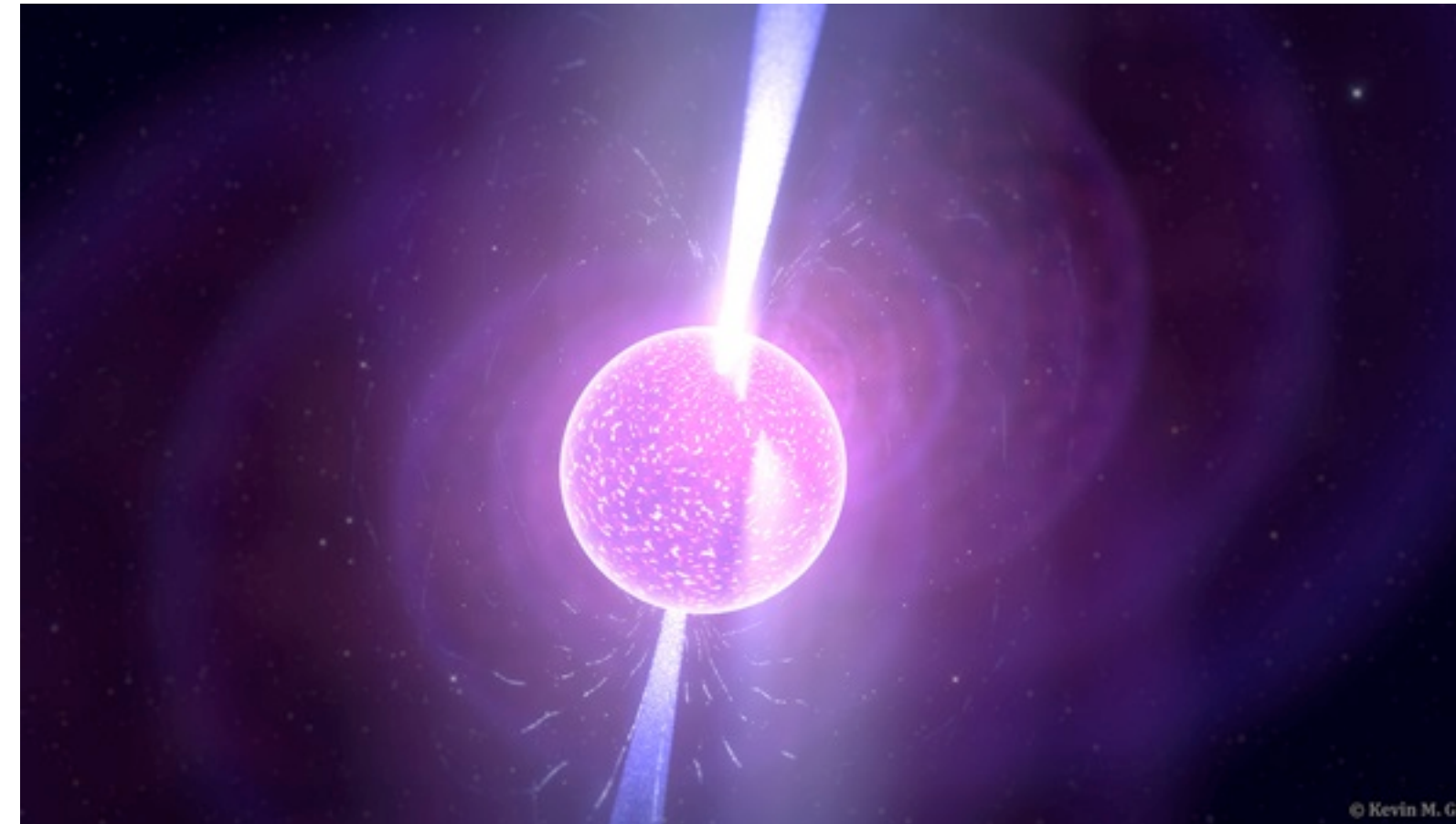
- ▶ Recommended literature:
  - ▶ Sathyaprakash & Schutz, Living Reviews in Relativity



# ASTROPHYSICAL SOURCES OF GRAVITATIONAL WAVES

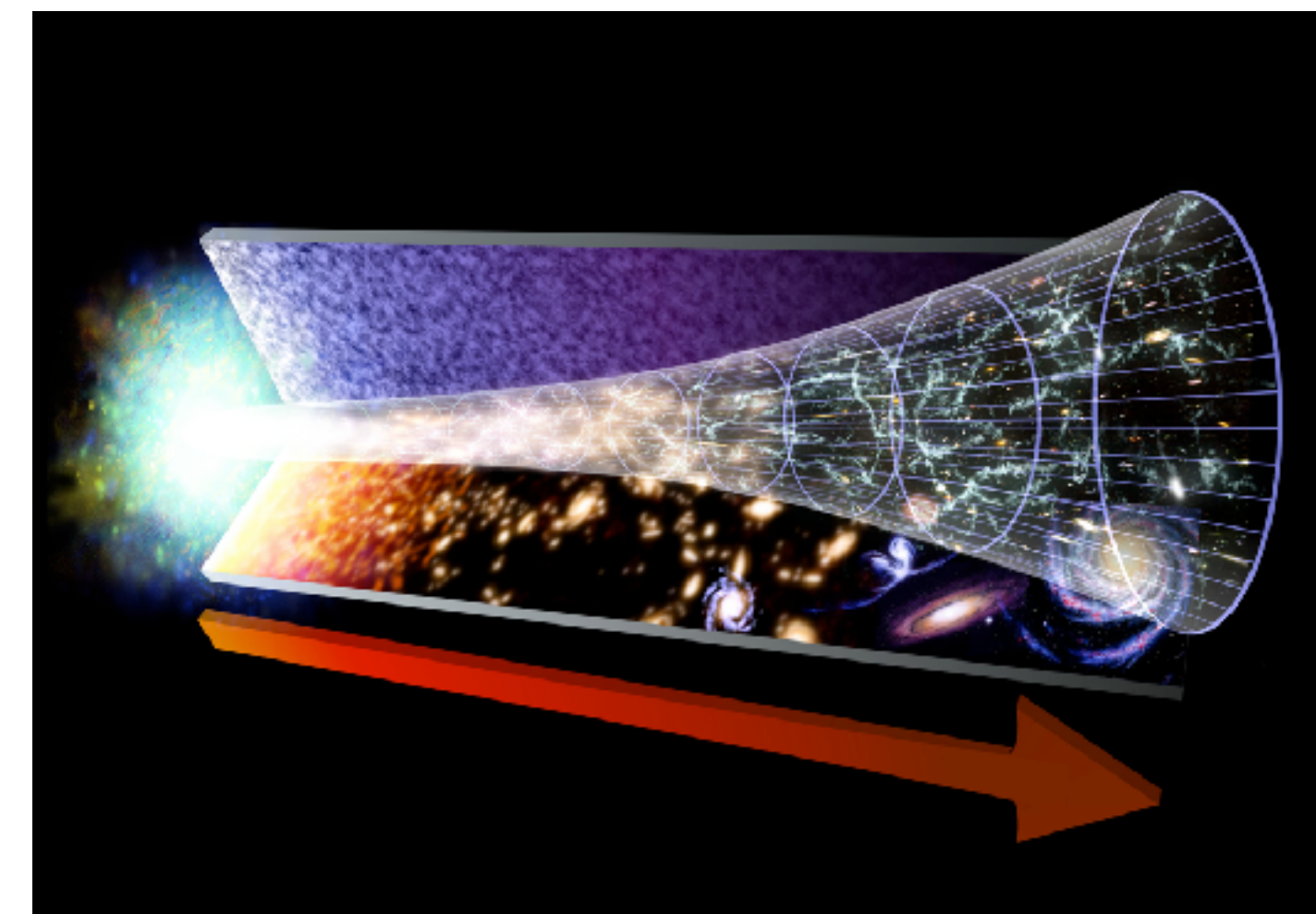
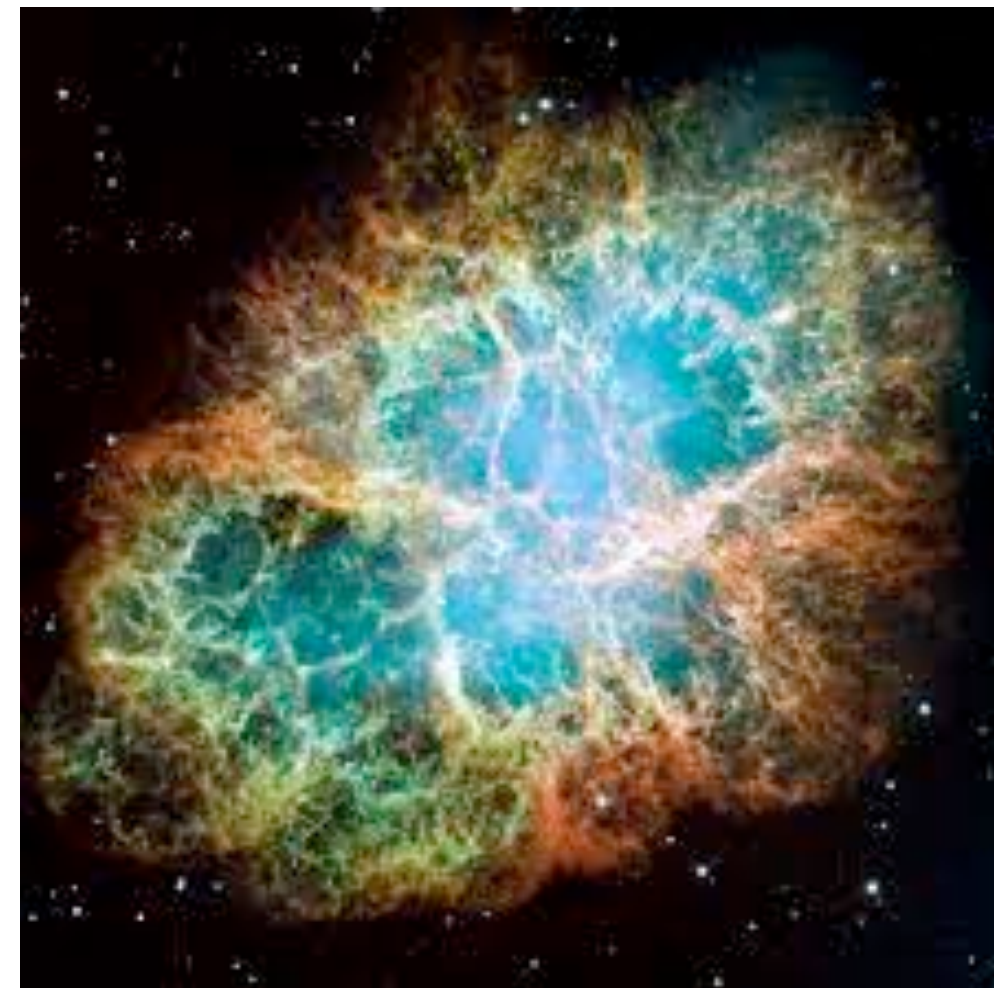
- ▶ Any mass distribution with a time-varying quadrupole moment sources gravitational waves

compact binaries



spinning neutron stars,  
pulsars, magnetars

supernovae



stochastic GW  
background  
(cosmological &  
astrophysical)



# COMPACT BINARIES

- ▶ Binary systems composed of **black holes** and **neutron stars** (also white dwarfs, supermassive black holes)
- ▶ Their orbital evolution is driven by the emission of gravitational waves, causing the orbit to shrink: "**chirp**" signal

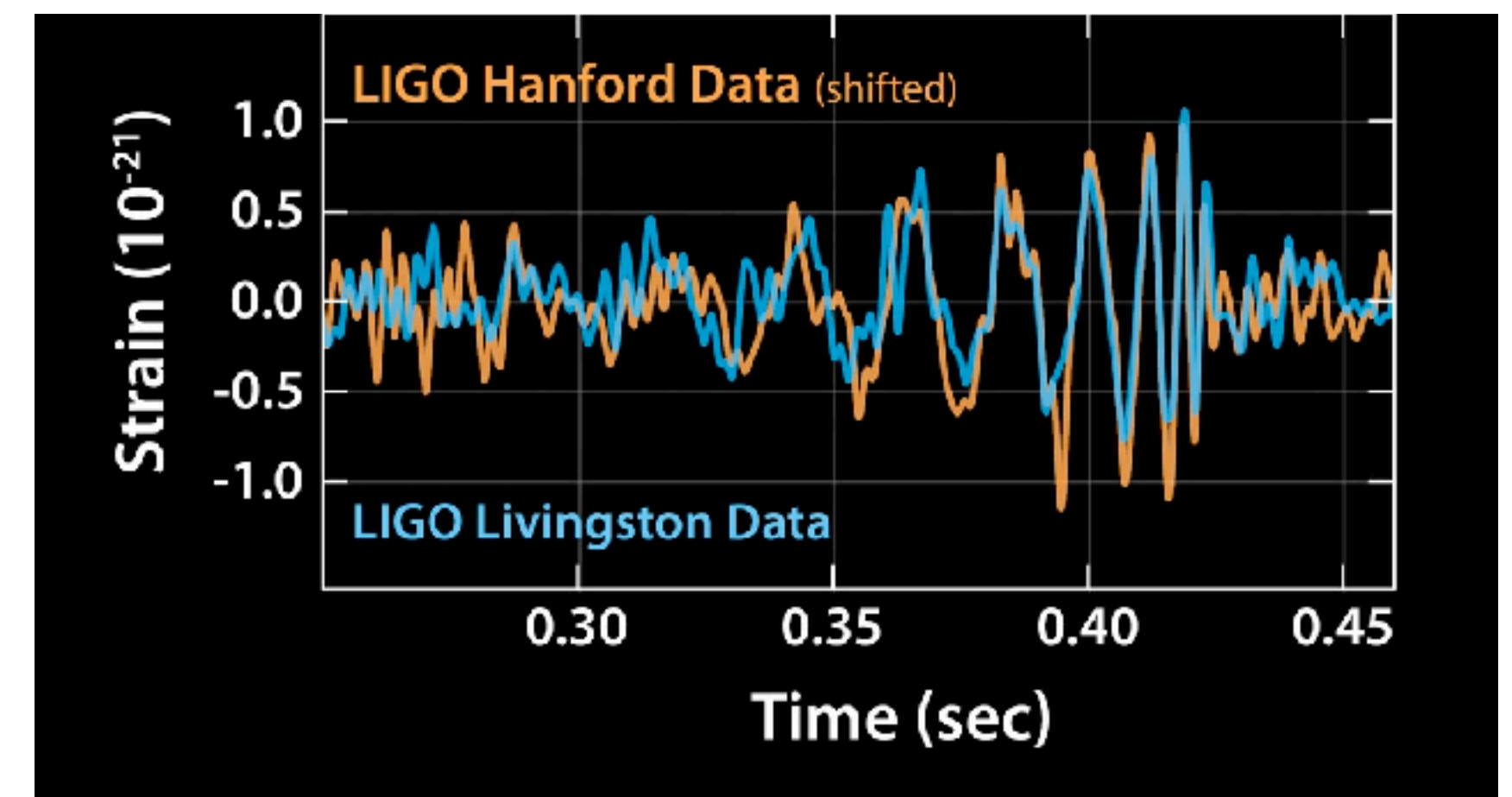
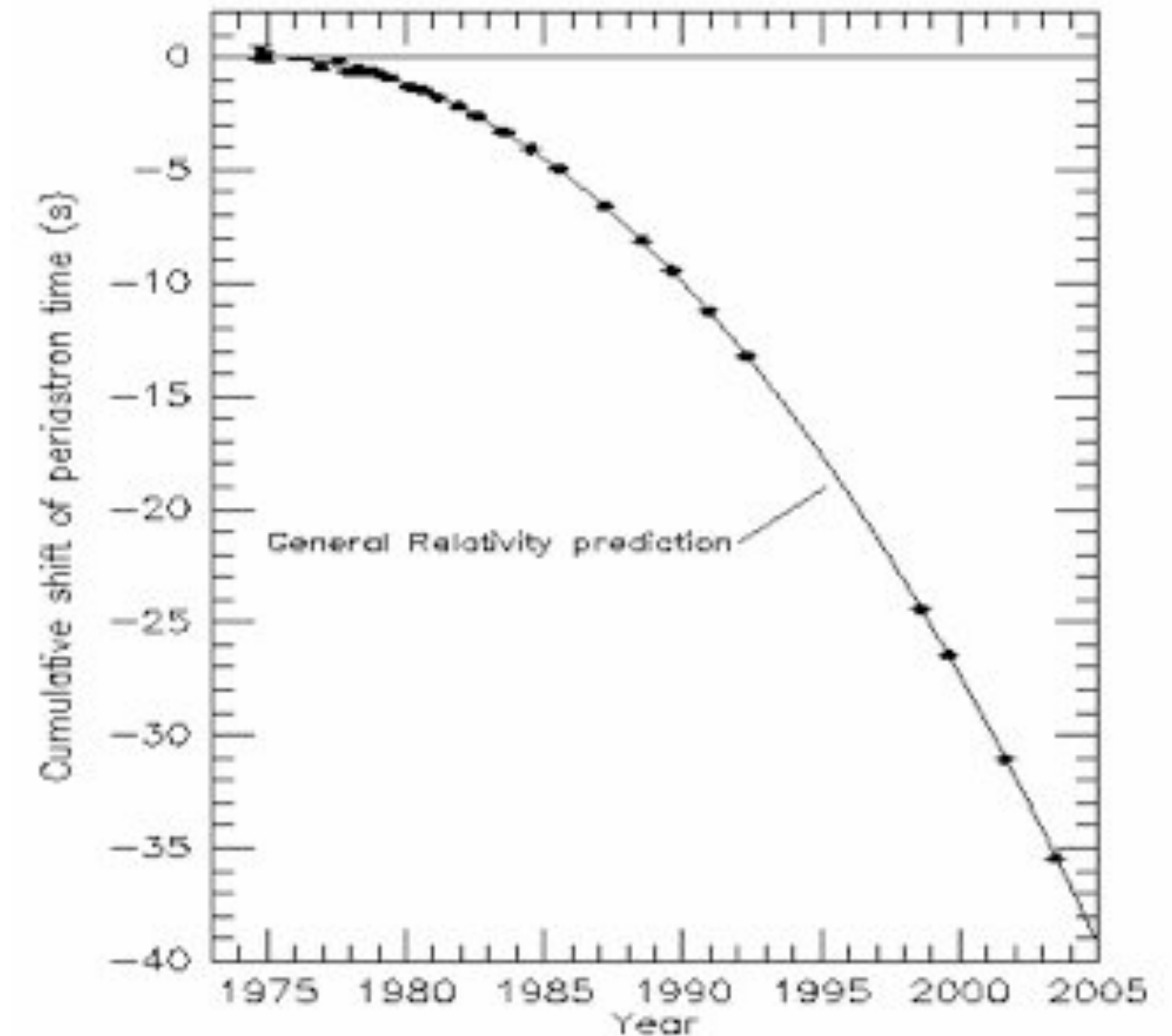
- ▶ The GW amplitude of a compact binary can be estimated as

$$h \sim \frac{2}{r} \mathcal{M}_c^{5/3} \omega_{\text{orb}}^{2/3} \quad [\text{"chirp mass": } \mathcal{M}_c = (m_1 m_2)^{3/5} / (m_1 + m_2)^{1/5}]$$

- ▶ The **characteristic frequency** of a compact object can be estimated as

$$f_0 \sim \frac{1}{4\pi} \left( \frac{3M}{R^3} \right)^{1/2} \sim 1\text{kHz} \left( \frac{10M_\odot}{M} \right)$$

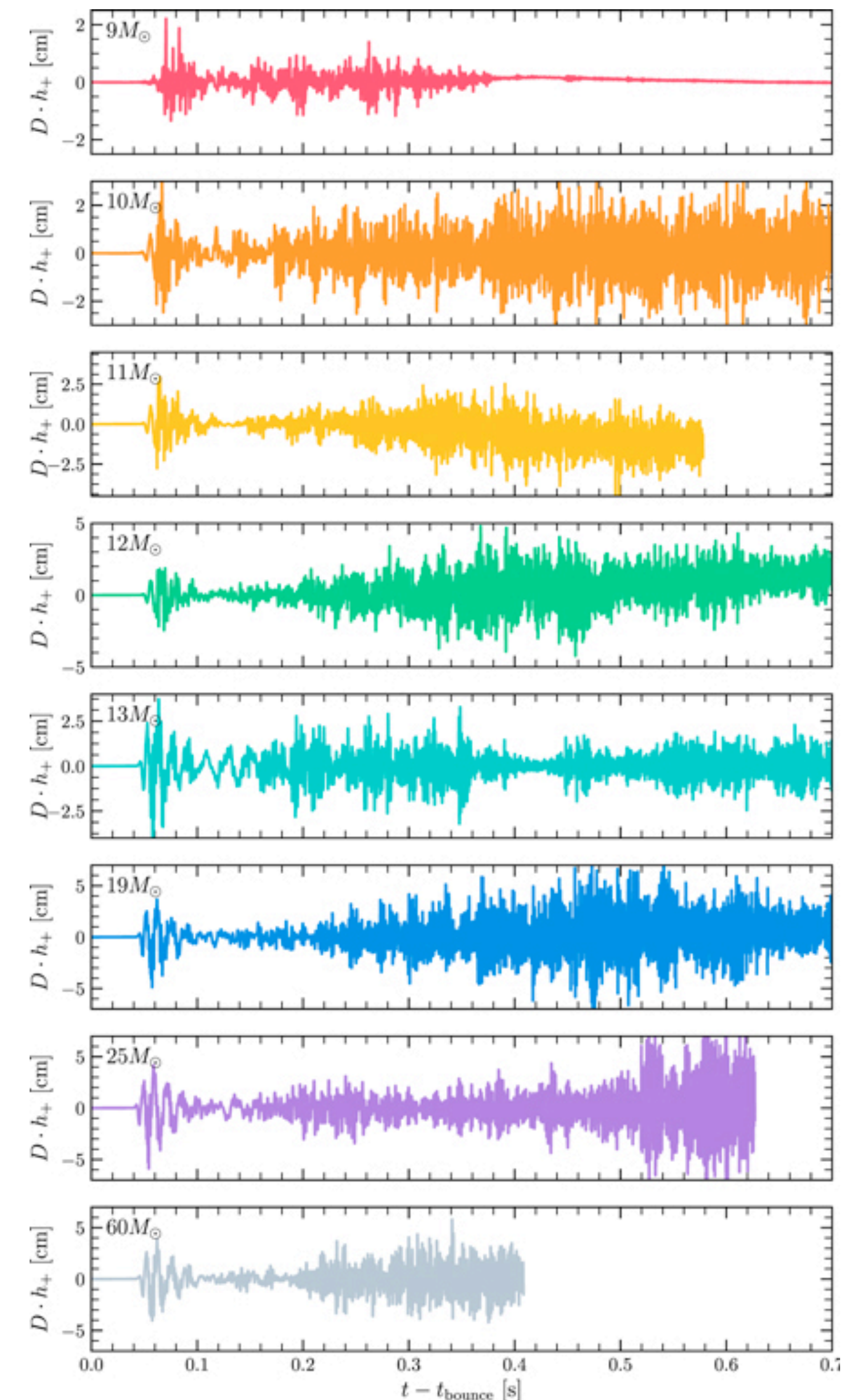
- ▶ Famous examples: Hulse-Taylor binary pulsar PSR B1913+16, GW150914, GW170817
  - ▶ Note: All directly GWs detected to date are consistent with compact binary mergers



# CORE-COLLAPSE SUPERNOVAE

- ▶ Type II supernovae (CCSNe): Massive stars ( $8M_{\odot} \lesssim M \lesssim 50M_{\odot}$ ) collapse at the end of their life and form either a black hole or a neutron star (remnant)
- ▶ If the collapse is non-spherical, GWs can carry away binding energy and angular momentum
- ▶ The Type II SNe rate in a Milky Way-like galaxy is 0.01-0.1 per year
- ▶ The GW amplitude can be estimated to be

$$h \sim 6 \times 10^{-21} \left( \frac{E_{\text{GW}}}{10^{-7} M_{\odot}} \right)^{1/2} \left( \frac{1 \text{ms}}{T} \right)^{1/2} \left( \frac{1 \text{kHz}}{f} \right) \left( \frac{10 \text{kpc}}{D_L} \right)$$

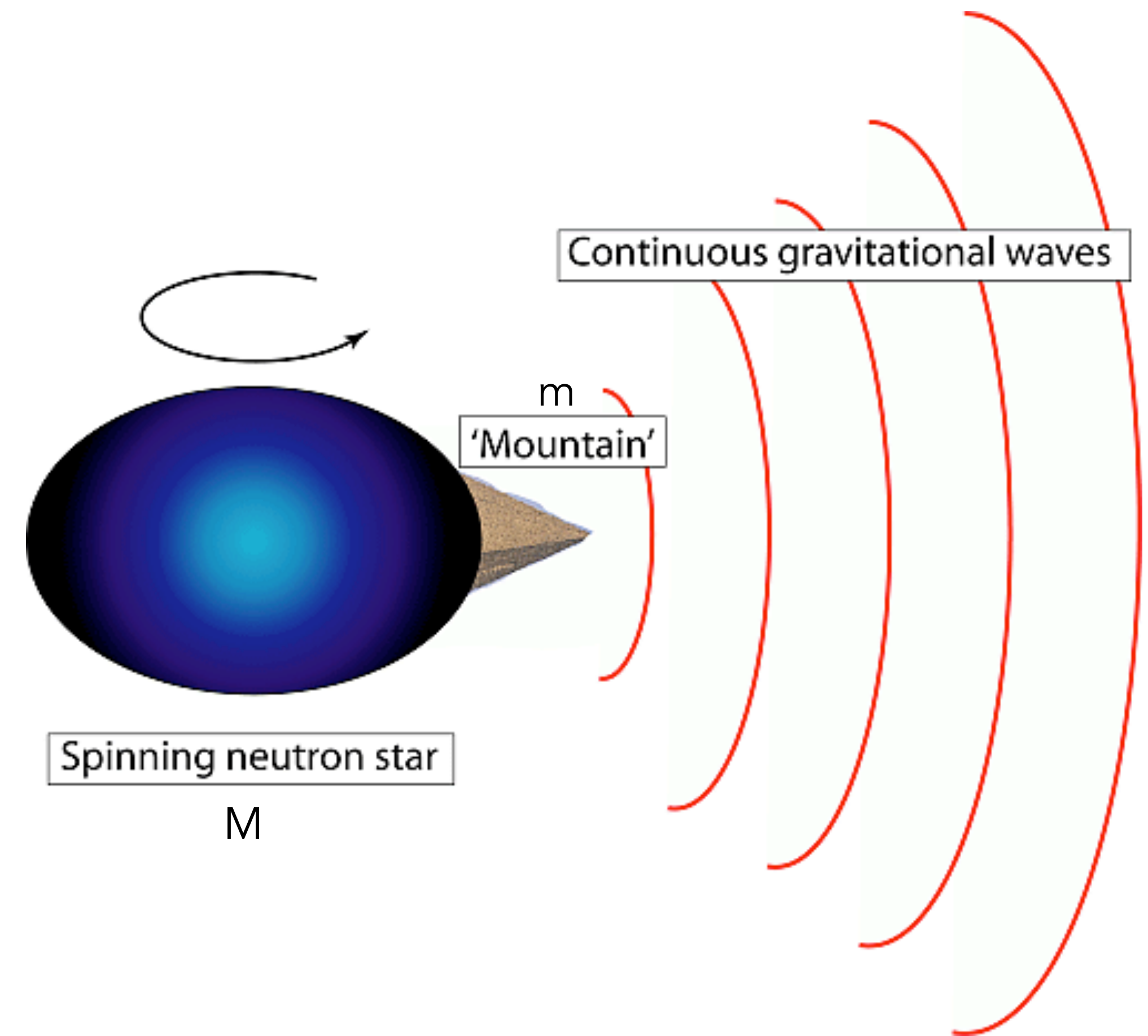


[Radice+, 2019]



# ISOLATED NEUTRON STARS

- ▶ Gravitational pulsars = rotating neutron stars with asymmetry (“neutron star mountain”)
- ▶ The asymmetry leads to a non-symmetric quadrupole tensor
  - ▶ Assume a star with uniform density. Its moment of inertia is given by  $I = 2MR^2/5$ . A mountain with mass  $m$  will introduce a fractional asymmetry
 
$$\epsilon = \frac{5m}{2M}$$
  - ▶ As the star rotates, the mountain will emit GWs, causing the star to spin-down.
- ▶ Note: non-observation allows to set an upper limit on  $\epsilon$ .

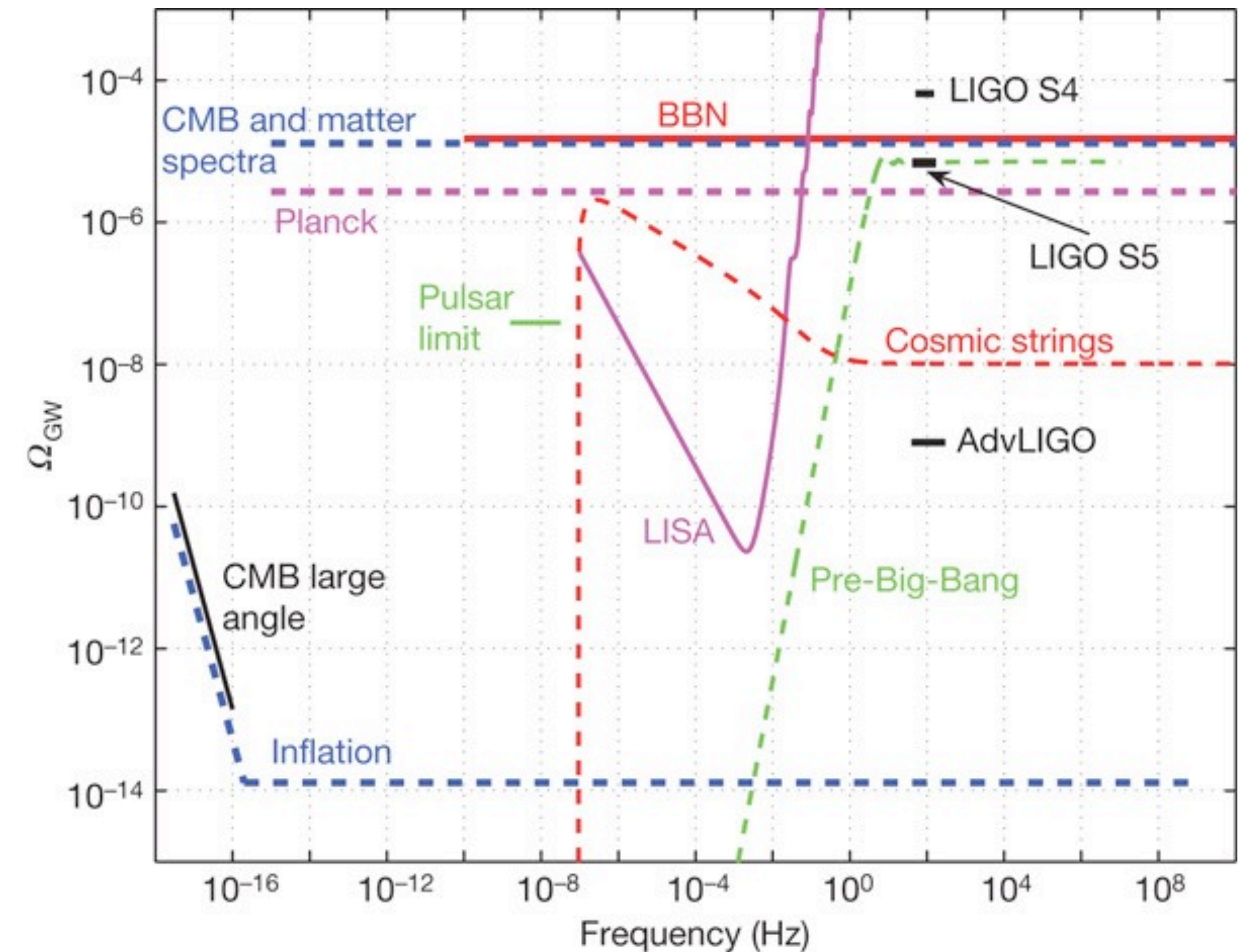


Credit: Astrobites

# STOCHASTIC GW BACKGROUND (SGWB)

- ▶ Superposition of **astrophysical events** that cannot be resolved individually
- ▶ Background from **fundamental processes** in the early universe, e.g. the Big Bang
  - ▶ Expected to be very weak but will allow us to look back at the universe when it was  $10^{-30}s$  old and at very high energies!
  - ▶ Characterised by the energy density of a random field of gravitational waves with a **mean square amplitude per unit frequency**  $S_{gw}(f)$ .
  - ▶ The SGWB density parameter is then given by:

$$\Omega_{gw}(f) = \frac{10\pi^2}{3H_0^2} f^3 S_{gw}(f)$$

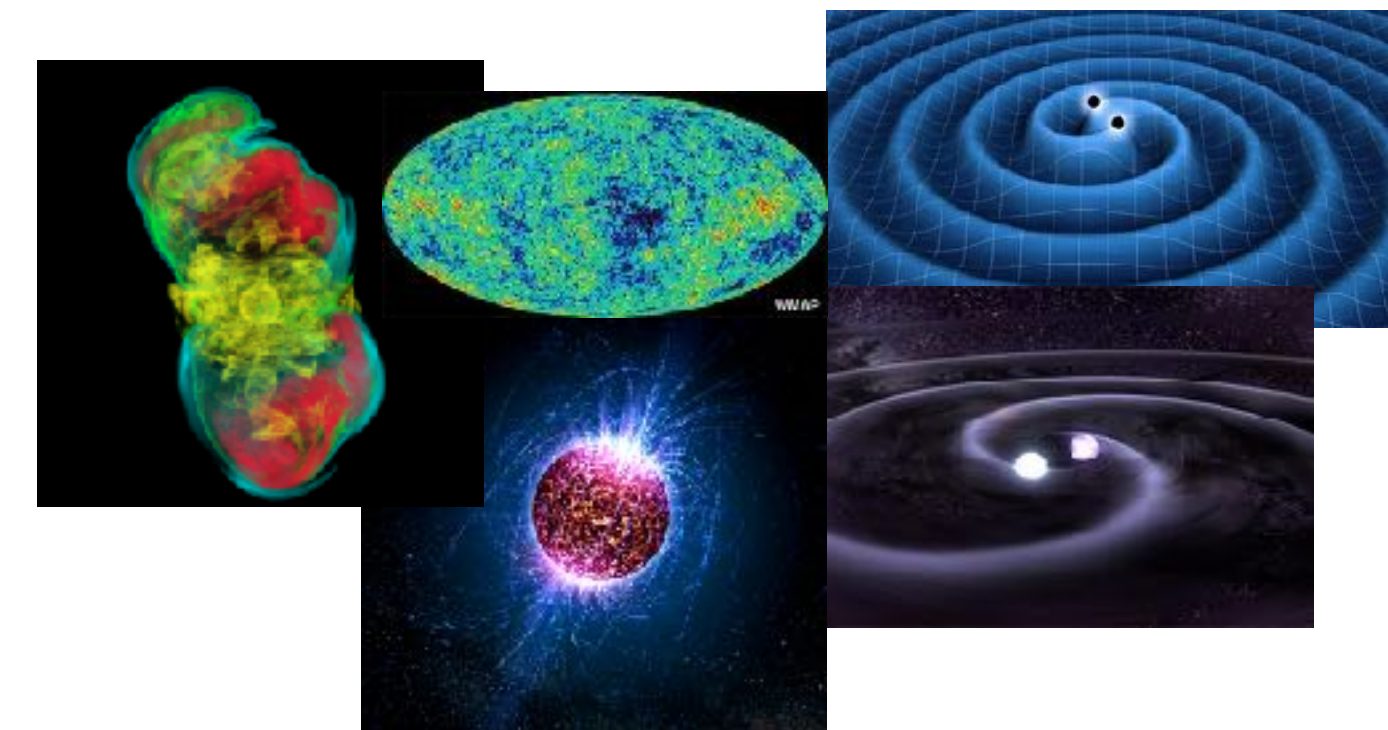
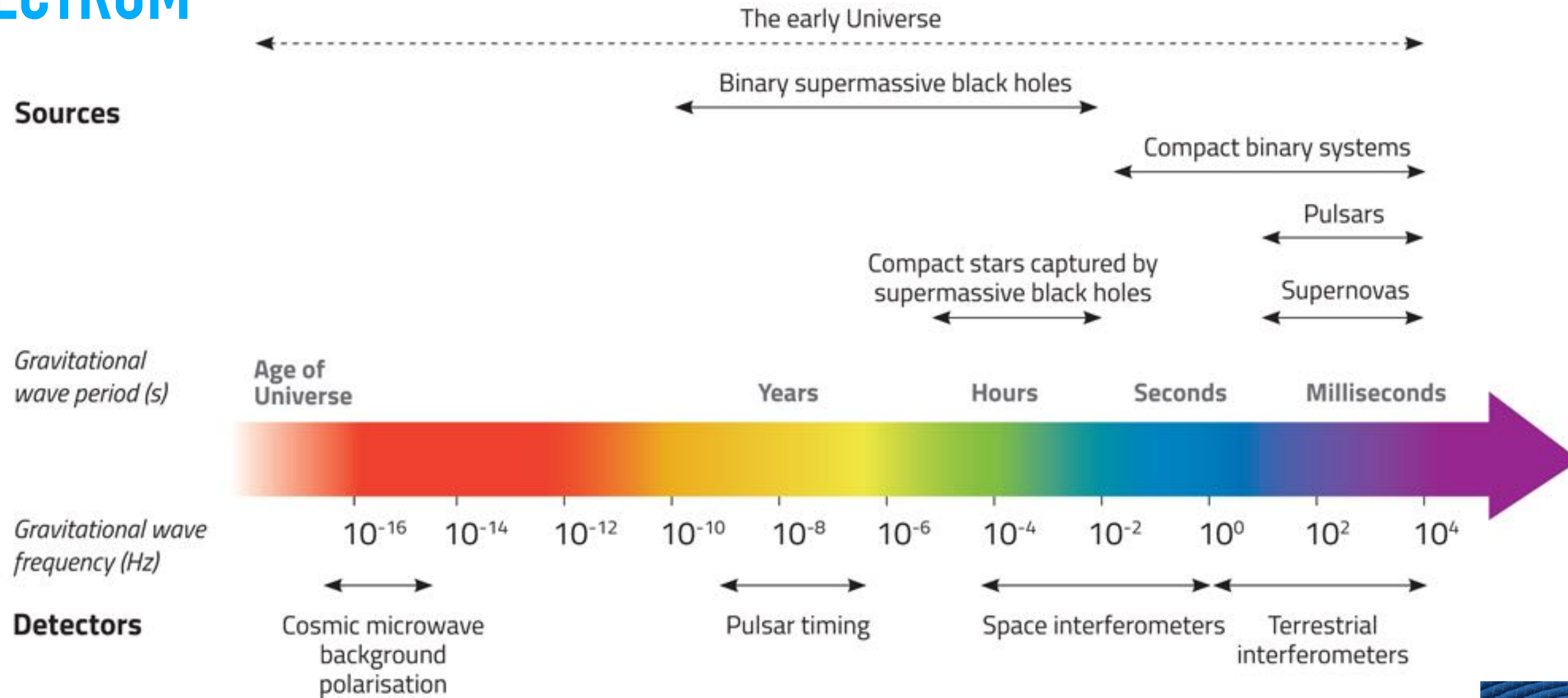


[LVC, Nature]





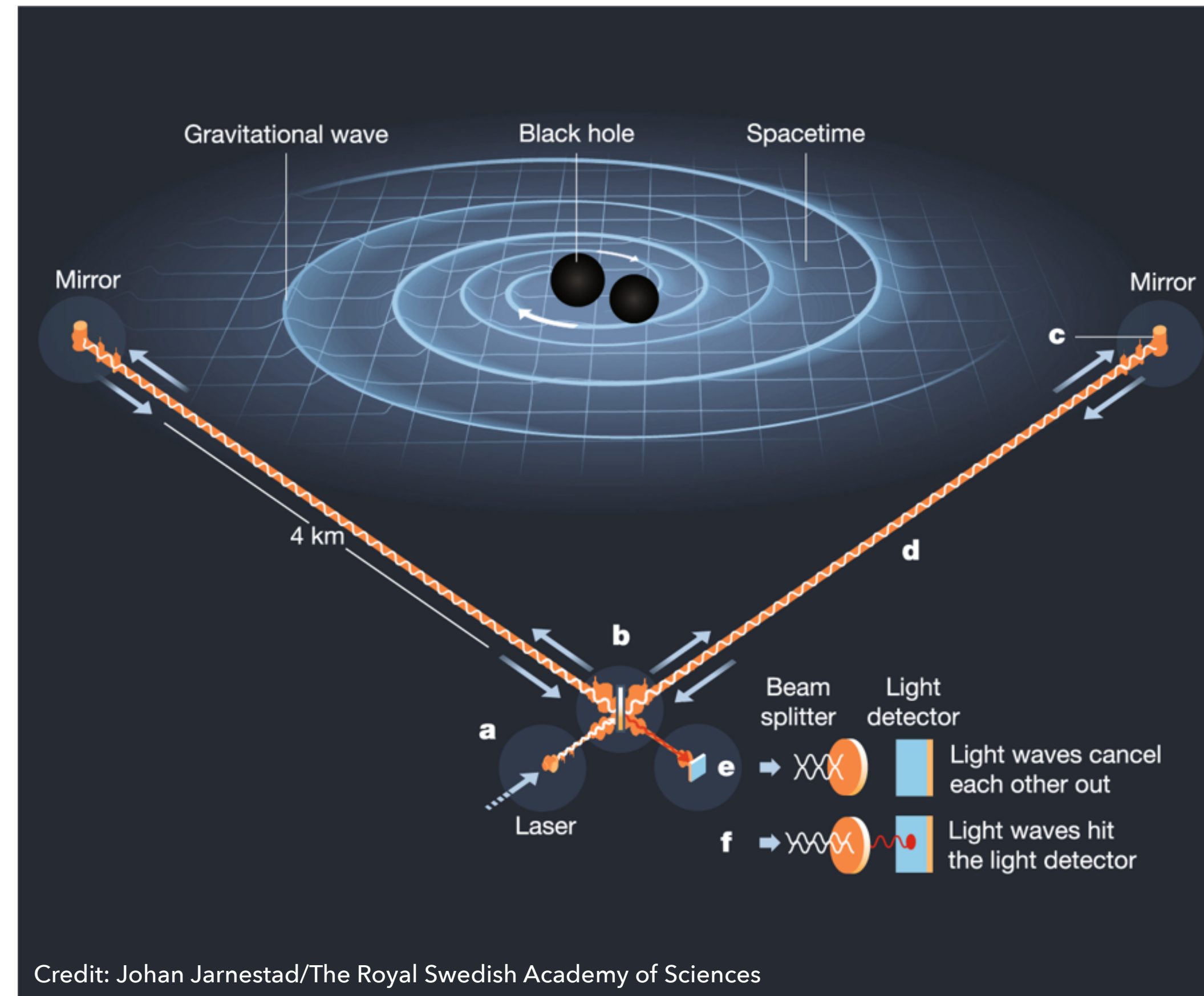
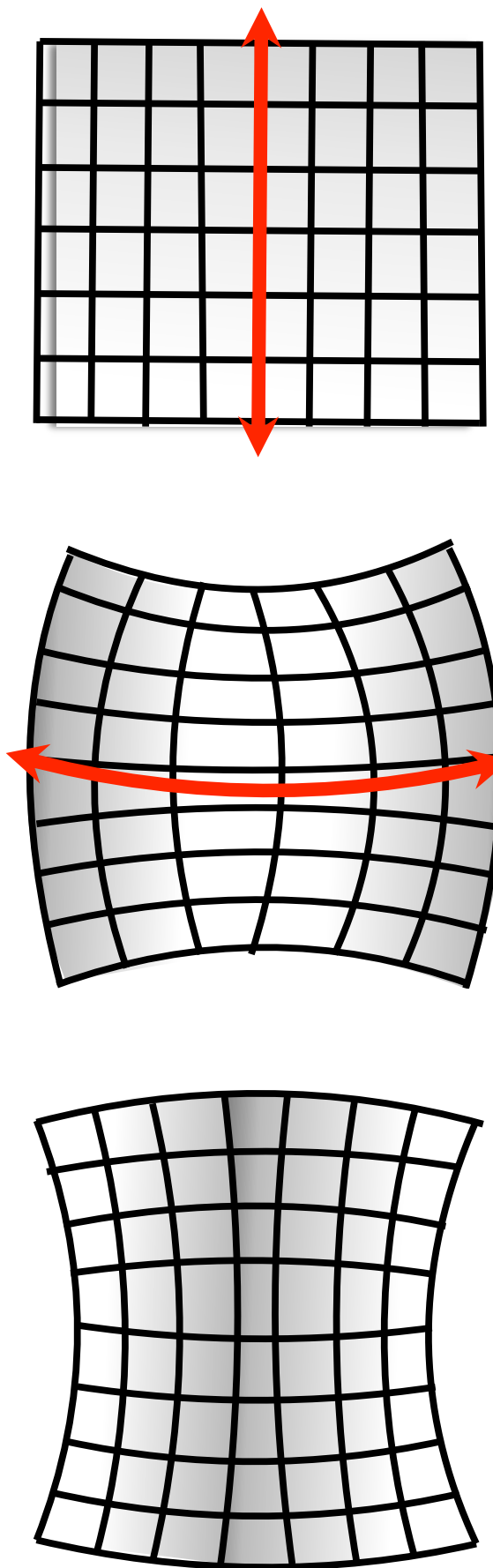
# GW SPECTRUM





# GRAVITATIONAL-WAVE DETECTORS

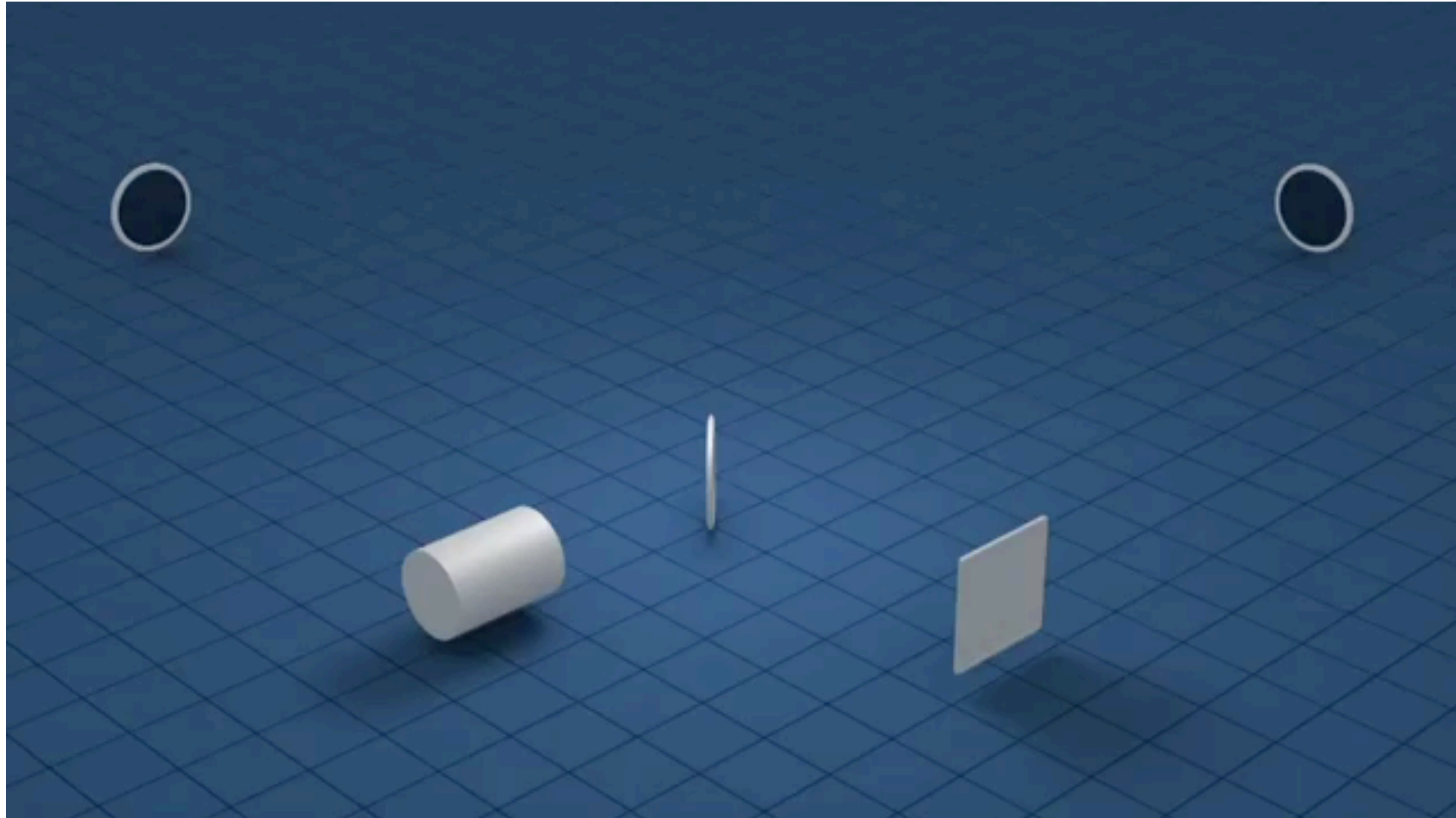
- **Precision interferometry:** Use two (perpendicular) lasers beams to measure the length of each arm



Change in arm length:

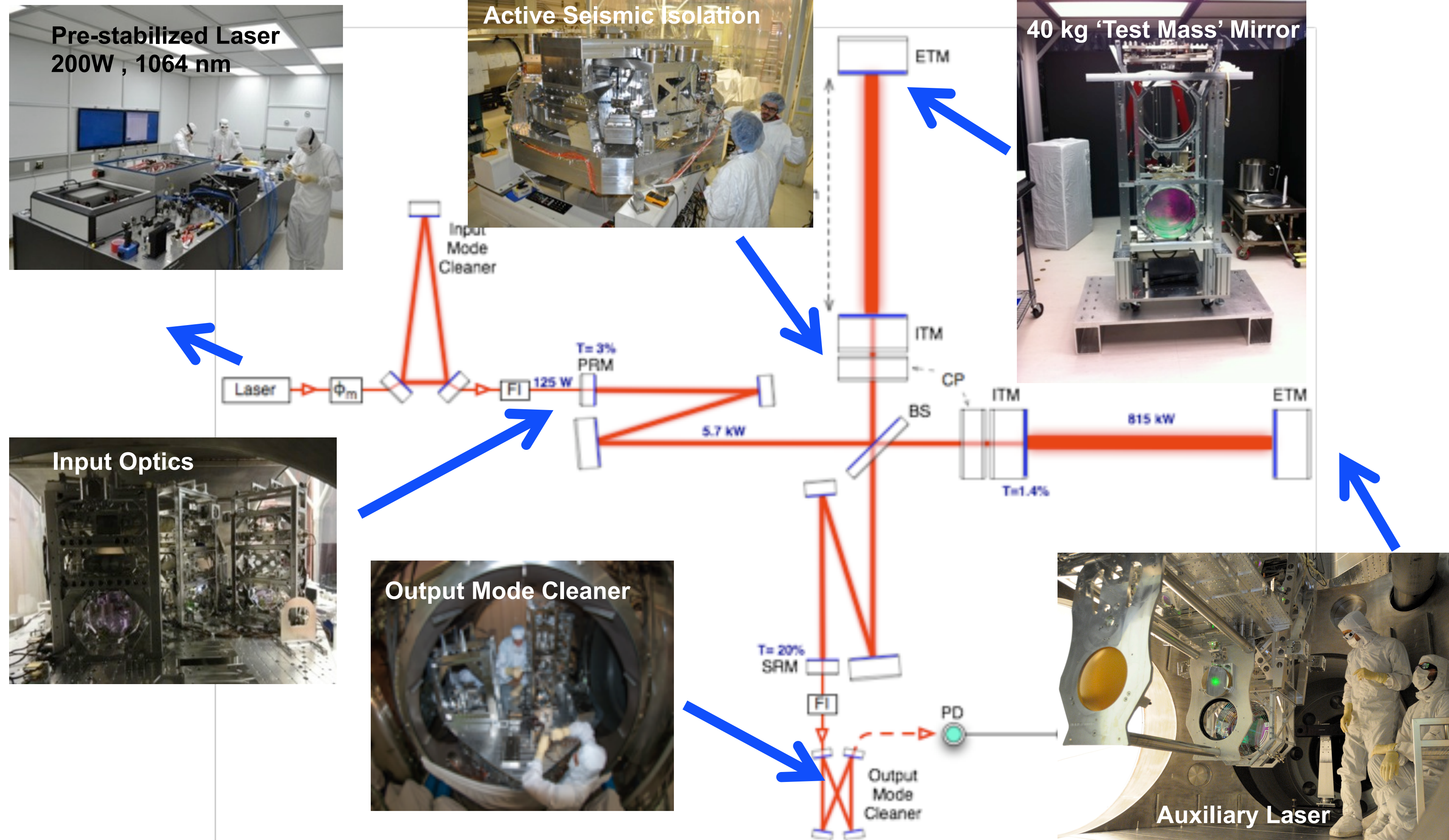
$$\Delta L \sim 10^{-18} \text{ m}$$

# GRAVITATIONAL-WAVE DETECTORS





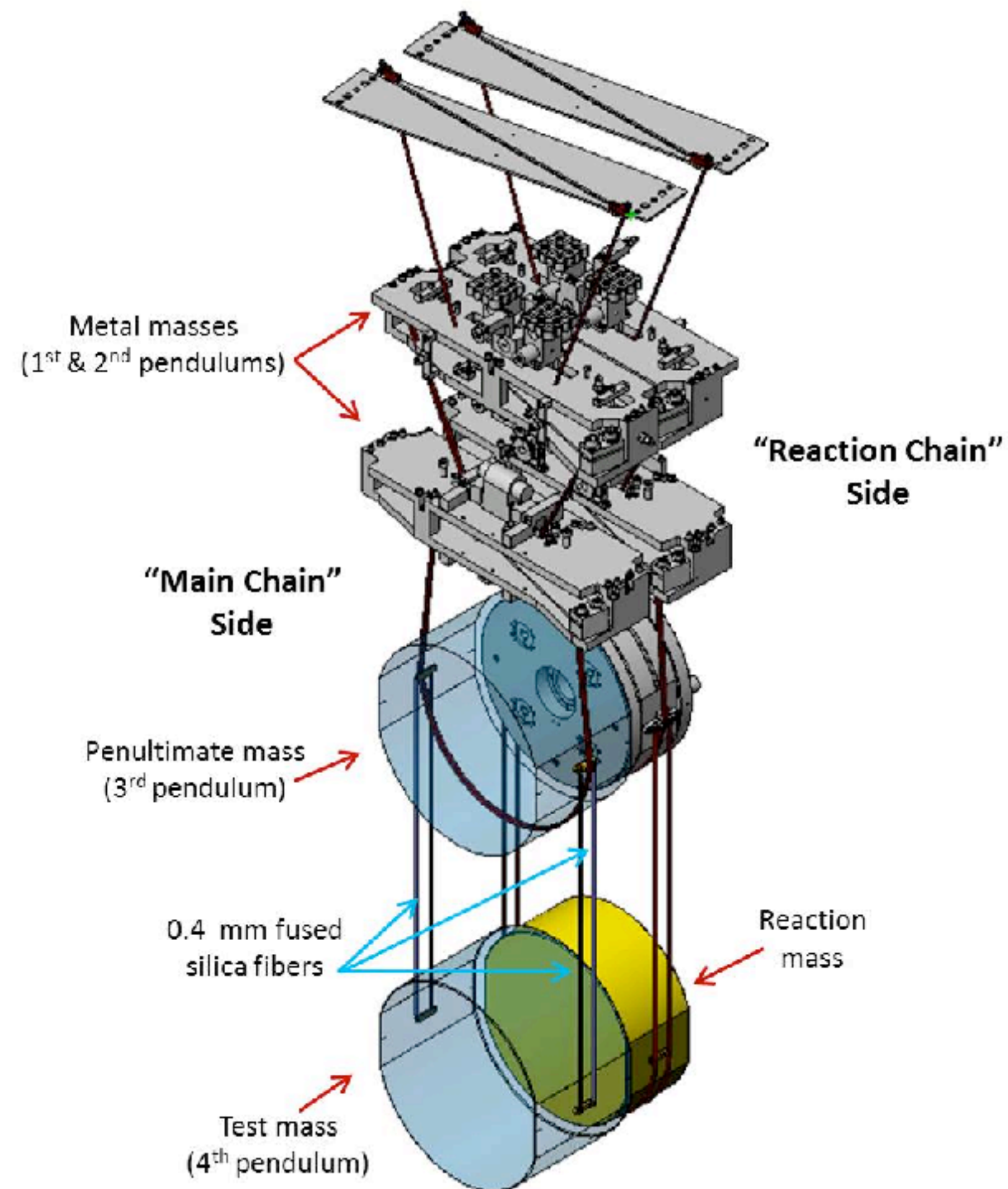
# A CLOSER LOOK AT ADVANCED LIGO



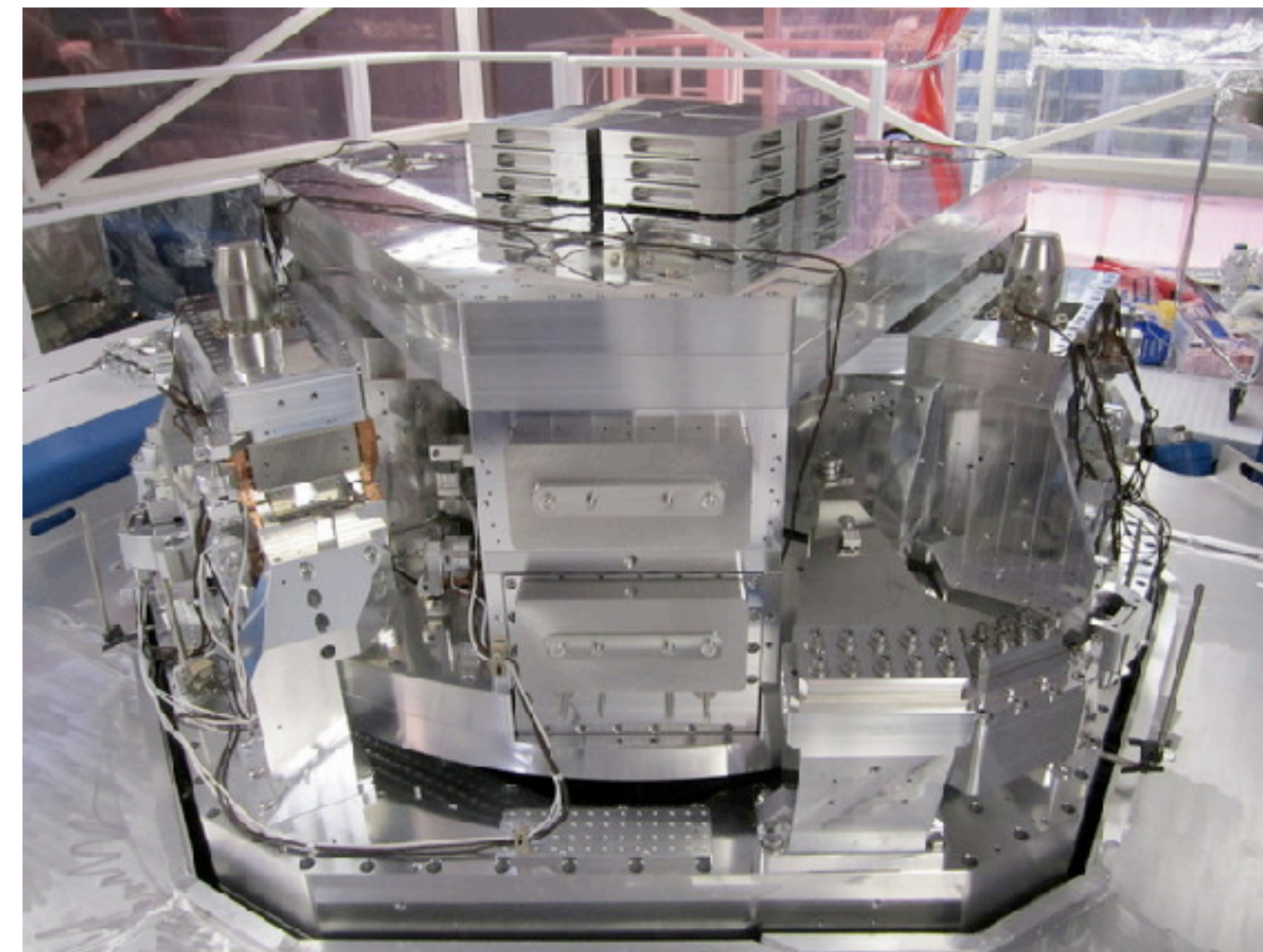


# A CLOSER LOOK AT ADVANCED LIGO

Multi-stage suspension system to reduce seismic noise



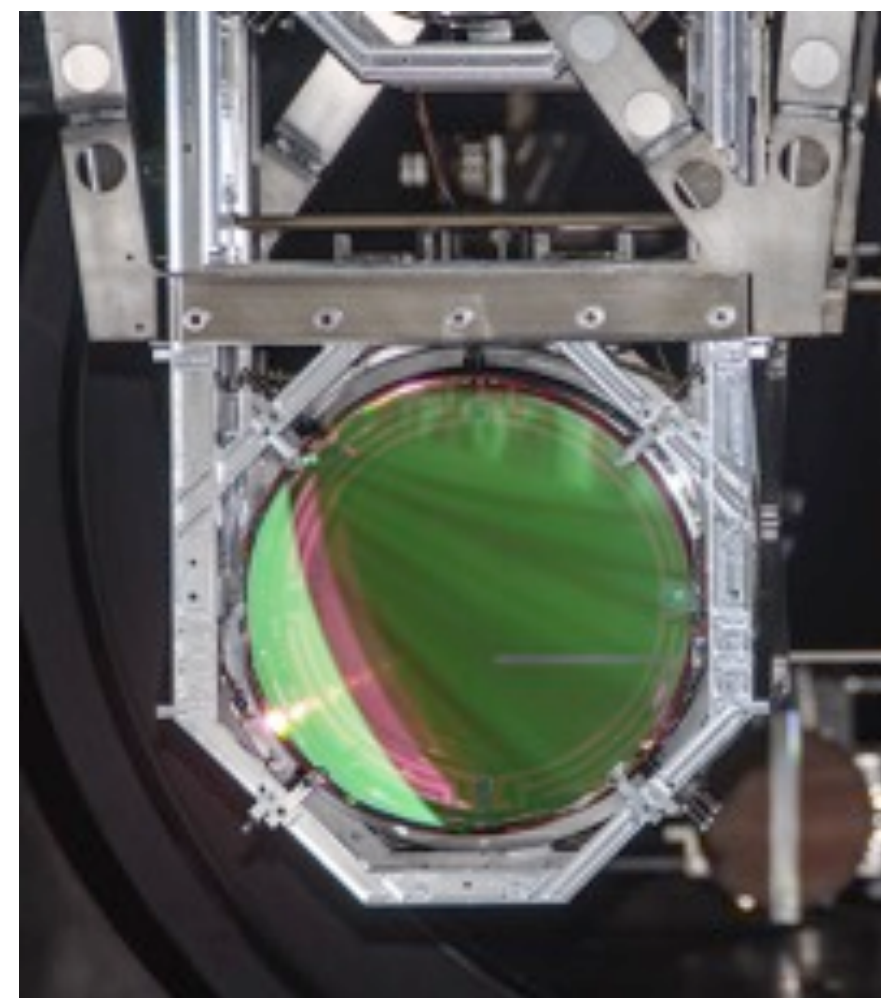
Active seismic damping platform





# A CLOSER LOOK AT ADVANCED LIGO

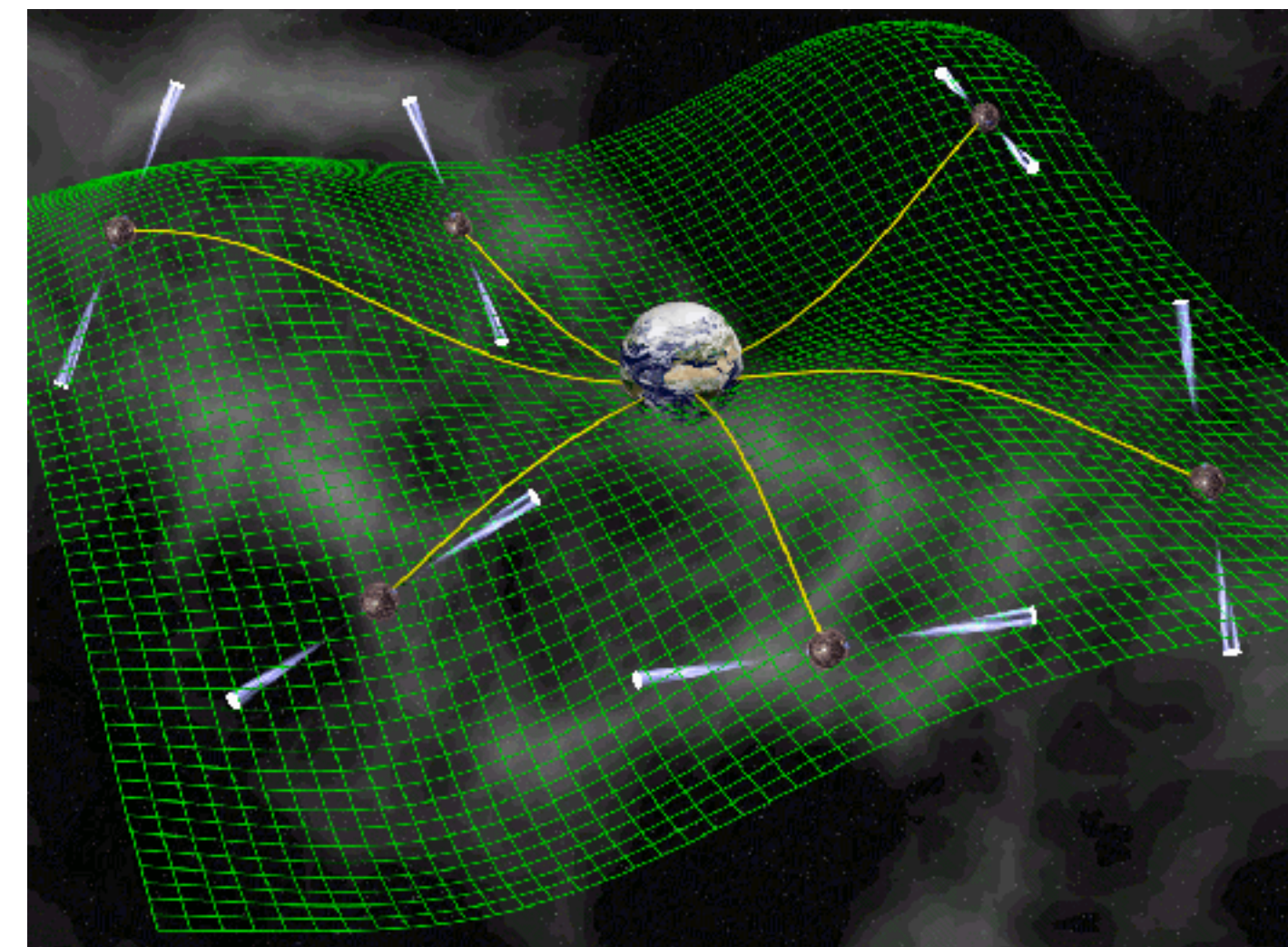
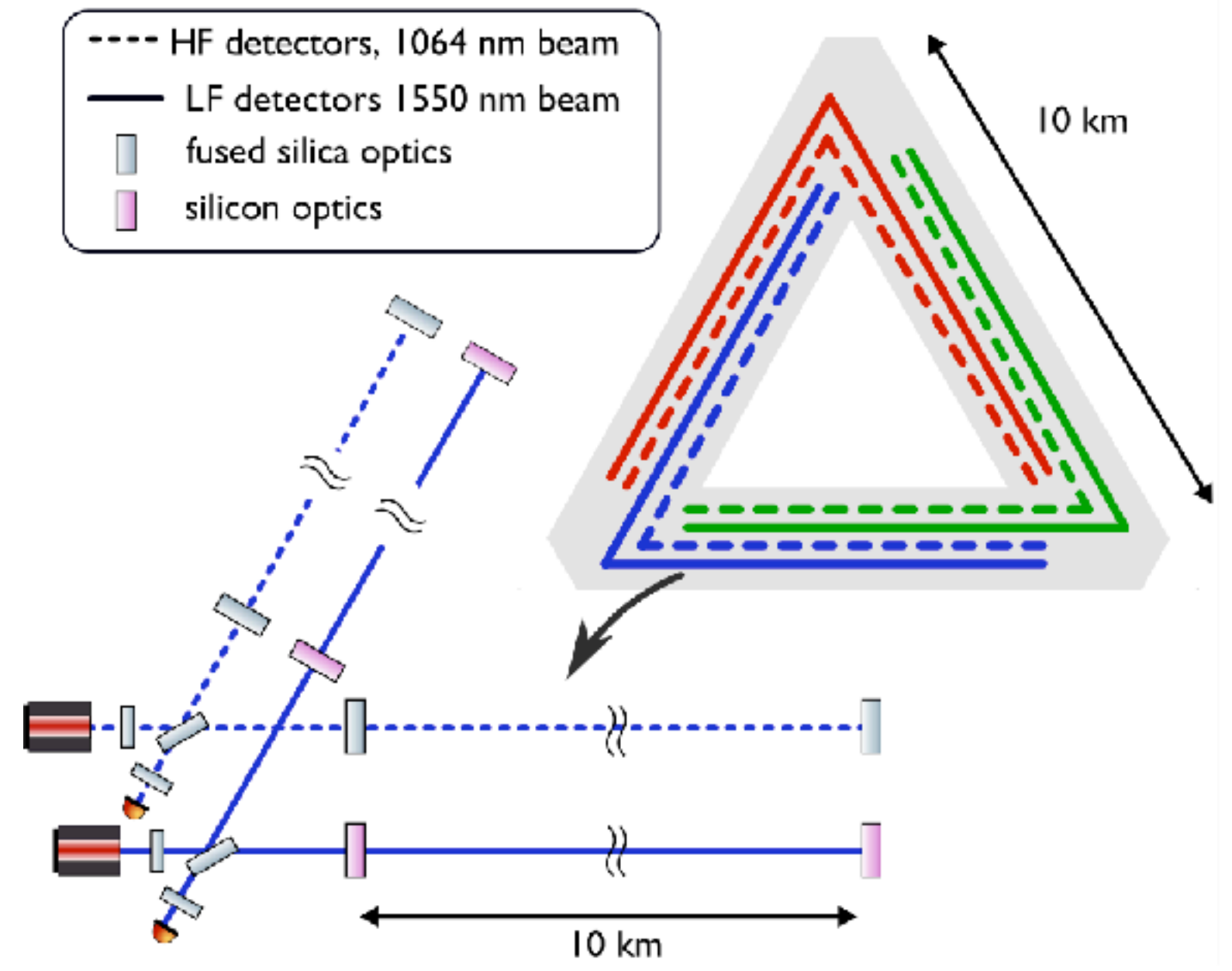
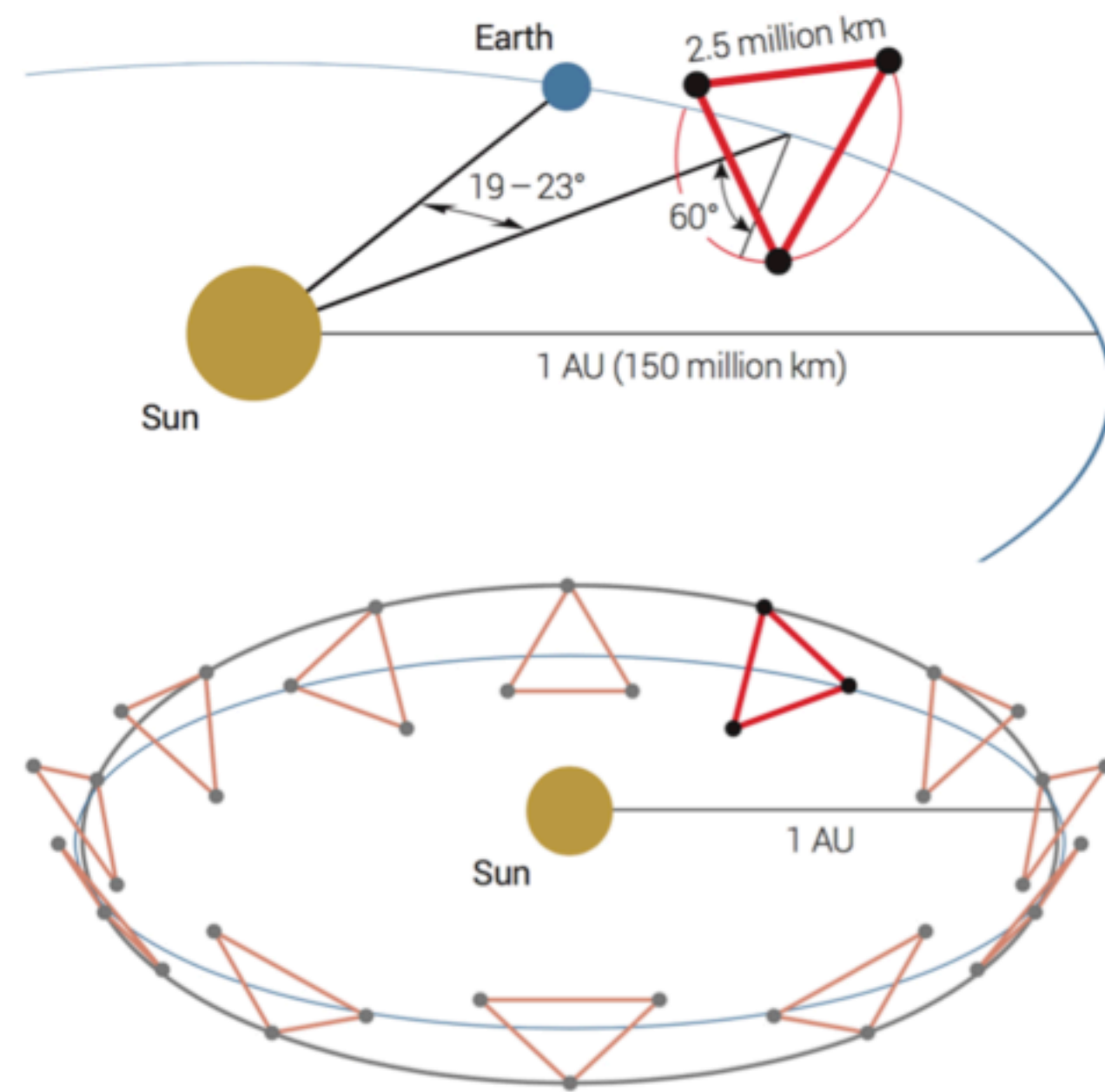
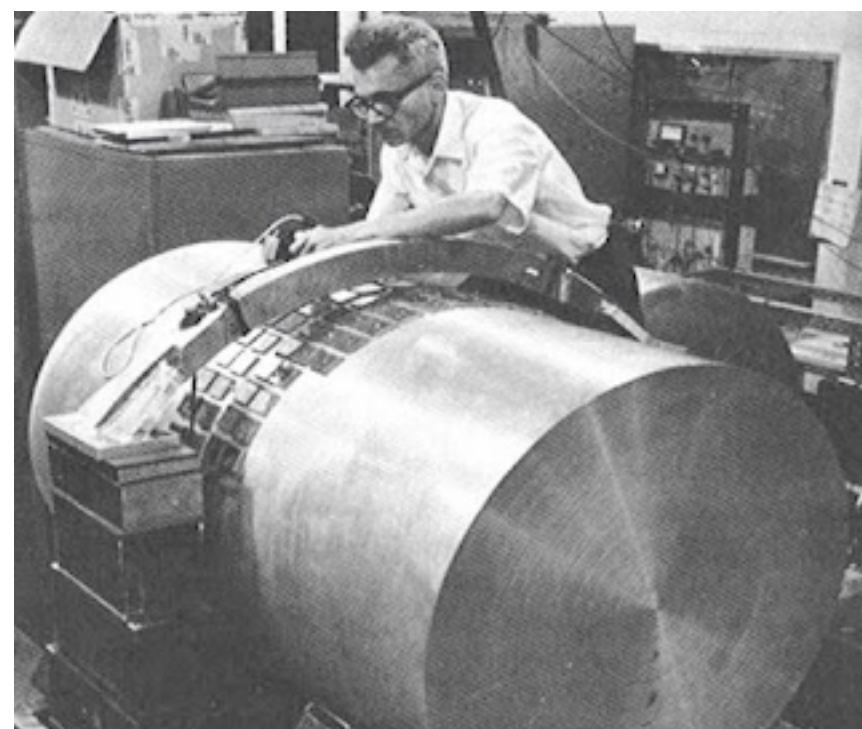
- ▶ Vacuum system for ultra-pure vacuum
  - ▶ Volume:  $\sim 9000\text{m}^3$
  - ▶ Atmospheric pressure inside the tubes  $\sim 10^{-8}$ - $10^{-9}$  Torr
  - ▶ Air molecules transfer heat onto mirrors and mimic GWs; dust can damage the mirrors
- ▶ Pre-stabilised laser + amplification
  - ▶ Input laser power in O3: 70W
  - ▶ Laser power is crucial to increase the resolution
- ▶ Mirrors: pure fused silica glass at 40kg each
  - ▶ 34 x 20 cm
  - ▶ 1-in-3-million photons get absorbed
  - ▶ Mirrors refocus the laser





# OTHER DETECTOR CONFIGURATIONS

- ▶ Triangular interferometers, e.g.
  - ▶ **Einstein Telescope (ET)**: proposed third generation ground-based detector
  - ▶ **LISA**: planned space-based mission
- ▶ Resonant bar detectors
- ▶ Pulsar timing arrays





# SENSITIVITY

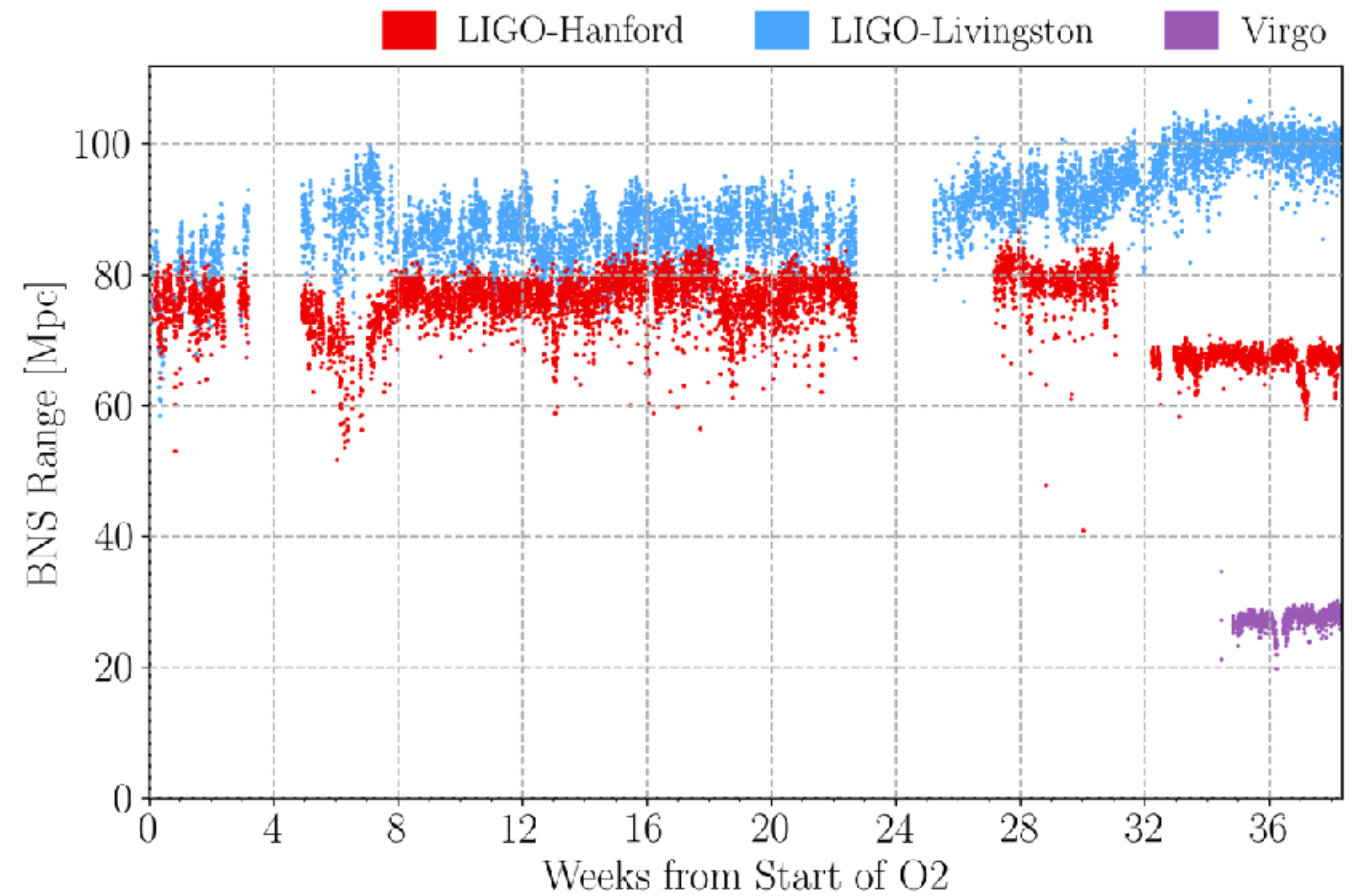
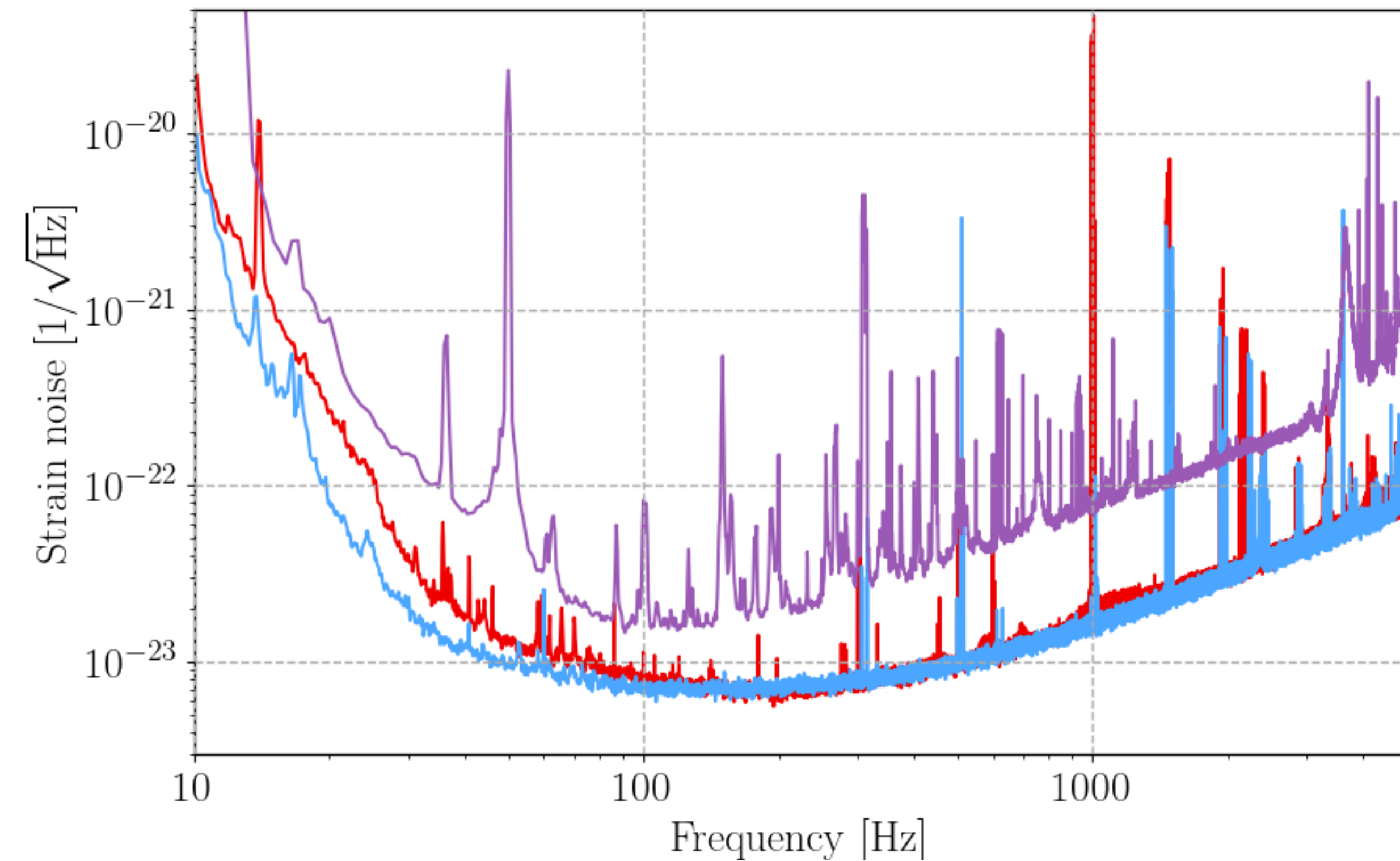
- ▶ The sensitivity of GW detector is characterised by the **power spectral density (PSD)** of its noise background in the absence of a GW signal.
- ▶ Data is recorded as a time series:  $(n(t_0), n(t_1), \dots, n(t_n))$ 
  - ▶ Discrete Fourier transform:  $(\tilde{n}(f_0), \tilde{n}(f_1), \dots, \tilde{n}(f_n))$
- ▶ Let us assume that the noise is **stationary and Gaussian**. Then the probability density of one realisation of noise per frequency bin is given by  $p(\tilde{n}(f_i)) \propto e^{-|\tilde{n}(f_i)|^2/(2\sigma_i^2)}$  and total probability density for a noise realisation is  $p(n) = \prod_{i=0}^n p(\tilde{n}(f_i))$ .
- ▶ In the continuum limit:  $p(n) = \mathcal{N} e^{-\frac{1}{2} \sum_{i=1}^n \frac{|\tilde{n}(f_i)|^2}{\sigma_i^2}} \rightarrow \mathcal{N} e^{-\int_{-\infty}^{\infty} \frac{|\tilde{n}(f)|^2}{S_n(f)} df}$
- ▶  $S_n(f)$  is the **noise power spectral density** - the Fourier transform of the noise autocorrelation function:

$$\langle \tilde{n}(f) \tilde{n}^*(f') \rangle = \frac{1}{2} S_n(f) \delta(f - f')$$



# SENSITIVITY DURING O1/O2

Amplitude spectral density =  $\sqrt{\text{PSD}}$



Range: Sky and orientation averaged distance such that a BNS has a SNR of 8





# MAJOR SOURCES OF NOISE

